

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation TUW FINLEY GRACEY CHARITABLE		A Employer identification number 62-6222439	
Number and street (or P.O. box number if mail is not delivered to street address) P O BOX 1802	Room/suite	B Telephone number (see instructions) (888) 866-3275	
City or town, state or province, country, and ZIP or foreign postal code PROVIDENCE, RI 029011802		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>11,591,677</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	185,974	185,710		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	110,736			
	b Gross sales price for all assets on line 6a	6,669,243			
	7 Capital gain net income (from Part IV, line 2)		110,736		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	296,710	296,446			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	96,033	57,620		38,413
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)	2,218	0	0	2,218
	b Accounting fees (attach schedule)	1,250	750	0	500
	c Other professional fees (attach schedule)	6,880	6,880		0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	55,590	4,840		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	161,971	70,090	0	41,131
	25 Contributions, gifts, grants paid	616,323			616,323
26 Total expenses and disbursements. Add lines 24 and 25	778,294	70,090	0	657,454	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-481,584				
b Net investment income (if negative, enter -0-)		226,356			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	819,274	200,360	200,360
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	1,996,619	4,521,073	4,521,270
	b Investments—corporate stock (attach schedule)	7,492,217	5,109,401	6,870,047
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			0
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	10,308,110	9,830,834	11,591,677	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	10,308,110	9,830,834	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	10,308,110	9,830,834		
30 Total liabilities and net assets/fund balances (see instructions) .	10,308,110	9,830,834		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	10,308,110
2 Enter amount from Part I, line 27a	2	-481,584
3 Other increases not included in line 2 (itemize) ▶ _____	3	4,477
4 Add lines 1, 2, and 3	4	9,831,003
5 Decreases not included in line 2 (itemize) ▶ _____	5	169
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	9,830,834

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	2	110,736
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{		}	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved			2
3 Reserved			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 25,538 and overpayment is 22,390.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address NONE
14 The books are in care of BANK OF AMERICA NA Telephone no. (888) 866-3275
Located at PO BOX 1802 PROVIDENCE RI ZIP+4 029011802
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	10,452,423
b	Average of monthly cash balances.	1b	731,255
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	11,183,678
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	11,183,678
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	167,755
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,015,923
6	Minimum investment return. Enter 5% of line 5.	6	550,796

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	550,796
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	3,146
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	3,146
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	547,650
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	547,650
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	547,650

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	657,454
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	657,454
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	657,454

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				547,650
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			79,210	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	0			
b From 2016.	0			
c From 2017.	0			
d From 2018.	0			
e From 2019.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>657,454</u>				
a Applied to 2019, but not more than line 2a			79,210	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				547,650
e Remaining amount distributed out of corpus	30,594			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	30,594			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	30,594			
10 Analysis of line 9:				
a Excess from 2016.	0			
b Excess from 2017.	0			
c Excess from 2018.	0			
d Excess from 2019.	0			
e Excess from 2020.	30,594			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				616,323
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
31. AT&T INC		2017-07-11	2020-06-03
4. ABBOTT LABORATORIES		2019-10-15	2020-06-03
21. ALIBABA GROUP HLDG LTD ADS		2016-12-21	2020-01-03
129. ALIBABA GROUP HLDG LTD ADS		2015-10-09	2020-01-03
12. ALPHABET INC CL C COM		2016-12-21	2020-01-03
12. ALPHABET INC CL A COM		2016-12-21	2020-01-03
1. AMAZON COM INC		2016-12-21	2020-01-03
17. AMAZON COM INC		2008-10-02	2020-01-03
5. AMEREN CORP		2018-08-21	2020-06-03
15. AMERICAN ELECTRIC POWER CO		2017-09-05	2020-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
978		1,136	-158
367		330	37
4,558		1,880	2,678
27,997		8,890	19,107
16,392		9,524	6,868
16,401		9,736	6,665
1,875		771	1,104
31,877		1,150	30,727
380		321	59
1,304		1,109	195

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-158
			37
			2,678
			19,107
			6,868
			6,665
			1,104
			30,727
			59
			195

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1. AMERICAN EXPRESS CO		2016-12-21	2020-01-02
42. AMGEN INC COM		2016-12-21	2020-01-03
31. APPLE INC COM		2014-02-06	2020-02-04
4. APPLE INC COM		2014-02-06	2020-06-03
164. APPLE INC COM		2014-02-06	2020-09-15
35. APPLE INC COM		2014-02-06	2020-11-24
50. APPLE INC COM		2014-02-06	2020-12-23
130. AUTODESK INC (DEL)		2016-12-21	2020-01-03
30. AUTOMATIC DATA PROCESSING INC		2016-12-21	2020-01-03
8. AUTOMATIC DATA PROCESSING INC		2012-08-02	2020-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
125		76	49
10,049		6,148	3,901
9,882		2,271	7,611
1,299		293	1,006
18,971		3,004	15,967
4,031		641	3,390
6,600		916	5,684
24,093		9,817	14,276
5,092		3,080	2,012
1,209		388	821

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			49
			3,901
			7,611
			1,006
			15,967
			3,390
			5,684
			14,276
			2,012
			821

