

Form **990**
Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047
2020
Open to Public Inspection

A For the 2020 calendar year, or tax year beginning 07-01-2020, and ending 06-30-2021

B Check if applicable:
 Address change
 Name change
 Initial return
 Final return/terminated
 Amended return
 Application pending

C Name of organization
Vanderbilt University

Doing business as

Number and street (or P.O. box if mail is not delivered to street address) Room/suite
PMB 406310 2301 Vanderbilt Place

City or town, state or province, country, and ZIP or foreign postal code
Nashville, TN 372406310

D Employer identification number
62-0476822

E Telephone number
(615) 343-6601

G Gross receipts \$ 11,686,252,712

F Name and address of principal officer:
Daniel Diermeier
211 Kirkland 2201 Westend
Nashville, TN 37240

H(a) Is this a group return for subordinates? Yes No

H(b) Are all subordinates included? Yes No
If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: 501(c)(3) 501(c) () (insert no.) 4947(a)(1) or 527

J Website: ▶ www.vanderbilt.edu

K Form of organization: Corporation Trust Association Other ▶

L Year of formation: 1873 **M** State of legal domicile: TN

Part I Summary

1 Briefly describe the organization's mission or most significant activities:
See Schedule O

2 Check this box if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a)	28
4 Number of independent voting members of the governing body (Part VI, line 1b)	27
5 Total number of individuals employed in calendar year 2020 (Part V, line 2a)	12,336
6 Total number of volunteers (estimate if necessary)	10,600
7a Total unrelated business revenue from Part VIII, column (C), line 12	4,805,677
7b Net unrelated business taxable income from Form 990-T, line 39	0

	Prior Year	Current Year
8 Contributions and grants (Part VIII, line 1h)	294,665,646	306,947,408
9 Program service revenue (Part VIII, line 2g)	1,166,209,249	1,208,081,899
10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	212,182,878	1,299,794,832
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	35,799,164	29,422,008
12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,708,856,937	2,844,246,147
13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)	397,308,108	410,818,784
14 Benefits paid to or for members (Part IX, column (A), line 4)		0
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	724,116,070	724,662,639
16a Professional fundraising fees (Part IX, column (A), line 11e)	753,504	183,844
b Total fundraising expenses (Part IX, column (D), line 25) ▶ 28,409,222		
17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	501,316,383	786,933,220
18 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	1,623,494,065	1,922,598,487
19 Revenue less expenses. Subtract line 18 from line 12	85,362,872	921,647,660
	Beginning of Current Year	End of Year
20 Total assets (Part X, line 16)	9,430,450,954	13,854,160,867
21 Total liabilities (Part X, line 26)	2,884,156,827	3,400,411,597
22 Net assets or fund balances. Subtract line 21 from line 20	6,546,294,127	10,453,749,270

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer: *****
Date: 2022-05-09

BRETT SWEET CFO
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name: PRICEWATERHOUSECOOPERS LLP
Preparer's signature: [Signature]
Date: [Date]

Check if self-employed PTIN: P00369623
Firm's name: PRICEWATERHOUSECOOPERS LLP Firm's EIN: 13-4008324
Firm's address: 655 New York Ave NW Suite 1100 WASHINGTON, DC 20001 Phone no. (202) 414-1000

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:

See Schedule O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 1,190,097,048 including grants of \$ 357,818,022) (Revenue \$ 1,136,638,866)

See Additional Data

4b (Code:) (Expenses \$ 241,957,548 including grants of \$ 53,000,762) (Revenue \$ 80,438,813)

See Additional Data

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4d Other program services (Describe in Schedule O.)
(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses ▶ 1,432,054,596

Part IV Checklist of Required Schedules

Table with 3 columns: Question ID, Question Text, Yes, No. Rows include questions 1 through 21 regarding organizational requirements, such as political campaign activities, lobbying, and financial reporting.

Part IV Checklist of Required Schedules (continued)

		Yes	No	
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II	26		No
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27	Yes	
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV	28a		No
b	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b	Yes	
c	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If "Yes," complete Schedule L, Part IV	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No	
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	18,722	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

Table with 10 columns: Question ID, Question Text, Answer Field, and Yes/No/Amount columns. Rows include questions 2a through 16 regarding employee reporting, tax returns, gross income, foreign accounts, annual receipts, contributions, and various organizational requirements.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI



Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a (28), 1b (27), 2, 3, 4, 5, 6, 7a, 7b, 8a, 8b, 9.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a, 10b, 11a, 11b, 12a, 12b, 12c, 13, 14, 15a, 15b, 16a, 16b.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed
18 Section 6104 requires an organization to make its Form 1023 (or 1024-A if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records:

