

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation THE CARUSO FAMILY FOUNDATION		A Employer identification number 95-4317077	
% PETER WILHELM			
Number and street (or P O box number if mail is not delivered to street address) 101 The Grove Drive	Room/suite	B Telephone number (see instructions) (323) 900-8195	
City or town, state or province, country, and ZIP or foreign postal code Los Angeles, CA 90036		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 1,477,944	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	4,250,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	67	67		
	4 Dividends and interest from securities	36,759	36,759		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	19,996			
	b Gross sales price for all assets on line 6a 729,496				
	7 Capital gain net income (from Part IV, line 2)		19,996		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	-2,637	3,314			
12 Total. Add lines 1 through 11	4,304,185	60,136			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	1,375	688	0	0
	b Accounting fees (attach schedule)	13,023	6,512	0	0
	c Other professional fees (attach schedule)	6,336	6,336		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,395			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	3,152	1,524		
	24 Total operating and administrative expenses. Add lines 13 through 23	25,281	15,060	0	0
	25 Contributions, gifts, grants paid	3,383,707			3,383,707
26 Total expenses and disbursements. Add lines 24 and 25	3,408,988	15,060	0	3,383,707	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	895,197				
b Net investment income (if negative, enter -0-)		45,076			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing		72,247	72,247
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ 0 Less allowance for doubtful accounts ▶ _____	805	0	
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	1,224,960	1,271,704	1,405,697
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,225,765	1,343,951	1,477,944	
Liabilities	17 Accounts payable and accrued expenses	768,667	938	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	17,416	7,934	
	23 Total liabilities (add lines 17 through 22)	786,083	8,872	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	439,682	1,335,079		
30 Total net assets or fund balances (see instructions)	439,682	1,335,079		
31 Total liabilities and net assets/fund balances (see instructions) .	1,225,765	1,343,951		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	439,682
2 Enter amount from Part I, line 27a	2	895,197
3 Other increases not included in line 2 (itemize) ▶ _____	3	6,130
4 Add lines 1, 2, and 3	4	1,341,009
5 Decreases not included in line 2 (itemize) ▶ _____	5	5,930
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,335,079

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	19,996
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-17,147

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	2,913,219	1,339,600	2.174693
2016	2,481,273	2,773,623	0.894596
2015	2,778,357		
2014	3,281,751		
2013	1,522,625		

2 Total of line 1, column (d)	2	3.069289
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.613858
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	1,739,908
5 Multiply line 4 by line 3	5	1,068,056
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	451
7 Add lines 5 and 6	7	1,068,507
8 Enter qualifying distributions from Part XII, line 4	8	3,383,707

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and total tax due. Total tax due is 549.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Includes questions about political campaigns, political expenditures, and substantial contributors. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of PETER WILHELM Telephone no (323) 900-8100

Located at 101 THE GROVE DRIVE Los Angeles CA ZIP+4 90036

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to... (1) Carry on propaganda... (2) Influence the outcome of any specific public election... (3) Provide a grant to an individual for travel... (4) Provide a grant to an organization... (5) Provide for any purpose other than religious... b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify... c If the answer is "Yes" to question 5a(4), does the foundation claim exemption... 6a Did the foundation, during the year, receive any funds... b Did the foundation, during the year, pay premiums... 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? b If yes, did the foundation receive any proceeds... 8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Rows include Rick J Caruso, Christina J Caruso, Marc A Caruso, and Tina P Caruso.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances.

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1	
2	
All other program-related investments. See instructions	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,549,400
b	Average of monthly cash balances.	1b	217,004
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,766,404
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,766,404
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	26,496
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	1,739,908
6	Minimum investment return. Enter 5% of line 5.	6	86,995

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	86,995
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	451
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	451
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	86,544
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	86,544
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	86,544

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	3,383,707
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	3,383,707
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	451
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,383,256

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				86,544
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.				
b Total for prior years 2016, 2015, 2014				
3 Excess distributions carryover, if any, to 2018				
a From 2013.	1,522,625			
b From 2014.	3,281,751			
c From 2015.	2,778,357			
d From 2016.	2,343,018			
e From 2017.	2,847,207			
f Total of lines 3a through e.	12,772,958			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>3,383,707</u>				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				86,544
e Remaining amount distributed out of corpus	3,297,163			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	16,070,121			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).	1,522,625			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	14,547,496			
10 Analysis of line 9				
a Excess from 2014.	3,281,751			
b Excess from 2015.	2,778,357			
c Excess from 2016.	2,343,018			
d Excess from 2017.	2,847,207			
e Excess from 2018.	3,297,163			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
 RICK J CARUSO