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4. BAXTER INTERNATIONAL INC		2019-01-09	2020-06-03
27895.26 BLACKSTONE ALTERNATIVE MULTI- STRATEGY FUND-ISTL		2018-10-18	2020-04-21
21397.778 BLACKSTONE ALTERNATIVE MULTI- STRATEGY FUND-ISTL		2018-10-24	2020-04-21
13. BRISTOL MYERS SQUIBB CO COM		2015-06-29	2020-06-03
2. BROADCOM INC COM		2020-01-21	2020-06-03
3. CME GROUP INC COM		2019-11-26	2020-06-03
6. CMS ENERGY CORP COM		2017-01-10	2020-06-03
2. CERNER CORP		2018-02-07	2020-01-03
17. CERNER CORP		2018-02-08	2020-01-03
4. CERNER CORP		2018-10-29	2020-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
361		268	93
254,684		300,153	-45,469
195,362		228,314	-32,952
780		866	-86
620		617	3
556		615	-59
362		249	113
147		127	20
1,252		1,060	192
295		235	60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			93
			-45,469
			-32,952
			-86
			3
			-59
			113
			20
			192
			60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4. CERNER CORP		2018-10-26	2020-01-03
127. CERNER CORP		2016-12-21	2020-01-03
7. CHEVRONTEXACO CORP COM		2019-06-25	2020-06-03
28. CHEVRONTEXACO CORP COM		2019-06-25	2020-12-08
279. CISCO SYSTEMS INCORPORATED		2016-12-21	2020-01-03
34. CISCO SYSTEMS INCORPORATED		2018-08-21	2020-06-03
83. CISCO SYSTEMS INCORPORATED		2018-08-21	2020-09-29
239. COCA COLA CO COM		2016-12-21	2020-01-03
8. COLGATE PALMOLIVE CO		2018-04-16	2020-01-03
14. COLGATE PALMOLIVE CO		2018-04-18	2020-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
295		222	73
9,356		6,173	3,183
681		870	-189
2,577		3,479	-902
13,315		8,501	4,814
1,597		1,568	29
3,257		3,828	-571
13,120		9,946	3,174
547		578	-31
957		1,012	-55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			73
			3,183
			-189
			-902
			4,814
			29
			-571
			3,174
			-31
			-55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
7. COLGATE PALMOLIVE CO		2018-04-17	2020-01-03
3. COLGATE PALMOLIVE CO		2018-04-05	2020-01-03
4. COLGATE PALMOLIVE CO		2018-03-29	2020-01-03
3. COLGATE PALMOLIVE CO		2018-04-06	2020-01-03
4. COLGATE PALMOLIVE CO		2018-04-10	2020-01-03
3. COLGATE PALMOLIVE CO		2018-04-09	2020-01-03
3. COLGATE PALMOLIVE CO		2018-04-04	2020-01-03
8. COLGATE PALMOLIVE CO		2018-04-13	2020-01-03
7. COLGATE PALMOLIVE CO		2018-04-11	2020-01-03
6. COLGATE PALMOLIVE CO		2018-04-12	2020-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
479		505	-26
205		216	-11
274		287	-13
205		215	-10
274		287	-13
205		215	-10
205		214	-9
547		571	-24
479		500	-21
410		427	-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-26
			-11
			-13
			-10
			-13
			-10
			-9
			-24
			-21
			-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2. COLGATE PALMOLIVE CO		2018-03-28	2020-01-03
3. COLGATE PALMOLIVE CO		2018-04-03	2020-01-03
4. COLGATE PALMOLIVE CO		2018-04-02	2020-01-03
25. COLGATE PALMOLIVE CO		2018-04-19	2020-01-03
27. COLGATE PALMOLIVE CO		2018-04-20	2020-01-03
27. COMCAST CORP NEW CL A COM		2020-02-04	2020-06-03
94. CONOCOPHILLIPS COM		2018-07-25	2020-12-08
70. CONOCOPHILLIPS COM		2018-08-21	2020-12-08
109. CONOCOPHILLIPS COM		2018-05-15	2020-12-08
6. CROWN CASTLE INTL CORP REITS		2018-02-20	2020-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
137		142	-5
205		212	-7
274		282	-8
1,710		1,748	-38
1,846		1,842	4
1,116		1,184	-68
3,986		6,701	-2,715
2,969		4,987	-2,018
4,623		7,640	-3,017
1,045		637	408

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-5
			-7
			-8
			-38
			4
			-68
			-2,715
			-2,018
			-3,017
			408

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2. CUMMINS ENGINE INC		2017-05-02	2020-06-03
11. DANONE SPONSORED ADR		2016-12-21	2020-01-02
737. DANONE SPONSORED ADR		2016-12-21	2020-01-03
78. DEERE & COMPANY		2016-12-21	2020-01-03
2. DEERE & COMPANY		2019-11-26	2020-06-03
3. DIGITAL RLTY TR INC REITS		2019-11-27	2020-06-03
12. DISNEY WALT CO		2019-04-30	2020-02-04
14. DISNEY WALT CO		2019-01-09	2020-02-04
27. DISNEY WALT CO		2019-01-09	2020-04-28
94. DISNEY WALT CO		2017-07-11	2020-04-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
351		320	31
182		135	47
12,191		9,049	3,142
13,612		8,046	5,566
316		354	-38
419		363	56
1,728		1,646	82
2,016		1,570	446
2,897		3,027	-130
10,084		9,776	308

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			31
			47
			3,142
			5,566
			-38
			56
			82
			446
			-130
			308