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514	
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c					
	d Related organizations	1d					
	e Government grants (contributions)	1e	194,760,860				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	112,186,548				
	g Noncash contributions included in lines 1a - 1f:\$	1g	30,853,275				
	h Total. Add lines 1a-1f			306,947,408			
Program Service Revenue	2a Tuition and Fees	Business Code					
		611310	651,316,220	651,316,220			
	b Affiliated Entity Rev	611310	322,203,674	322,203,674			
	c Room, Board & Auxiliary	611310	139,235,773	139,235,483	290		
	d Research & Other Contr	611310	80,438,813	80,438,813			
	e Other Auxiliary	611310	4,446,615	4,341,320	105,295		
	f All other program service revenue.		10,440,804	10,440,804	0	0	
g Total. Add lines 2a-2f.		1,208,081,899					
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		198,033,826		-2,618,665	200,652,491	
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties		10,992,747		8,455	10,984,292	
	6a Gross rents	(i) Real					
		(ii) Personal					
		6a	6,557,068				
		b Less: rental expenses	6b	4,471,571			
	c Rental income or (loss)	6c	2,085,497	0			
	d Net rental income or (loss)			2,085,497		2,085,497	
	7a Gross amount from sales of assets other than inventory	(i) Securities					
		(ii) Other					
		7a	9,939,296,000				
		b Less: cost or other basis and sales expenses	7b	8,837,534,994			
	c Gain or (loss)	7c	1,101,761,006	0			
	d Net gain or (loss)			1,101,761,006	-37,682	1,101,798,688	
8a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	8a						
	b Less: direct expenses	8b					
c Net income or (loss) from fundraising events							
9a Gross income from gaming activities. See Part IV, line 19	9a						
	b Less: direct expenses	9b					
c Net income or (loss) from gaming activities							
10a Gross sales of inventory, less returns and allowances	10a						
	b Less: cost of goods sold	10b					
c Net income or (loss) from sales of inventory							
Miscellaneous Revenue		Business Code					
11a Other Revenue		721110	7,541,037	193,053	7,347,984		
b Predevelopment Reimbursement		531190	8,802,727	8,802,727			
c							
d All other revenue			0	0	0	0	
e Total. Add lines 11a-11d			16,343,764				
12 Total revenue. See instructions			2,844,246,147	1,216,972,094	4,805,677	1,315,520,968	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	51,793,774	51,793,774		
2 Grants and other assistance to domestic individuals. See Part IV, line 22	357,818,022	357,818,022		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.	1,206,988	1,206,988		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	14,513,676	2,523,723	9,630,481	2,359,472
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	390,637	390,637		
7 Other salaries and wages	580,549,741	522,627,564	43,935,220	13,986,957
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)	30,312,438	27,288,128	2,294,004	730,306
9 Other employee benefits	63,419,645	52,713,098	8,283,658	2,422,889
10 Payroll taxes	35,476,502	31,936,967	2,684,814	854,721
11 Fees for services (non-employees):				
a Management	923,537	177,734	745,803	
b Legal	6,794,881		6,794,881	
c Accounting	811,679		811,679	
d Lobbying				
e Professional fundraising services. See Part IV, line 17	183,844			183,844
f Investment management fees	316,295,244		316,295,244	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	103,253,437	93,870,835	8,298,202	1,084,400
12 Advertising and promotion	4,944,686	3,839,232	532,100	573,354
13 Office expenses	62,179,268	56,609,857	5,190,920	378,491
14 Information technology	30,207,974	26,520,312	2,968,761	718,901
15 Royalties	5,942,163	5,942,163		
16 Occupancy	77,075,414	58,918,813	16,202,524	1,954,077
17 Travel	5,680,005	5,247,095	408,845	24,065
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	2,530,510	2,338,621	170,783	21,106
20 Interest	19,160,024	16,945,386	2,214,638	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	104,341,851	73,760,985	29,117,589	1,463,277
23 Insurance	11,918,134	11,686,730	156,746	74,658
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a Other program	5,753,596	5,553,061	200,535	
b Other library	17,102,216	17,048,879		53,337
c				
d				
e All other expenses	12,018,601	5,295,992	5,197,242	1,525,367
25 Total functional expenses. Add lines 1 through 24e	1,922,598,487	1,432,054,596	462,134,669	28,409,222
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	25,965,822	1	54,465,387
	2 Savings and temporary cash investments	599,572,067	2	1,063,824,980
	3 Pledges and grants receivable, net	155,447,057	3	141,171,008
	4 Accounts receivable, net	58,092,525	4	59,396,205
	5 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons	0	5	0
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)	0	6	0
	7 Notes and loans receivable, net	723,604	7	513,325
	8 Inventories for sale or use	362,060	8	198,529
	9 Prepaid expenses and deferred charges	18,491,233	9	16,313,741
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 2,712,536,329		
	b Less: accumulated depreciation	10b 1,257,982,944	1,429,892,892	10c 1,454,553,385
	11 Investments—publicly traded securities	4,690,176,550	11	6,216,468,806
	12 Investments—other securities. See Part IV, line 11	2,367,253,528	12	4,766,361,347
	13 Investments—program-related. See Part IV, line 11	21,589,571	13	19,406,552
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	62,884,045	15	61,487,602
16 Total assets. Add lines 1 through 15 (must equal line 33)	9,430,450,954	16	13,854,160,867	
Liabilities	17 Accounts payable and accrued expenses	214,541,962	17	224,322,103
	18 Grants payable		18	
	19 Deferred revenue	1,666,796,580	19	1,922,500,328
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons	0	22	0
	23 Secured mortgages and notes payable to unrelated third parties	535,466,789	23	627,102,185
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D	467,351,496	25	626,486,981
	26 Total liabilities. Add lines 17 through 25	2,884,156,827	26	3,400,411,597
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.			
	27 Net assets without donor restrictions	3,483,190,926	27	5,839,846,993
	28 Net assets with donor restrictions	3,063,103,201	28	4,613,902,277
	Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.			
	29 Capital stock or trust principal, or current funds		29	
	30 Paid-in or capital surplus, or land, building or equipment fund		30	
	31 Retained earnings, endowment, accumulated income, or other funds		31	
32 Total net assets or fund balances	6,546,294,127	32	10,453,749,270	
33 Total liabilities and net assets/fund balances	9,430,450,954	33	13,854,160,867	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	2,844,246,147
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,922,598,487
3	Revenue less expenses. Subtract line 2 from line 1	3	921,647,660
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	6,546,294,127
5	Net unrealized gains (losses) on investments	5	2,986,730,369
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-922,886
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	10	10,453,749,270

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
<p>1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____</p> <p>If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.</p>			
<p>2a Were the organization's financial statements compiled or reviewed by an independent accountant?</p> <p>If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:</p> <p><input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis</p>	2a		No
<p>b Were the organization's financial statements audited by an independent accountant?</p> <p>If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:</p> <p><input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis</p>	2b	Yes	
<p>c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?</p> <p>If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.</p>	2c	Yes	
<p>3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?</p>	3a	Yes	
<p>b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.</p>	3b	Yes	