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
 Peter Wilhelm
 101 The Grove Drive
 Los Angeles, CA 90036
 (323) 900-8100

b The form in which applications should be submitted and information and materials they should include
 See Statement Attached

c Any submission deadlines
 See Statement Attached

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
 The purpose of the Caruso Family Foundation is to be active in the support of qualified philanthropic endeavors in the areas of education, social welfare, historical and environmental preservation, medical and scientific research and cultural pursuits

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 JP MORGAN - ST	P	2018-09-18	2018-10-15
1 JP MORGAN-LT	P	2015-06-23	2018-09-17
JP MORGAN-LTN	P	2015-01-01	2018-01-02
JP MORGAN-LTN2	P	2015-01-01	2018-08-15
SCHWAB-ST	P	2018-02-21	2018-12-07
SCHWAB-LT	P	2015-08-17	2018-12-07
JPMORGAN-PTPSCHDADJ	P	2018-01-01	2018-08-15
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
188,492		189,849	-1,357
256,211		256,451	-240
16			16
11,787		13,746	-1,959
105,423		121,213	-15,790
164,493		128,241	36,252
2,606			2,606
			468

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,357
			-240
			16
			-1,959
			-15,790
			36,252
			2,606

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
LA Police Foundation 1880 N Academy Drive Los Angeles, CA 90012	N/A	PC	GENERAL SUPPORT & SPONSORING EVENT FOR FUNDRAISING	31,508
Helene Wurtlizer Foundation PO Box 1891 Taos, NM 87571	N/A	EOF	GENERAL SUPPORT	10,000
Joe Torre Safe At Home Foundation PO Box 1037 Midtown Station New York, NY 10018	N/A	PC	GENERAL SUPPORT	10,000
Total ▶ 3a				3,383,707

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
Loyola High School 1901 Venice Blvd Los Angeles, CA 90006	N/A	PC	GENERAL SUPPORT	50,000
Run To Remember LAPO Box 848 1201 N Catalina Ave Redondo Beach, CA 90277	N/A	PC	GENERAL SUPPORT	20,000
Verbum Dei High School 11100 S Central Ave Los Angeles, CA 90059	N/A	PC	GENERAL SUPPORT & SPONSORING EVENT FUNDRAISING	57,749
Total				3,383,707
				▶ 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
World Of children 23046 Avenida de la Cariota Suite Laguna Hills, CA 92853	N/A	PC	GENERAL SUPPORT	10,000
University of Southern California University Parkway Los Angeles, CA 90089	N/A		GENERAL SUPPORT & SPONSORING EVENTS	701,337
Operation Progress 10221 Compton Ave Suite 102 Los Angeles, CA 90002	N/A	PC	GENERAL SUPPORT & SPONSORING EVENTS FOR FUNDRAISING	109,260
Total				3,383,707

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Saint Mary Academy 1615 W 5th Street Portland, OR 97201	N/A	PC	GENERAL SUPPORT	25,000
SCS NOONAN SCHOLARS 1414 South Grand Ave Suite 410 Los Angeles, CA 90015	N/A		GENERAL SUPPORT & SPONSORING EVENTS	364,483
St Lawrence of Brindisi Church 10122 Compton Ave Los Angeles, CA 90002	N/A	PC	GENERAL SUPPORT	25,000
Total ▶ 3a				3,383,707

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Strive Foundation PO Box 22584 Oakland, CA 94609	N/A	PC	GENERAL SUPPORT	25,000
Los Angeles County Economic Development 444 S Flower Street Los Angeles, CA 90071	N/A	PC	GENERAL SUPPORT	10,000
Church of Resurrection 324 Opal Street Los Angeles, CA 90023	N/A	PC	GENERAL SUPPORT	75,000
Total				3,383,707

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Rape Foundation 123 Wilshire Blvd 410 Santa Monica, CA 90403	N/A	PC	GENERAL SUPPORT	10,000
St Francis High School 200 S Foothill Blvd La Canada, CA 91011	N/A	PC	GENERAL SUPPORT	21,900
Stanford University 3145 Porter Drive Palo aLTO, CA 94304	N/A	PC	GENERAL SUPPORT	10,000
Total ▶ 3a				3,383,707