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
52. DISNEY WALT CO		2017-08-22	2020-04-28
4. DOMINION ENERGY INC COM		2019-08-20	2020-06-03
51. DOMINION ENERGY INC COM		2019-08-20	2020-12-22
37. DOMINION ENERGY INC COM		2012-08-02	2020-12-22
61. DOMINION ENERGY INC COM		2012-03-01	2020-12-22
49850.449 DOUBLELINE LOW DURATION BD FUND CL I		2019-12-17	2020-04-16
69.001 DOW INC COM		2019-04-30	2020-04-02
28.333 DOW INC COM		2016-10-18	2020-04-02
121. DOW INC COM		2019-05-14	2020-04-02
7.333 DOW INC COM		2016-03-18	2020-04-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,579		5,324	255
346		309	37
3,751		3,942	-191
2,721		1,977	744
4,486		3,092	1,394
476,570		500,000	-23,430
1,928		3,912	-1,984
792		1,532	-740
3,380		6,391	-3,011
205		383	-178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			255
			37
			-191
			744
			1,394
			-23,430
			-1,984
			-740
			-3,011
			-178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
46.333 DOW INC COM		2016-02-12	2020-04-02
4. EVERSOURCE ENERGY COM		2018-08-21	2020-06-03
180. EXPEDITORS INTL WASH INC		2016-12-21	2020-01-03
10. EXXON MOBIL CORPORATION		2017-10-17	2020-01-21
117. EXXON MOBIL CORPORATION		2015-11-12	2020-01-21
48. EXXON MOBIL CORPORATION		2011-11-04	2020-01-21
19. EXXON MOBIL CORPORATION		2009-12-01	2020-01-21
80. EXXON MOBIL CORPORATION		2009-12-19	2020-01-21
36. EXXON MOBIL CORPORATION		2009-12-01	2020-01-21
103. EXXON MOBIL CORPORATION		2015-09-28	2020-01-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,294		2,135	-841
349		251	98
13,932		9,738	4,194
677		827	-150
7,925		9,379	-1,454
3,251		3,766	-515
1,287		1,445	-158
5,419		6,079	-660
2,438		2,727	-289
6,977		7,520	-543

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-841
			98
			4,194
			-150
			-1,454
			-515
			-158
			-660
			-289
			-543

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
36. EXXON MOBIL CORPORATION		2009-12-15	2020-01-21
54. FACEBOOK INC CL A COM		2016-12-21	2020-01-03
101. FACEBOOK INC CL A COM		2012-11-12	2020-01-03
34. FACTSET RESEARCH SYSTEMS INC		2016-12-21	2020-01-03
8. GILEAD SCIENCES INC		2018-04-17	2020-06-03
4. HERSHEY FOODS CORP		2018-10-16	2020-06-03
3. HOME DEPOT INC		2019-12-27	2020-06-03
4. HONEYWELL INTERNATIONAL INC		2012-08-02	2020-06-03
18.53 INGERSOLL RAND INC COM		2019-01-09	2020-03-17
45.885 INGERSOLL RAND INC COM		2017-07-11	2020-03-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,438		2,474	-36
11,294		6,428	4,866
21,125		2,008	19,117
9,094		5,625	3,469
593		602	-9
540		422	118
754		662	92
613		217	396
394		443	-49
976		1,088	-112

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-36
			4,866
			19,117
			3,469
			-9
			118
			92
			396
			-49
			-112

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
24.585 INGERSOLL RAND INC COM		2016-11-15	2020-03-17
75.126 INGERSOLL RAND INC COM		2016-11-15	2020-03-18
30.874 INGERSOLL RAND INC COM		2016-11-16	2020-03-18
.01 INGERSOLL RAND INC COM		2016-11-16	2020-04-13
101. INTEL CORPORATION		2018-08-21	2020-01-21
8. INTEL CORPORATION		2018-08-21	2020-06-03
13. INTEL CORPORATION		2018-08-21	2020-07-30
196. INTEL CORPORATION		2017-11-14	2020-07-30
21. INTEL CORPORATION		2012-01-05	2020-07-30
265. INTEL CORPORATION		2011-11-18	2020-07-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
523		474	49
1,489		1,449	40
612		592	20
6,129		4,824	1,305
494		382	112
622		621	1
9,372		8,992	380
1,004		530	474
12,671		6,458	6,213

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			49
			40
			20
			1,305
			112
			1
			380
			474
			6,213

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
19. INTEL CORPORATION		2009-02-06	2020-07-30
4. INTEL CORPORATION		2009-02-06	2020-07-30
35. INTEL CORPORATION		2009-02-06	2020-08-18
189. INTEL CORPORATION		2009-01-28	2020-08-18
26. INTEL CORPORATION		2009-01-28	2020-08-18
8. INTERNATIONAL BUSINESS MACHS		2018-04-17	2020-06-03
1850. ISHARES EDGE MSCI MIN VOL EMERGING MKTS ETF		2016-08-31	2020-06-16
350. ISHARES EDGE MSCI MIN VOL EMERGING MKTS ETF		2016-07-27	2020-06-16
85. ISHARES MSCI EMERGING MKTS INDEX FUND		2016-09-28	2020-05-06
1600. ISHARES MSCI EMERGING MKTS INDEX FUND		2016-10-07	2020-05-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
908		280	628
191		59	132
1,706		514	1,192
9,213		2,659	6,554
1,267		365	902
1,031		1,277	-246
96,039		98,642	-2,603
18,170		18,545	-375
3,053		3,229	-176
57,471		60,696	-3,225