Additional Data

Software ID: 20011424

Software Version: 2020v4.0

EIN: 62-0476822

Name: Vanderbilt University

Form 990 (2020)

Form 990, Part III, Line 4a:

See Schedule O - Education

Form 990, Part III, Line 4b:

See Schedule O - Academic and Scientific Research

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Daniel Diermeier See Schedule O	50.0	X		X				924,409	0	140,823
Adena Friedman Trustee	1.0 0.0	X						0	0	0
Adolpho A Birch III Secretary	1.0 0.0	X						0	0	0
Alexander C Taylor Trustee	1.0 0.0	X						0	0	0
Andrew Hoine Trustee	1.0 0.0	X						0	0	0
Bruce R Evans Chairman	1.0 0.0	X						0	0	0
Cindy Kent Trustee	1.0 0.0	X						0	0	0
Corey E Thomas Trustee	1.0 0.0	X						0	0	0
Damonta Morgan Trustee	1.0 0.0	X						0	0	0
Daniel Lovinger Trustee	1.0 0.0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
David W Patterson Trustee	1.0 0.0	X						0	0	0
Greg S Allen Trustee	1.0 0.0	X						0	0	0
Ike Lawrence Epstein Trustee	1.0 0.0	X						0	0	0
Jay C Hoag Trustee	1.0 0.0	X						0	0	0
Jeffrey J Rothschild Vice-Chairman	1.0 0.0	X						0	0	0
John R Ingram Trustee	1.0 0.0	X						0	0	0
Jon Winkelried Trustee	1.0 0.0	X						0	0	0
Kathleen E Justice-Moore Trustee	1.0 0.0	X						0	0	0
Kito K Huggins Trustee	1.0 0.0	X						0	0	0
Lee Bass Trustee	1.0 0.0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Mark P Mays Trustee	1.0 0.0	X						0	0	0
Mark Wilf Trustee	1.0 0.0	X						0	0	0
Nora W Tyson Vice-Chariman	1.0 0.0	X						0	0	0
Rebecca Chong Trustee	1.0 0.0	X						0	0	0
Robert C Schiff Jr Trustee	1.0 0.0	X						0	0	0
Shirley M Collado Trustee	1.0 0.0	X						0	0	0
Steven H Madden Sr Trustee	1.0 0.0	X						0	0	0
Suzanne Perot McGee Trustee	1.0 0.0	X						0	0	0
W Douglas Parker Trustee	1.0 0.0	X						0	0	0
Anders W Hall See Schedule O	50.0 2.9			X				2,373,080	0	684,439

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Andre L Churchwell See Schedule O	10.0 0.0			X				282,250	0	0
Brett C Sweet See Schedule O	50.0 8.5			X				1,411,960	0	220,112
Candice S Lee See Schedule O	50.0 0.3			X				673,095	0	46,841
Eric C Kopstain See Schedule O	50.0 2.0			X				770,918	0	73,802
John M Lutz See Schedule O	50.0 0.0			X				1,148,762	0	104,710
Nathan Green See Schedule O	50.0 0.0			X				477,779	0	34,569
Ruby Shellaway See Schedule O	50.0 2.2			X				724,610	0	48,973
Steve Ertel See Schedule O	50.0 0.1			X				450,717	0	42,906
Susan Wentz See Schedule O	50.0 0.2			X				1,662,339	0	348,444
John Geer See Schedule O	50.0 0.0				X			655,380	0	121,396

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Lawrence J Marnett See Schedule O	50.0 0.0				X			680,251	0	54,603
Derek Mason See Schedule O	50.0 0.0					X		3,046,542	0	93,479
Jerry Stackhouse See Schedule O	50.0 0.0					X		2,997,211	0	140,896
Malcolm Turner See Schedule O	50.0 0.0					X		1,660,302	0	12,340
Nicholas S Zeppos See Schedule O	50.0 0.0					X		1,539,843	0	34,929
Timothy C Corbin See Schedule O	50.0 0.0					X		1,447,800	0	220,328
Lauren Benton See Schedule O	50.0 0.0						X	259,830	0	18,445
Susie S Stalcup See Schedule O	50.0 0.0						X	679,492	0	21,764
Tina Smith See Schedule O	50.0 0.0						X	258,149	0	10,883

SCHEDULE A
(Form 990 or 990-EZ)

Public Charity Status and Public Support
Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047
2020
Open to Public Inspection

Department of the Treasury
Internal Revenue Service
Name of the organization
Vanderbilt University

Employer identification number
62-0476822

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
 - f Enter the number of supported organizations _____
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III.
 If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . . .	306,804,251	316,042,655	360,736,447	294,665,646	306,947,408	1,585,196,407
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge..						0
4 Total. Add lines 1 through 3	306,804,251	316,042,655	360,736,447	294,665,646	306,947,408	1,585,196,407
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f).						0
6 Public support. Subtract line 5 from line 4.						1,585,196,407

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
7 Amounts from line 4.	306,804,251	316,042,655	360,736,447	294,665,646	306,947,408	1,585,196,407
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	43,041,890	51,331,677	76,947,114	55,143,251	218,193,851	444,657,783
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.).	104,778	99,457	86,147	64,889	0	355,271
11 Total support. Add lines 7 through 10						2,030,209,461
12 Gross receipts from related activities, etc. (see instructions)					12	5,543,364,579

13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

14 Public support percentage for 2020 (line 6, column (f) divided by line 11, column (f))	14	78.08 %
15 Public support percentage for 2019 Schedule A, Part II, line 14	15	86.46 %

16a 33 1/3% support test—2020. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization

b 33 1/3% support test—2019. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization

17a 10%-facts-and-circumstances test—2020. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

b 10%-facts-and-circumstances test—2019. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶		(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2	Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3	Gross receipts from activities that are not an unrelated trade or business under section 513						
4	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf. . .						
5	The value of services or facilities furnished by a governmental unit to the organization without charge						
6	Total. Add lines 1 through 5						
7a	Amounts included on lines 1, 2, and 3 received from disqualified persons						
b	Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c	Add lines 7a and 7b.						
8	Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶		(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
9	Amounts from line 6.						
10a	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. . .						
b	Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c	Add lines 10a and 10b.						
11	Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13	Total support. (Add lines 9, 10c, 11, and 12.)						