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Para Los Ninos 845 East 6th Street Los Angeles, CA 90021	N/A	PC	GENERAL SUPPORT	250,000
THE HEART PROJECT 1930 Wilshire Blvd 800 Los Angeles, CA 90057	N/A	PC	GENERAL SUPPORT	10,000
The Sheriff Youth Foundation 211 West Temple Street Los Angeles, CA 90012	N/A	PC	GENERAL SUPPORT	25,000
Total				3,383,707

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
ST MONICA CATHOLIC SCHOOL 2525 5th Street Santa Monica, CA 90405	N/A	PC	GENERAL SUPPORT	25,000
Special Service For Groups 905 East 8th Street Los Angeles, CA 90021	N/A	PC	GENERAL SUPPORT	5,000
Robin Hood Foundation 826 Broadway Floor 9 New York, NY 10003	N/A	PC	GENERAL SUPPORT	25,000
Total ▶ 3a				3,383,707

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
California Hospital Medical Center 1401 South Grand Ave Leavey Hall Los Angeles, CA 90015	N/A	PC	GENERAL SUPPORT	7,500
Community Christian Church 216 North Moorpark Ave Moorpark, CA 93021	N/A	PC	GENERAL SUPPORT	50,000
ST Monica Catholic Church 725 California Ave Santa Monica, CA 90403	N/A	PC	GENERAL SUPPORT	7,500
Total ▶ 3a				3,383,707

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
Children's Diabetes Foundation 4380 S Syracuse Street 430 Denver, CO 80237	N/A	PC	GENERAL SUPPORT	100,000
Navy Seal Foundation 1619 D Street Virginia Beach, CA 23459	N/A	PC	GENERAL SUPPORT	225,000
Ventura County Community Foundation 4001 Mission Oaks Blvd Camarillo, CA 93102	N/A	PC	GENERAL SUPPORT	60,000
Total				3,383,707



3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
American Diabetes Association 611 Wilshire Blvd 900 Los Angeles, CA 90017	N/A	PC	GENERAL SUPPORT	7,500
Red Cross PO Box 37243 Boone, IA 50086	N/A	PC	GENERAL SUPPORT	250,000
Saint John Health Center 1328 Twenty Second Street Santa Monica, CA 90404	N/A	PC	GENERAL SUPPORT	750,000
Total				3,383,707

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Women's Alzheimer's Movement 1440 San Vicente Blvd 301 Loa Angeles, CA 90049	N/A	PC	GENERAL SUPPORT	5,000
ARCHER SCHOOL FOR GIRLS 11725 W SUNSET BLVD LOS ANGELES, CA 90049	N/A	PC	GENERAL SUPPORT & SPONSORING EVENTS FOR FUNDRAISING	1,811
CALIFORNIA STATE UNIVERSITY SAN BERNADINO 5500 UNIVERSITY PARKWAY SAN BERNADINO, CA 92407	N/A	PC	GENERAL SUPPORT	500
Total ▶ 3a				3,383,707

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GOOD PLUS FOUNDATION 306 W 37TH STREET 8TH FLOOR NEW YORK, NY 10018	N/A	PC	GENERAL SUPPORT	1,000
HABITAT FOR HUMANITY OF VENTURA COUNTY 1850 EASTMAN AVENUE OXNARD, CA 93030	N/A	PC	GENERAL SUPPORT	1,000
KNIGHTS OF COLUMBUS 8049 W MANCHESTER AVENUE PLAYA DEL REY, CA 90293	N/A	PC	GENERAL SUPPORT	1,000
Total ▶ 3a				3,383,707

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LA CANADA PRESBYTERIAN CHURCH 626 FOOTHILL BLVD LA CANADA, CA 91011	N/A	PC	GENERAL SUPPORT	1,000
LOS ANGELES BLUE CHIP ACADEMY OF BASKETBALL 13488 MAXELLA AVENUE TH7 MARINA DEL REY, CA 90292	N/A	PC	GENERAL SUPPORT	1,500
LOS ANGELES CONSERVANCY 523 W 6TH STREET STE 826 LOS ANGELES, CA 90014	N/A	PC	GENERAL SUPPORT	2,500
Total ▶ 3a				3,383,707

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL COUNCIL OF JEWISH WOMEN LOS ANGELES 543 N FAIRFAX AVENUE LOS ANGELES, CA 90036	N/A	PC	GENERAL SUPPORT	1,000
ST BENEDICT CHURCH 1022 W CLEVELAND AVENUE MONTEBELLO, CA 90640	N/A	PC	GENERAL SUPPORT	100
NATIONAL MULTIPLE SCLEROSIS SOCIETY 733 THIRD AVENUE 3RD FLOOR NEW YORK, NY 10017	N/A	PC	GENERAL SUPPORT	2,500
Total				3a 3,383,707