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			628
			132
			1,192
			6,554
			902
			-246
			-2,603
			-375
			-176
			-3,225

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1520. ISHARES MSCI EMERGING MKTS INDEX FUND		2016-10-07	2020-06-16
282. ISHARES FLTG RATE BOND ETF		2017-10-30	2020-04-16
3150. ISHARES FLTG RATE BOND ETF		2017-09-25	2020-04-16
2. KLA-TENCOR CORP COM		2019-02-19	2020-06-03
13. KLA-TENCOR CORP COM		2019-02-19	2020-12-22
4. KLA-TENCOR CORP COM		2017-10-11	2020-12-22
2. KIMBERLY CLARK CORP COM		2019-10-29	2020-06-03
5. LAM RESEARCH CORP		2019-04-30	2020-06-03
13. LAM RESEARCH CORP		2019-04-30	2020-12-22
3. LILLY ELI & COMPANY		2019-12-11	2020-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
60,236		57,664	2,572
14,018		14,381	-363
156,583		160,398	-3,815
372		218	154
3,352		1,418	1,934
1,031		418	613
283		266	17
1,435		1,037	398
6,199		2,697	3,502
457		363	94

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,572
			-363
			-3,815
			154
			1,934
			613
			17
			398
			3,502
			94

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2. LOCKHEED MARTIN CORPORATION		2019-12-27	2020-06-03
26. M & T BANK CORPORATION		2019-01-22	2020-03-17
38. M & T BANK CORPORATION		2019-01-22	2020-03-18
37. M & T BANK CORPORATION		2019-06-25	2020-03-18
5. MARSH & MCLENNAN COS INC		2015-06-29	2020-06-03
13. MCDONALDS CORP		2012-08-02	2020-02-04
2. MCDONALDS CORP		2012-08-02	2020-06-03
72. MERCK & CO INC NEW COM		2016-12-21	2020-01-03
137. MICROSOFT CORPORATION		2016-12-21	2020-01-03
38. MICROSOFT CORPORATION		2010-03-26	2020-02-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
802		787	15
2,830		4,319	-1,489
3,987		6,312	-2,325
3,882		6,141	-2,259
546		287	259
2,795		1,154	1,641
385		177	208
6,588		4,298	2,290
21,815		8,706	13,109
6,849		1,132	5,717

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			15
			-1,489
			-2,325
			-2,259
			259
			1,641
			208
			2,290
			13,109
			5,717

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5. MICROSOFT CORPORATION		2010-03-26	2020-06-03
9. MICROSOFT CORPORATION		2010-03-26	2020-06-23
22. MICROSOFT CORPORATION		2010-03-26	2020-11-24
30. MICROSOFT CORPORATION		2010-03-26	2020-12-22
57. MONDELEZ INTL INC COM		2019-01-29	2020-04-02
11. MONSTER BEVERAGE CORP COM		2019-08-08	2020-01-03
17. MONSTER BEVERAGE CORP COM		2019-08-09	2020-01-03
38. MONSTER BEVERAGE CORP COM		2016-12-21	2020-01-03
222. MONSTER BEVERAGE CORP COM		2015-06-08	2020-01-03
2. NEXTERA ENERGY INC COM		2012-08-02	2020-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
926		149	777
1,824		268	1,556
4,695		656	4,039
6,699		894	5,805
2,835		2,477	358
695		662	33
1,075		1,017	58
2,402		1,731	671
14,033		9,294	4,739
521		139	382

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			777
			1,556
			4,039
			5,805
			358
			33
			58
			671
			4,739
			382

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1. NORTHROP CORPORATION		2019-12-11	2020-06-03
8. NOVARTIS AG ADR		2019-12-27	2020-01-03
10. NOVARTIS AG ADR		2019-12-23	2020-01-03
7. NOVARTIS AG ADR		2019-12-26	2020-01-03
10. NOVARTIS AG ADR		2019-12-30	2020-01-03
4. NOVARTIS AG ADR		2019-12-24	2020-01-03
1. NOVARTIS AG ADR		2019-12-31	2020-01-03
89. NOVARTIS AG ADR		2016-12-21	2020-01-03
255. NOVO-NORDISK A S ADR		2016-12-21	2020-01-03
5. NVIDIA CORP COM		2019-06-25	2020-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
336		347	-11
760		764	-4
950		949	1
665		664	1
950		948	2
380		379	1
95		95	
8,452		5,603	2,849
14,569		9,042	5,527
1,181		768	413

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-11
			-4
			1
			1
			2
			1
			2,849
			5,527
			413