14 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here.**

Section C. Computation of Public Support Percentage

15	Public support percentage for 2020 (line 8, column (f) divided by line 13, column (f))	15	
16	Public support percentage from 2019 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17	Investment income percentage for 2020 (line 10c, column (f) divided by line 13, column (f))	17	
18	Investment income percentage from 2019 Schedule A, Part III, line 17	18	

19a 33 1/3% support tests—2020. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

b 33 1/3% support tests—2019. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, of Part I, complete Sections A and B. If you checked box 12b, of Part I, complete Sections A and C. If you checked box 12c, of Part I, complete Sections A, D, and E. If you checked box 12d, of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

		Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c	Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.		
b	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).		

Part IV Supporting Organizations (continued)

		Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?		
a	A person who directly or indirectly controls, either alone or together with persons described in lines 11b and 11c below, the governing body of a supported organization?		
b	A family member of a person described in 11a above?		
c	A 35% controlled entity of a person described in line 11a or 11b above? <i>If "Yes" to 11a, 11b, or 11c, provide detail in Part VI.</i>		

Section B. Type I Supporting Organizations

		Yes	No
1	Did the officers, directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		

Section C. Type II Supporting Organizations

		Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		

Section D. All Type III Supporting Organizations

		Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
3	By reason of the relationship described in line 2 above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		

Section E. Type III Functionally-Integrated Supporting Organizations

1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
a	<input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c	<input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions)		
2	Activities Test. Answer lines 2a and 2b below.		
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
b	Did the activities described in line 2a constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
3	Parent of Supported Organizations. Answer lines 3a and 3b below.		
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>If "Yes" or "No" provide details in Part VI.</i>		
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (*explain in Part VI*). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	1	
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (<i>explain in detail in Part VI</i>):		
2	Acquisition indebtedness applicable to non-exempt use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by 0.035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	
Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions		Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	1	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	3	
4 Amounts paid to acquire exempt-use assets	4	
5 Qualified set-aside amounts (<i>prior IRS approval required - provide details in Part VI</i>)	5	
6 Other distributions (<i>describe in Part VI</i>). See instructions	6	
7 Total annual distributions. Add lines 1 through 6.	7	
8 Distributions to attentive supported organizations to which the organization is responsive (<i>provide details in Part VI</i>). See instructions	8	
9 Distributable amount for 2020 from Section C, line 6	9	
10 Line 8 amount divided by Line 9 amount	10	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2020	(iii) Distributable Amount for 2020
1 Distributable amount for 2020 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2020 (reasonable cause required-- <i>explain in Part VI</i>). See instructions.			
3 Excess distributions carryover, if any, to 2020:			
a From 2015.			
b From 2016.			
c From 2017.			
d From 2018.			
e From 2019.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2020 distributable amount			
i Carryover from 2015 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
4 Distributions for 2020 from Section D, line 7:			
\$			
a Applied to underdistributions of prior years			
b Applied to 2020 distributable amount			
c Remainder. Subtract lines 4a and 4b from line 4.			
5 Remaining underdistributions for years prior to 2020, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, <i>explain in Part VI</i> . See instructions.			
6 Remaining underdistributions for 2020. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, <i>explain in Part VI</i> . See instructions.			
7 Excess distributions carryover to 2021. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2016.			
b Excess from 2017.			
c Excess from 2018.			
d Excess from 2019.			
e Excess from 2020.			

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

990 Schedule A, Supplemental Information

Return Reference	Explanation
Schedule A, Part II, Line 10 Other Income	DESCRIPTION - GROSS FUNDRAISING REVENUE AND MISC. OPERATING INCOME, COLUMN A - 104778.0, C OLUMN B - 99457.0, COLUMN C - 86147.0, COLUMN D - 64889.0, COLUMN E - , COLUMN F - 355271. 0;

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities
For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.
▶Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020
Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization Vanderbilt University	Employer identification number 62-0476822
---	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")

2 Political campaign activity expenditures (see instructions) ▶ \$ _____

3 Volunteer hours for political campaign activities (see instructions)

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ _____

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No

4a Was a correction made? Yes No

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ _____

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b..... ▶ \$ _____

4 Did the filing organization file **Form 1120-POL** for this year? Yes No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1				
2				
3				
4				
5				
6				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures
(The term "expenditures" means amounts paid or incurred.)