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
MISC CHARITIES MISC CHARITIES LOS ANGELES, CA 90036	N/A	PC	GENERAL SUPPORT	1,059
Total ▶ 3a				3,383,707

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Depreciation Schedule

Name: THE CARUSO FAMILY FOUNDATION

EIN: 95-4317077

TY 2018 General Explanation Attachment**Name:** THE CARUSO FAMILY FOUNDATION**EIN:** 95-4317077**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	PART VII-B, QUESTION 1(A) (3)	PART VII-B, QUESTION 1(A) (3)	CARUSO MANAGEMENT COMPANY, LTD, A RELATED PARTY TO THE FOUNDATION, DONATED ACCOUNTING SERVICES OF \$20,000 TO THE CARUSO FAMILY FOUNDATION DURING 2018 AT NO CHARGE. THIS IS AN EXCEPTED ACT OF SELF-DEALING UNDER IRC SECTION 4941(D)(2)(C)

TY 2018 Investments - Other Schedule**Name:** THE CARUSO FAMILY FOUNDATION**EIN:** 95-4317077**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CS MONEY MARKET FUNDS	AT COST	6,179	6,179
CS EQUITIES	AT COST	347,085	399,142
CS BOND FUNDS	AT COST	27,825	26,722
CS EQUITY FUNDS	AT COST	54,469	68,169
JPM US LARGE CAP EQUITY	AT COST	608,108	705,355
JPM EMERGING MARKET EQUITY	AT COST	12,634	9,851
JPM PREFERRED MONEY MARKET	AT COST	12,853	12,853
JPM US FIXED INCOME	AT COST	202,551	177,426

TY 2018 Other Decreases Schedule**Name:** THE CARUSO FAMILY FOUNDATION**EIN:** 95-4317077

Description	Amount
BOOK/TAX DIFFERENCE - BASIS ADJ P/S	3,324
BOOK/TAX DIFFERENCE - SCH D NET G/L	2,606

TY 2018 Other Expenses Schedule**Name:** THE CARUSO FAMILY FOUNDATION**EIN:** 95-4317077**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MISC	104	0		
OUTSIDE SERVICES	3,048	1,524		

TY 2018 Other Income Schedule**Name:** THE CARUSO FAMILY FOUNDATION**EIN:** 95-4317077**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Income/(Loss) From Passthrough	-2,637	3,314	

TY 2018 Other Increases Schedule**Name:** THE CARUSO FAMILY FOUNDATION**EIN:** 95-4317077

Description	Amount
BOOK/TAX DIFFERENCE - P/S PASS THRU NI	304
BOOK/TAX DIFFERENCE - P/S PASS THRU PAL	5,657
BOOK/TAX DIFFERENCE -NT DIVIDENDS	169

TY 2018 Other Liabilities Schedule**Name:** THE CARUSO FAMILY FOUNDATION**EIN:** 95-4317077

Description	Beginning of Year - Book Value	End of Year - Book Value
INTERCOMPANY PAYABLES	17,416	7,934

TY 2018 Other Professional Fees Schedule**Name:** THE CARUSO FAMILY FOUNDATION**EIN:** 95-4317077

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT	6,336	6,336		

TY 2018 Taxes Schedule**Name:** THE CARUSO FAMILY FOUNDATION**EIN:** 95-4317077

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAXES - STATE INCOME TAX	395			
TAXES - FEDERAL TAX	1,000			

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Go to www.irs.gov/Form990 for the latest information

OMB No 1545-0047
2018

Name of the organization
THE CARUSO FAMILY FOUNDATION

Employer identification number
95-4317077

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE CARUSO FAMILY FOUNDATION	Employer identification number 95-4317077
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Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Rick Caruso 101 The Grove Drive Los Angeles, CA 90036	\$ 4,250,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
.	 	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
.	 	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
.	 	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
.	 	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
.	 	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
.	 	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
.	 	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>

Name of organization THE CARUSO FAMILY FOUNDATION	Employer identification number 95-4317077
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Part II	Noncash Property
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(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<small>(See instructions) Use duplicate copies of Part II if additional space is needed</small>			
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____

Name of organization THE CARUSO FAMILY FOUNDATION	Employer identification number 95-4317077
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Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	_____ _____	_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	_____ _____	_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	_____ _____	_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	_____ _____	_____ _____	