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1. NVIDIA CORP COM		2019-06-24	2020-01-03
9. NVIDIA CORP COM		2019-01-15	2020-01-03
18. NVIDIA CORP COM		2019-01-14	2020-01-03
21. NVIDIA CORP COM		2019-01-11	2020-01-03
9. NVIDIA CORP COM		2019-01-10	2020-01-03
4. NVIDIA CORP COM		2019-01-28	2020-01-03
15. ORACLE SYS CORP		2017-10-25	2020-01-03
17. ORACLE SYS CORP		2017-10-24	2020-01-03
12. ORACLE SYS CORP		2017-10-23	2020-01-03
3. ORACLE SYS CORP		2017-10-20	2020-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
236		153	83
2,126		1,365	761
4,252		2,694	1,558
4,961		3,101	1,860
2,126		1,300	826
945		561	384
809		751	58
917		849	68
648		593	55
162		148	14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			83
			761
			1,558
			1,860
			826
			384
			58
			68
			55
			14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
27. ORACLE SYS CORP		2017-12-15	2020-01-03
9. ORACLE SYS CORP		2018-06-26	2020-01-03
5. ORACLE SYS CORP		2018-06-27	2020-01-03
11. ORACLE SYS CORP		2018-06-25	2020-01-03
11. ORACLE SYS CORP		2018-06-22	2020-01-03
335. ORACLE SYS CORP		2016-12-21	2020-01-03
5. PARKER HANNIFIN CORPORATION		2018-09-04	2020-06-03
6. PEPSICO INCORPORATED		2016-02-12	2020-06-03
14. PEPSICO INCORPORATED		2015-03-26	2020-07-21
18. PFIZER INC		2011-08-30	2020-03-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,457		1,296	161
486		402	84
270		221	49
594		485	109
594		482	112
18,078		13,027	5,051
978		874	104
799		590	209
1,897		1,339	558
524		341	183

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			161
			84
			49
			109
			112
			5,051
			104
			209
			558
			183

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
112. PFIZER INC		2011-08-15	2020-03-20
353. PFIZER INC		2009-07-09	2020-03-20
58. PFIZER INC		2009-07-09	2020-03-23
43. PFIZER INC		2009-07-09	2020-03-23
37. PFIZER INC		2009-07-10	2020-03-23
5. PHILIP MORRIS INTL INC COM		2012-08-02	2020-06-03
3. PRICE T ROWE GROUP INC COM		2019-12-11	2020-06-03
53. PRINCIPAL FINL GROUP INC COM		2018-08-21	2020-03-17
72. PRINCIPAL FINL GROUP INC COM		2018-08-21	2020-03-18
96. PRINCIPAL FINL GROUP INC COM		2018-08-22	2020-03-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,260		2,038	1,222
10,274		5,074	5,200
1,662		834	828
1,232		618	614
1,060		525	535
371		448	-77
381		369	12
1,520		2,999	-1,479
1,899		4,074	-2,175
2,532		5,403	-2,871

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,222
			5,200
			828
			614
			535
			-77
			12
			-1,479
			-2,175
			-2,871

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25. PRINCIPAL FINL GROUP INC COM		2018-09-04	2020-03-18
106. PROCTER & GAMBLE CO COM		2016-12-21	2020-01-03
7. PROCTER & GAMBLE CO COM		2018-03-29	2020-01-03
3. PROCTER & GAMBLE CO COM		2018-03-28	2020-01-03
3. PROCTER & GAMBLE CO COM		2018-04-02	2020-01-03
14. PROCTER & GAMBLE CO COM		2019-05-14	2020-06-03
7576.128 PUTNAM SHORT DURATION INCOME FUND CL Y		2018-07-24	2020-04-16
7711.133 PUTNAM SHORT DURATION INCOME FUND CL Y		2018-07-11	2020-04-16
11442.34 PUTNAM SHORT DURATION INCOME FUND CL Y		2019-05-01	2020-04-16
102435.549 PUTNAM SHORT DURATION INCOME FUND CL Y		2019-08-13	2020-04-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
659		1,390	-731
13,078		8,942	4,136
864		558	306
370		237	133
370		236	134
1,658		1,489	169
75,610		76,216	-606
76,957		77,574	-617
114,195		115,110	-915
1,022,307		1,030,502	-8,195

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-731
			4,136
			306
			133
			134
			169
			-606
			-617
			-915
			-8,195

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
183. QUALCOMM INC		2016-12-21	2020-01-03
8102.661 BOSTON PARTNERS LONG/SHORT RESH FUND INSTL CL		2014-11-25	2020-06-16
2. REGENERON PHARMACEUTICALS INC		2017-10-23	2020-01-03
23. REGENERON PHARMACEUTICALS INC		2016-12-21	2020-01-03
1. REGENERON PHARMACEUTICALS INC		2018-03-29	2020-01-03
1. REGENERON PHARMACEUTICALS INC		2018-02-12	2020-01-03
1. REGENERON PHARMACEUTICALS INC		2018-03-28	2020-01-03
1. REGENERON PHARMACEUTICALS INC		2018-02-16	2020-01-03
1. REGENERON PHARMACEUTICALS INC		2018-02-15	2020-01-03
2. REGENERON PHARMACEUTICALS INC		2018-02-09	2020-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,934		11,979	3,955
106,712		124,376	-17,664
740		867	-127
8,515		8,446	69
370		345	25
370		342	28
370		339	31
370		333	37
370		331	39
740		662	78

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,955
			-17,664
			-127
			69
			25
			28
			31
			37
			39
			78