(a) Filing organization's totals	(b) Affiliated group totals
----------------------------------	-----------------------------

1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)	133,282	
c Total lobbying expenditures (add lines 1a and 1b)	133,282	
d Other exempt purpose expenditures	1,886,455,068	
e Total exempt purpose expenditures (add lines 1c and 1d)	1,886,588,350	
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.	1,000,000	0

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over \$500,000	20% of the amount on line 1e.
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.
Over \$17,000,000	\$1,000,000.

g Grassroots nontaxable amount (enter 25% of line 1f)	250,000	
h Subtract line 1g from line 1a. If zero or less, enter -0-	0	
i Subtract line 1f from line 1c. If zero or less, enter -0-	0	
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	106,151	118,576	114,991	133,282	473,000
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	0	0	0	0	0

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
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SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

OMB No. 1545-0047
2020
Open to Public Inspection

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization
Vanderbilt University

Employer identification number
62-0476822

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? Yes No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? Yes No

Part II Conservation Easements.
Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

Preservation of land for public use (e.g., recreation or education) Preservation of an historically important land area

Protection of natural habitat Preservation of a certified historic structure

Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? Yes No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? Yes No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ▶ \$ _____

(ii) Assets included in Form 990, Part X ▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ▶ \$ _____

b Assets included in Form 990, Part X ▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a** Public exhibition
 - b** Scholarly research
 - c** Preservation for future generations
 - d** Loan or exchange programs
 - e** Other Education
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . . **Yes** **No**

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? **Yes** **No**

b If "Yes," explain the arrangement in Part XIII and complete the following table:

- c** Beginning balance
- d** Additions during the year
- e** Distributions during the year
- f** Ending balance

	Amount
1c	
1d	
1e	
1f	

- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? . . . **Yes** **No**
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	6,917,370,798	6,270,876,230	4,608,461,291	4,136,465,261	3,795,585,401
b Contributions	423,800,008	978,780,332	1,549,707,251	172,664,350	129,140,956
c Net investment earnings, gains, and losses	3,934,880,718	-21,305,654	394,155,692	519,217,263	428,676,790
d Grants or scholarships	117,488,246	119,632,223	118,763,645	115,304,268	109,451,788
e Other expenditures for facilities and programs	230,050,950	191,347,887	162,684,359	91,535,316	93,745,467
f Administrative expenses	0	0	0	13,045,999	13,740,631
g End of year balance	10,928,512,328	6,917,370,798	6,270,876,230	4,608,461,291	4,136,465,261

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a** Board designated or quasi-endowment ▶ 60.12 %
- b** Permanent endowment ▶ 26.33 %
- c** Term endowment ▶ 13.55 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i)** Unrelated organizations
- (ii)** Related organizations

	Yes	No
3a(i)		No
3a(ii)		No
3b		

- b** If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?
- 4** Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		170,073,546		170,073,546
b Buildings		2,006,182,890	959,318,218	1,046,864,672
c Leasehold improvements		14,442,596	7,505,876	6,936,720
d Equipment		396,300,089	274,015,421	122,284,668
e Other		125,537,208	17,143,429	108,393,779
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				1,454,553,385

Part VII Investments—Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A) Limited Partnerships	4,623,398,296	F
(B) Interests in trusts held by others	34,828,597	F
(C) Other Investments & Securities	79,326,621	F
(D) Minority Interest	28,807,833	F
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.)	4,766,361,347	

Part VIII Investments—Program Related.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Column (b) must equal Form 990, Part X, col.(B) line 13.)		

Part IX Other Assets.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 15.)	

Part X Other Liabilities.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) Actuarial Liability for Annuities Payable	31,195,195
(3) Actuarial Liability for Self Insurance	6,880,168
(4) Government Advances for Student Loans	18,663,106
(5) Fair Value of Securities Sold Short	506,093,029
(6) Lease liabilities	63,655,483
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 25.)	626,486,981

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1 :			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)		5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)		5	

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Additional Data

Software ID: 20011424

Software Version: 2020v4.0

EIN: 62-0476822

Name: Vanderbilt University

Supplemental Information

Return Reference	Explanation
Schedule D, Part III, Line 5 Solicitation of Art, etc.	Vanderbilt solicited works of art to be sold at fundraising events in silent auctions. The fair market value of these items can range in value, and in FY21 the fair market value of these items did not meet the thresholds required for Form 8282 to be filed.

Supplemental Information

Return Reference	Explanation
Schedule D, Part III, Line 1a Collections of art - financial statement footnote	Purchases for the fine art and library collections are not included in the amounts above as Vanderbilt expenses such items at the time of purchase. As of June 30, 2021, the estimated replacement cost, including processing costs to properly identify, catalog, and shelve materials, for library collections totaled \$445.6 million and for fine art collections totaled \$42.2 million. Any proceeds from deaccessioned collection items are used for the direct care of existing collections. Direct care is an investment that enhances the life, usefulness, or quality of the collection.

Supplemental Information

Return Reference	Explanation
Schedule D, Part III, Line 4 Collections of art - description of collections	Vanderbilt maintains various collections of art, historical treasures and other similar as sets in departments across the University. Such collections include, but are not limited to, historical drawings; historical scientific instruments; historical furniture; paintings; photography; book collections; and other similar items and artifacts. All such collections further Vanderbilt's exempt purpose by providing historical materials for students and researchers, and by providing cultural, historical and educational opportunities to Vanderbilt students and the community at large through exhibits, displays, and loan or exchange programs.

Supplemental Information

Return Reference	Explanation
Schedule D, Part V, Line 4 Intended uses of endowment funds	Vanderbilt's endowment funds, as related to Part V, are intended to be used for scholarships, fellowships, endowed academic chair support, and capital and operational support.

Supplemental Information

Return Reference	Explanation
Schedule D, Part X, Line 2 FIN 48 (ASC 740) footnote	<p>Vanderbilt is a tax-exempt organization as described in Section 501(c)(3) of the Internal Revenue Code ("the Code"), and generally is exempt from federal income taxes on related income pursuant to Section 501(a) of the Code. Vanderbilt is, however, subject to federal and state income tax on unrelated business income, and provision for such taxes is included in the accompanying consolidated financial statements. Vanderbilt regularly evaluates its tax position and does not believe it has any material uncertain tax positions that require disclosure or adjustment to the consolidated financial statements. On December 22, 2017, the Tax Cuts and Jobs Act ("TCJA") was enacted. TCJA impacts Vanderbilt in several ways, including imposing excise taxes on certain excess compensation and net investment income, and establishing new rules for calculating unrelated business taxable income. Vanderbilt has reflected the tax assets, liabilities, and payables in the consolidated financial statements based on reasonable estimates under the currently available regulatory guidance on the TCJA. Vanderbilt continues to evaluate the impact of the TCJA on current and future tax positions.</p>

SCHEDULE E
(Form 990 or 990-EZ)

Schools

OMB No. 1545-0047

2020

Open to Public Inspection

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.**
▶ **Attach to Form 990 or Form 990-EZ.**
▶ **Go to www.irs.gov/Form990EZ for the latest information.**

Department of the Treasury
Name of the organization
Vanderbilt University

Employer identification number
62-0476822

Part I

	YES	NO
1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	Yes	
2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	Yes	
3 Has the organization publicized its racially nondiscriminatory policy on its primary publicly accessible Internet homepage at all times during its taxable year in a manner reasonably expected to be noticed by visitors to the homepage, or through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe. If "No," please explain. If you need more space use Part II.		No
4 Does the organization maintain the following?		
a Records indicating the racial composition of the student body, faculty, and administrative staff?	Yes	
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	Yes	
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	Yes	
d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain. If you need more space, use Part II.	Yes	
5 Does the organization discriminate by race in any way with respect to:		
a Students' rights or privileges?		No
b Admissions policies?		No
c Employment of faculty or administrative staff?		No
d Scholarships or other financial assistance?		No
e Educational policies?		No
f Use of facilities?		No
g Athletic programs?		No
h Other extracurricular activities? If you answered "Yes" to any of the above, please explain. If you need more space, use Part II.		No
6a Does the organization receive any financial aid or assistance from a governmental agency?	Yes	
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either line 6a or line 6b, explain on Part II.		No
7 Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," explain on Part II.	Yes	

Part II Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information. See instructions.

Return Reference	Explanation
Schedule E, Part I, Line 3 RACIALLY NONDISCRIMINATORY POLICY	<p>Vanderbilt meets the criteria established by Section 4.0 of IRS Revenue Procedure 75-50. Vanderbilt draws a substantial percentage of its students from around the nation and the world, enrolling students of racial minority groups in meaningful numbers, and follows a racially nondiscriminatory policy as to students. Vanderbilt satisfies the publicity requirement by including a statement of the University's racially nondiscriminatory policy as to students in all its brochures and catalogs dealing with student admissions, programs, and scholarships. Vanderbilt also includes a reference to its racially nondiscriminatory policy on its website and in other written advertising that the University uses as a means of informing prospective students of its programs. Vanderbilt's racially nondiscriminatory policy is as follows: In compliance with federal law, including the provisions of Title VI and Title VII of the Civil Rights Act of 1964, Title IX of the Education Amendments of 1972, Sections 503 and 504 of the Rehabilitation Act of 1973, the Americans with Disabilities Act (ADA) of 1990, the ADA Amendments Act of 2008, Executive Order 11246, and the Vietnam Era Veterans Readjustment Assistance Act of 1974 as amended by the Jobs for Veterans Act, and the Uniformed Services Employment and Reemployment Rights Act, as amended, and the Genetic Information Nondiscrimination Act of 2008, Vanderbilt University does not discriminate against individuals on the basis of their race, sex, sexual orientation, gender identity, religion, color, national or ethnic origin, age, disability, military service, covered veterans status, or genetic information in its administration of educational policies, programs, or activities, admissions policies, scholarship and loan programs, athletic or other University-administered programs, or employment. In addition, the university does not discriminate against individuals on the basis of their gender expression consistent with the university's nondiscrimination policy.</p>
Schedule E, Part I, Line 6(a) FINANCIAL AID OR ASSISTANCE FROM A GOVERNMENT	<p>VANDERBILT PARTICIPATES IN THE FOLLOWING PROGRAMS: FEDERAL PELL GRANTS, FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANTS (FSEOG), FEDERAL DIRECT STAFFORD SUBSIDIZED/UNSUBSIDIZED LOANS, FEDERAL DIRECT GRADUATE PLUS LOANS, FEDERAL DIRECT PARENT LOAN FOR UNDERGRADUATE STUDENTS (PLUS LOANS), FEDERAL NURSING LOAN, FEDERAL WORK STUDY PROGRAM, TENNESSEE STUDENT ASSISTANCE AWARDS, AND THE TENNESSEE EDUCATION LOTTERY SCHOLARSHIP PROGRAM. VANDERBILT ALSO RECEIVES VARIOUS FEDERAL AND STATE GRANTS AND CONTRACTS FOR ACADEMIC AND SCIENTIFIC RESEARCH.</p>

**SCHEDULE F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
Vanderbilt University

Employer identification number

62-0476822

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- 2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3** Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
See Add'l Data					
3a Sub-total	0	357			132,032,176
b Total from continuation sheets to Part I	0	14			761,055,102
c Totals (add lines 3a and 3b)	0	371			893,087,278

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* Yes No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* Yes No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* Yes No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . Yes No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* Yes No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).* Yes No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

990 Schedule F, Supplemental Information

Return Reference	Explanation
Schedule F, Part I, Line 2 Procedures for monitoring use of grant funds	Vanderbilt maintains a formal policy defining its procedures for monitoring the use of sponsored funds by subrecipients located outside the United States who are performing a portion of a sponsored project externally awarded to Vanderbilt. The policy provides guidance to ensure that subrecipients conduct their portions of the sponsored projects in compliance with laws, regulations and terms and conditions of awards and subawards and that reimbursed costs incurred by subrecipients are allowed. The policy addresses the roles and responsibilities of the central offices and academic departments of the university and describes the monitoring procedures for each area. The full text of Vanderbilt's Subrecipient Monitoring Guidelines is available online at the following web address: https://finance.vanderbilt.edu/researchfinance/docs/vupolicies/subrecipient/RevisedVUSubrecipientMonitoringGuidelines_effective12_26_2014.pdf