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2. REGENERON PHARMACEUTICALS INC		2018-04-02	2020-01-03
1. REGENERON PHARMACEUTICALS INC		2018-02-14	2020-01-03
1. REGENERON PHARMACEUTICALS INC		2019-07-03	2020-01-03
1. REGENERON PHARMACEUTICALS INC		2019-07-01	2020-01-03
1. REGENERON PHARMACEUTICALS INC		2019-07-02	2020-01-03
1. REGENERON PHARMACEUTICALS INC		2019-06-28	2020-01-03
1. REGENERON PHARMACEUTICALS INC		2018-04-27	2020-01-03
2. REGENERON PHARMACEUTICALS INC		2018-04-30	2020-01-03
5. ROCHE HLDG LTD SPONSORED ADR		2019-12-27	2020-01-06
7. ROCHE HLDG LTD SPONSORED ADR		2019-12-30	2020-01-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
740		661	79
370		327	43
370		316	54
370		316	54
370		316	54
370		314	56
370		309	61
740		609	131
203		204	-1
284		285	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			79
			43
			54
			54
			54
			56
			61
			131
			-1
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
85. ROCHE HLDG LTD SPONSORED ADR		2020-01-03	2020-01-06
11. ROCHE HLDG LTD SPONSORED ADR		2019-12-23	2020-01-06
16. ROCHE HLDG LTD SPONSORED ADR		2019-09-30	2020-01-06
15. ROCHE HLDG LTD SPONSORED ADR		2019-10-07	2020-01-06
22. ROCHE HLDG LTD SPONSORED ADR		2019-09-27	2020-01-06
21. ROCHE HLDG LTD SPONSORED ADR		2019-10-01	2020-01-06
14. ROCHE HLDG LTD SPONSORED ADR		2019-09-26	2020-01-06
16. ROCHE HLDG LTD SPONSORED ADR		2019-10-04	2020-01-06
57. ROCHE HLDG LTD SPONSORED ADR		2019-10-10	2020-01-06
63. ROCHE HLDG LTD SPONSORED ADR		2019-10-09	2020-01-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,450		3,448	2
447		442	5
649		584	65
609		546	63
893		800	93
852		760	92
568		507	61
649		578	71
2,314		2,056	258
2,557		2,270	287

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2
			5
			65
			63
			93
			92
			61
			71
			258
			287

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
24. ROCHE HLDG LTD SPONSORED ADR		2019-10-08	2020-01-06
29. ROCHE HLDG LTD SPONSORED ADR		2019-10-02	2020-01-06
22. ROCHE HLDG LTD SPONSORED ADR		2019-10-03	2020-01-06
187. S E I INC		2016-12-21	2020-01-03
96. SCHLUMBERGER LTD COM		2016-12-21	2020-01-03
1. SCHLUMBERGER LTD COM		2017-08-02	2020-01-03
20. SCHLUMBERGER LTD COM		2017-08-01	2020-01-03
23. SCHLUMBERGER LTD COM		2017-07-28	2020-01-03
18. SCHLUMBERGER LTD COM		2017-07-31	2020-01-03
5. SCHLUMBERGER LTD COM		2017-07-27	2020-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
974		863	111
1,177		1,025	152
893		777	116
12,128		9,303	2,825
3,880		8,268	-4,388
40		69	-29
808		1,373	-565
930		1,573	-643
727		1,229	-502
202		338	-136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			111
			152
			116
			2,825
			-4,388
			-29
			-565
			-643
			-502
			-136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4. SCHLUMBERGER LTD COM		2017-10-20	2020-01-03
17. SCHLUMBERGER LTD COM		2017-10-23	2020-01-03
8. SCHLUMBERGER LTD COM		2019-12-24	2020-01-03
9. SCHLUMBERGER LTD COM		2019-12-30	2020-01-03
11. SCHLUMBERGER LTD COM		2019-12-23	2020-01-03
7. SCHLUMBERGER LTD COM		2019-12-26	2020-01-03
7. SCHLUMBERGER LTD COM		2019-12-27	2020-01-03
1. SCHLUMBERGER LTD COM		2019-12-31	2020-01-03
13. SONOCO PRODUCTS CO		2018-08-21	2020-06-03
21. STARBUCKS CORP COM		2018-04-16	2020-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
162		252	-90
687		1,057	-370
323		325	-2
364		364	
445		445	
283		282	1
283		281	2
40		40	
704		734	-30
1,864		1,247	617

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-90
			-370
			-2
			1
			2
			-30
			617

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
10. STARBUCKS CORP COM		2018-04-10	2020-01-03
20. STARBUCKS CORP COM		2018-04-13	2020-01-03
6. STARBUCKS CORP COM		2018-04-05	2020-01-03
7. STARBUCKS CORP COM		2018-04-09	2020-01-03
8. STARBUCKS CORP COM		2018-04-06	2020-01-03
7. STARBUCKS CORP COM		2018-04-04	2020-01-03
3. STARBUCKS CORP COM		2018-03-28	2020-01-03
10. STARBUCKS CORP COM		2018-03-29	2020-01-03
10. STARBUCKS CORP COM		2018-04-03	2020-01-03
13. STARBUCKS CORP COM		2018-04-02	2020-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
887		593	294
1,775		1,184	591
532		354	178
621		413	208
710		469	241
621		408	213
266		174	92
887		576	311
887		575	312
1,154		730	424

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			294
			591
			178
			208
			241
			213
			92
			311
			312
			424