990 Schedule F, Supplemental Information

Return Reference	Explanation
Schedule F, Part I, Line 2 PROCEDURES FOR MONITORING USE OF GRANT FUNDS	Vanderbilt maintains a formal policy defining its procedures for monitoring the use of sponsored funds by subrecipients located outside the United States who are performing a portion of a sponsored project externally awarded to Vanderbilt. The policy provides guidance to ensure that subrecipients conduct their portions of the sponsored projects in compliance with laws, regulations and terms and conditions of awards and subawards and that reimbursed costs incurred by subrecipients are allowed. The policy addresses the roles and responsibilities of the central offices and academic departments of the university and describes the monitoring procedures for each area. The full text of Vanderbilt's Subrecipient Monitoring Guidelines is available online at the following web address: https://finance.vanderbilt.edu/researchfinance/docs/vupolicies/subrecipient/RevisedVUSubrecipientMonitoringGuidelines_effective12_26_2014.pdf

Additional Data

Software ID: 20011424

Software Version: 2020v4.0

EIN: 62-0476822

Name: Vanderbilt University

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	2	Grantmaking		65,400
East Asia and the Pacific	0	5	Grantmaking		405,039

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	0	6	Grantmaking		410,373
North America (Canada & Mexico only)	0	2	Grantmaking		139,597

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	1	Grantmaking		178,249
East Asia and the Pacific	0	1	Fundraising		2,549

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	0	6	Fundraising		7,104
North America (Canada & Mexico only)	0	2	Fundraising		7,420

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	47	Program Services	Educational Programs, Research, Conferences, Travel	70,642
Europe (Including Iceland and Greenland)	0	201	Program Services	Educational Programs, Research, Conferences, Travel	462,788

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa	0	8	Program Services	Educational Programs, Research, Conferences, Travel	5,394
North America (Canada & Mexico only)	0	60	Program Services	Educational Programs, Research, Conferences, Travel	36,938

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and Neighboring States	0	4	Program Services	Educational Programs, Research, Conferences, Travel	10,005
South America	0	5	Program Services	Educational Programs, Research, Conferences, Travel	11,947

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	0	5	Program Services	Educational Programs, Research, Conferences, Travel	2,392
Europe (Including Iceland and Greenland)	0	2	Investments		130,216,339

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America (Canada & Mexico only)	0	1	Investments		4,341,889
South America	0	1	Grantmaking		8,330

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	1	Fundraising		1,690
Middle East and North Africa	0	1	Fundraising		391

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	10	Program Services	Educational Programs, Research, Conferences, Travel	165,252
Central America and the Caribbean	0	0	Investments		685,054,392

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	Investments		25,000,000
South America	0	0	Investments		1,757,668

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	0	Investments		44,725,490

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	RESEARCH	12,622	WIRE		NOT APPLICABLE	NOT APPLICABLE
		East Asia and the Pacific	RESEARCH	73,333	WIRE		NOT APPLICABLE	NOT APPLICABLE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America (Canada & Mexico only)	RESEARCH	38,170	WIRE		NOT APPLICABLE	NOT APPLICABLE
		Europe (Including Iceland and Greenland)	RESEARCH	85,000	WIRE		NOT APPLICABLE	NOT APPLICABLE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	RESEARCH	73,786	WIRE		NOT APPLICABLE	NOT APPLICABLE
		Europe (Including Iceland and Greenland)	RESEARCH	82,732	WIRE		NOT APPLICABLE	NOT APPLICABLE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	RESEARCH	53,370	WIRE		NOT APPLICABLE	NOT APPLICABLE
		East Asia and the Pacific	RESEARCH	40,582	WIRE		NOT APPLICABLE	NOT APPLICABLE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH	178,249	WIRE		NOT APPLICABLE	NOT APPLICABLE
		East Asia and the Pacific	RESEARCH	111,500	WIRE		NOT APPLICABLE	NOT APPLICABLE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	RESEARCH	34,800	WIRE		NOT APPLICABLE	NOT APPLICABLE
		Central America and the Caribbean	RESEARCH	30,600	WIRE		NOT APPLICABLE	NOT APPLICABLE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	RESEARCH	8,330	WIRE		NOT APPLICABLE	NOT APPLICABLE
		Europe (Including Iceland and Greenland)	RESEARCH	45,389	WIRE		NOT APPLICABLE	NOT APPLICABLE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	RESEARCH	105,838	WIRE		NOT APPLICABLE	NOT APPLICABLE
		North America (Canada & Mexico only)	RESEARCH	101,427	WIRE		NOT APPLICABLE	NOT APPLICABLE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	RESEARCH	131,260	WIRE		NOT APPLICABLE	NOT APPLICABLE

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

**Supplemental Information Regarding
Fundraising or Gaming Activities**

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
Vanderbilt University

Employer identification number
62-0476822

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- | | |
|---|--|
| a <input checked="" type="checkbox"/> Mail solicitations | e <input checked="" type="checkbox"/> Solicitation of non-government grants |
| b <input checked="" type="checkbox"/> Internet and email solicitations | f <input checked="" type="checkbox"/> Solicitation of government grants |
| c <input checked="" type="checkbox"/> Phone solicitations | g <input checked="" type="checkbox"/> Special fundraising events |
| d <input checked="" type="checkbox"/> In-person solicitations | |
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No
- b** If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Washburn & McGoldrick LLC 24 N Bryn Mawr Ave 252 Bryn Mawr, PA 19010	Consulting		No		45,200	-45,200
Pentera LLC 8650 Commerce Park Place Building A Suite G Indianapolis, IN 46268	Consulting		No		85,964	-85,964
Bentz Whaley Flessner and Associates Inc 7900 Xerxes Avenue South Suite 980 Minneapolis, MN 55431	Consulting		No		43,493	-43,493
Kenneth G Manotti 500 West 43rd Street Apt 28-B New York, NY 10036	Consulting		No		9,188	-9,188
Total				0	183,845	-183,845