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
10. STARBUCKS CORP COM		2018-06-22	2020-01-03
7. STARBUCKS CORP COM		2018-06-25	2020-01-03
5. STARBUCKS CORP COM		2018-06-26	2020-01-03
40. SUNCOR ENERGY INC NEW COM		2018-02-20	2020-03-20
91. SUNCOR ENERGY INC NEW COM		2017-10-17	2020-03-20
70. SUNCOR ENERGY INC NEW COM		2020-01-21	2020-03-20
64. SUNCOR ENERGY INC NEW COM		2020-01-21	2020-03-23
223. SUNCOR ENERGY INC NEW COM		2016-12-13	2020-03-23
116. SUNCOR ENERGY INC NEW COM		2016-12-14	2020-03-23
26. SUNCOR ENERGY INC NEW COM		2020-01-22	2020-03-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
887		513	374
621		354	267
444		252	192
445		1,393	-948
1,012		3,062	-2,050
779		2,336	-1,557
686		2,135	-1,449
2,389		7,430	-5,041
1,243		3,862	-2,619
279		861	-582

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			374
			267
			192
			-948
			-2,050
			-1,557
			-1,449
			-5,041
			-2,619
			-582

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
57. TJX COMPANIES INCORPORATED NEW		2019-05-14	2020-04-06
206. TJX COMPANIES INCORPORATED NEW		2015-08-14	2020-04-06
6. TARGET CORP		2019-12-27	2020-06-03
17. TEXAS INSTRS INC		2017-10-09	2020-06-03
10. TEXAS INSTRS INC		2013-06-25	2020-06-03
25. 3M CO COM		2016-09-27	2020-03-03
77. 3M CO COM		2016-09-26	2020-03-03
11. 3M CO COM		2016-11-15	2020-03-03
18. 3M CO COM		2019-06-25	2020-03-03
128. TRUIST FINL CORP COM		2020-01-21	2020-04-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,628		2,998	-370
9,496		7,265	2,231
731		774	-43
2,168		1,555	613
1,275		350	925
3,711		4,412	-701
11,430		13,560	-2,130
1,633		1,904	-271
2,672		3,097	-425
3,950		7,218	-3,268

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-370
			2,231
			-43
			613
			925
			-701
			-2,130
			-271
			-425
			-3,268

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
112. TRUIST FINL CORP COM		2019-11-12	2020-04-07
48. TRUIST FINL CORP COM		2018-04-17	2020-04-07
72. TRUIST FINL CORP COM		2018-06-26	2020-04-07
32. TRUIST FINL CORP COM		2018-06-26	2020-04-07
4. TRUIST FINL CORP COM		2018-06-26	2020-04-08
48. TRUIST FINL CORP COM		2018-03-27	2020-04-08
32. TRUIST FINL CORP COM		2018-06-05	2020-04-08
306. TRUIST FINL CORP COM		2016-11-01	2020-04-08
23. UNION PAC CORP COM		2018-02-07	2020-06-03
19. UNITED PARCEL SERVICE CL B		2019-10-29	2020-06-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,457		6,090	-2,633
1,481		2,488	-1,007
2,222		3,692	-1,470
988		1,641	-653
126		205	-79
1,518		2,398	-880
1,012		1,581	-569
9,677		11,887	-2,210
4,086		3,018	1,068
2,076		2,231	-155

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-2,633
			-1,007
			-1,470
			-653
			-79
			-880
			-569
			-2,210
			1,068
			-155

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
8. UNITED PARCEL SERVICE CL B		2019-03-26	2020-06-23
1000000. UNITED STATES TREAS BD DTD 11/15/10 2.625% DUE 11/15/20		2020-02-20	2020-11-15
1000000. UNITED STATES TREAS NT DTD 02/28/13 1.250% DUE 02/29/20		2019-08-13	2020-02-29
1000000. UNITED STATES TREAS NT DTD 07/01/13 1.875% DUE 06/30/20		2019-08-23	2020-06-30
5. UNITEDHEALTH GROUP INC		2019-11-12	2020-06-03
47. VALERO ENERGY CORP - NEW		2020-01-21	2020-12-08
44. VALERO ENERGY CORP - NEW		2020-01-21	2020-12-22
48. VALERO ENERGY CORP - NEW		2015-11-20	2020-12-22
138. VALERO ENERGY CORP - NEW		2015-11-20	2020-12-23
38. VARIAN MEDICAL SYSTEMS INC		2016-12-21	2020-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
874		866	8
1,000,000		1,000,000	
1,000,000		996,094	3,906
1,000,000		1,000,000	
1,526		1,276	250
2,759		4,244	-1,485
2,378		3,973	-1,595
2,594		3,451	-857
7,581		9,922	-2,341
5,498		3,021	2,477

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			8
			3,906
			250
			-1,485
			-1,595
			-857
			-2,341
			2,477

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
9. VERIZON COMMUNICATIONS		2019-12-11	2020-06-03
80. VISA INC CL A COM		2016-12-21	2020-01-03
116. VISA INC CL A COM		2011-10-03	2020-01-03
6. WEC ENERGY GROUP INC COM		2017-09-05	2020-06-03
4. WAL-MART STORES INCORPORATED		2020-04-06	2020-06-03
30. WAL-MART STORES INCORPORATED		2020-04-06	2020-12-22
8. WAL-MART STORES INCORPORATED		2014-08-27	2020-12-22
5. WAL-MART STORES INCORPORATED		2014-08-28	2020-12-22
15. WASTE MANAGEMENT INC		2016-06-28	2020-06-03
36. WELLS FARGO COMPANY		2018-01-23	2020-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
511		552	-41
15,214		6,260	8,954
22,060		2,468	19,592
565		393	172
494		497	-3
4,313		3,728	585
1,150		606	544
719		379	340
1,653		960	693
1,891		2,329	-438

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-41
			8,954
			19,592
			172
			-3
			585
			544
			340
			693
			-438

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
34. WELLS FARGO COMPANY		2018-06-26	2020-01-07
73. WELLS FARGO COMPANY		2018-06-26	2020-05-13
101. WELLS FARGO COMPANY		2018-04-17	2020-05-13
128. WELLS FARGO COMPANY		2019-06-25	2020-05-13
105. WELLS FARGO COMPANY		2012-02-21	2020-05-13
149. WELLS FARGO COMPANY		2012-08-02	2020-05-13
80. WELLS FARGO COMPANY		2020-04-07	2020-05-13
203. WELLS FARGO COMPANY		2004-05-19	2020-05-13
119. WELLS FARGO COMPANY		2020-03-17	2020-05-13
9. XCEL ENERGY INC COM		2019-04-30	2020-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,786		1,818	-32
1,622		3,904	-2,282
2,244		5,140	-2,896
2,843		5,926	-3,083
2,333		3,489	-1,156
3,310		4,920	-1,610
1,777		2,342	-565
4,510		5,902	-1,392
2,644		3,454	-810
601		506	95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-32
			-2,282
			-2,896
			-3,083
			-1,156
			-1,610
			-565
			-1,392
			-810
			95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
88. YUM BRANDS INC COM		2016-12-21	2020-01-03
15. YUM CHINA HLDGS INC COM		2018-05-03	2020-01-03
7. YUM CHINA HLDGS INC COM		2018-05-02	2020-01-03
125. YUM CHINA HLDGS INC COM		2016-12-21	2020-01-03
4. ACCENTURE PLC CL A COM		2019-06-25	2020-06-03
4. MEDTRONIC PLC COM		2019-12-11	2020-06-03
19. TRANE TECH PUB LTD CO COM		2020-03-17	2020-06-03
7. ALCON INC COM		2016-12-21	2020-01-02
1. ALCON INC COM		2016-12-21	2020-01-03
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,957		5,665	3,292
724		557	167
338		259	79
6,035		3,351	2,684
827		741	86
389		446	-57
1,790		1,744	46
394		307	87
57		44	13
			2,466

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,292
			167
			79
			2,684
			86
			-57
			46
			87
			13

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CLARKSVILLE-MONTGOMERY COUNTY COMMUNITY HEALTH FOUNDATION INC CLARKSVILLE, TN 370400017	N/A	PC	UNRESTRICTED GENERAL	175,652
CLARKSVILLE-MONTGOMERY MUSEUM COLIN MCALEXANDER CHIEF FINANCIA CLARKSVILLE, TN 370410383	N/A	PC	UNRESTRICTED GENERAL	89,367
AUSTIN PEAY STATE UNIVERSITY ATTN KRIS PHILLIPSPO BOX 4417 CLARKSVILLE, TN 370449998	N/A	PC	SCHOLARSHIP	175,652
Total ▶ 3a				616,323

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
CLARKSVILLE-MONTGOMERY LIBRARY ATTN:MARTHA HENDRICKS DIRECTOR 350 PAGEANT LN STE 501 CLARKSVILLE, TN 370403813	N/A	PC	UNRESTRICTED GENERAL	175,652
Total ▶ 3a				616,323

TY 2020 Accounting Fees Schedule**Name:** TUW FINLEY GRACEY CHARITABLE**EIN:** 62-6222439

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE - BOA	1,250	750		500

TY 2020 General Explanation Attachment**Name:** TUW FINLEY GRACEY CHARITABLE**EIN:** 62-6222439**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	FEDERAL FOOTNOTE	PART VIII LIST OF OFFICERS AND DIRECTORS	THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.

TY 2020 Investments Corporate Stock Schedule**Name:** TUW FINLEY GRACEY CHARITABLE**EIN:** 62-6222439

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED STATEMENT	5,109,401	6,870,047

TY 2020 Investments Government Obligations Schedule**Name:** TUW FINLEY GRACEY CHARITABLE**EIN:** 62-6222439**US Government Securities - End
of Year Book Value:**

4,521,073

**US Government Securities - End
of Year Fair Market Value:**

4,521,270

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2020 Legal Fees Schedule**Name:** TUW FINLEY GRACEY CHARITABLE**EIN:** 62-6222439

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - CHARITABLE	2,218			2,218

TY 2020 Other Decreases Schedule**Name:** TUV FINLEY GRACEY CHARITABLE**EIN:** 62-6222439

Description	Amount
C/V ADJ	169

TY 2020 Other Increases Schedule**Name:** TUW FINLEY GRACEY CHARITABLE**EIN:** 62-6222439**Other Increases Schedule**

Description	Amount
INCOME ADJ	3,169
MUTUAL FUND EARNINGS	383
SECURITIES ADJ	3
SALES ADJ	921
ROUNDING	1

TY 2020 Other Professional Fees Schedule**Name:** TUW FINLEY GRACEY CHARITABLE**EIN:** 62-6222439

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	6,880	6,880		

TY 2020 Taxes Schedule**Name:** T UW FINLEY GRACEY CHARITABLE**EIN:** 62-6222439**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	31	31		0
EXCISE TAX - PRIOR YEAR	22,066	0		0
EXCISE TAX ESTIMATES	28,684	0		0
FOREIGN TAXES ON QUALIFIED FOR	3,310	3,310		0
FOREIGN TAXES ON NONQUALIFIED	1,499	1,499		0