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		(event type)	(event type)	(total number)	(add col. (a) through col. (c))
Revenue	1 Gross receipts				
	2 Less: Contributions				
	3 Gross income (line 1 minus line 2)				
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses				
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				
	11 Net income summary. Subtract line 10 from line 3, column (d) ▶				

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

Revenue		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col.(a) through col.(c))
		1 Gross revenue			
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? Yes No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No

b If "Yes," explain: _____

- 11** Does the organization conduct gaming activities with nonmembers? Yes No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No
- 13** Indicate the percentage of gaming activity conducted in:
- | | | |
|--------------------------------------|------------|---|
| a The organization's facility | 13a | % |
| b An outside facility | 13b | % |

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶

Address ▶

- 15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No
- b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____.
- c** If "Yes," enter name and address of the third party:
- Name ▶
- Address ▶

16 Gaming manager information:

Name ▶

Gaming manager compensation ▶ \$

Description of services provided ▶

Director/officer Employee Independent contractor

- 17** Mandatory distributions:
- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No
- b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

Return Reference	Explanation
Schedule G, Part I, Line 2b(v) payment of fees or payment of expenses	PENTERA LLC-THE TOTAL AMOUNT PAID TO PENTERA LLC FOR FISCAL YEAR 2021 WAS \$85,964 WHICH INCLUDES PROFESSIONAL FUNDRAISING FEES OF \$30,950 AND FUNDRAISING EXPENSES OF \$55,014. THE CONTRACT BETWEEN VANDERBILT AND PENTERA LLC CALLS FOR THE REIMBURSEMENT OF FUNDRAISING EXPENSES INCURRED BY PENTERA LLC, SUCH AS PRINTING, POSTAGE, AND ADVERTISING AND PROMOTIONAL EXPENSES, WHICH ARE INVOICED SEPARATELY FROM PROFESSIONAL SERVICE FEES.;

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule I (Form 990)

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.

Attach to Form 990. Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization Vanderbilt University

Employer identification number 62-0476822

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance...
2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

Table with 8 columns: (a) Name and address of organization or government, (b) EIN, (c) IRC section (if applicable), (d) Amount of cash grant, (e) Amount of non-cash assistance, (f) Method of valuation (book, FMV, appraisal, other), (g) Description of noncash assistance, (h) Purpose of grant or assistance. Rows 1-12.

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 136
3 Enter total number of other organizations listed in the line 1 table 8

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1) Student Financial Assistance - Tuition, Room & Board and Student Hardship Fund	10182	347,697,662	0	N/A	N/A
(2) Higher Education Emergency Relief Funds to Students	7034	10,120,360	0	N/A	N/A
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
Schedule I, Part I, Line 2 Procedures for monitoring use of grant funds.	Subrecipient Grants: Vanderbilt maintains a formal policy defining its procedures for monitoring the use of sponsored funds by subrecipients located outside the United States who are performing a portion of a sponsored project externally awarded to Vanderbilt. The policy provides guidance to ensure that subrecipients conduct their portions of the sponsored projects in compliance with laws, regulations and terms and conditions of awards and subawards and that reimbursed costs incurred by subrecipients are allowed. The policy addresses the roles and responsibilities of the central offices and academic departments of the university and describes the monitoring procedures for each area. The full text of Vanderbilt's Subrecipient Monitoring Guidelines is available online at the following web address: https://finance.vanderbilt.edu/researchfinance/docs/vpolicies/subrecipient/RevisedVUSubrecipientMonitoringGuidelines_effective12_26_2014.pdf Financial Aid: Assistance offered from institutional funds by Vanderbilt to our students is awarded on the basis of merit and/or financial need. Such assistance helps students and their families support the cost of attendance which includes tuition, mandatory fees, room & board, books, other course materials/supplies, and allowances for personal/miscellaneous and travel expenses. Merit-based awards are competitively awarded in recognition of academic achievement, leadership, and commitment to community service, contributions to society, and other forms of accomplishment. Need-based assistance is awarded on the basis of documented financial need, resulting from a need analysis evaluation accomplished in accordance with relevant and applicable federal, state, and/or institutional programs, policies, and eligibility requirements. The units responsible for administering student financial aid at Vanderbilt regularly initiate system-generated reviews, as well as other cross-checks on an ad hoc basis. Results from these reviews, along with recurring management reports, are regularly evaluated. Subsequently, follow-up corrective actions are taken when appropriate and potentially include award revisions or cancellations. These provisions are designed to help ensure that student assistance funds are awarded to eligible recipients, in accordance with applicable federal, state, institutional, and other program legislation, as well as relevant internal University policies and operational guidelines/criteria. I.O.O.O

Additional Data

Software ID: 20011424
Software Version: 2020v4.0
EIN: 62-0476822
Name: Vanderbilt University

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Abt Associates Inc 6130 Executive Blvd Rockville, MD 20852	04-2347643	N/A	11,707	0	N/A	N/A	Research
Adventure Science Center 800 Fort Negley Blvd Nashville, TN 37203	62-0479192	501(c)(3)	16,840	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Aerospace Corporation 2310 E El Segundo Blvd El Segundo, CA 90245	95-2102389	501(c)(3)	66,886	0	N/A	N/A	Research
American Educational Research Association 1430 K Street W Washington, DC 20005	23-7003537	501(c)(3)	10,500	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
American Heart Association Inc 7272 Greenville Avenue Dallas, TX 75231	13-5613797	501(c)(3)	7,909	0	N/A	N/A	Research
Arizona State University 1100 S McAllister Avenue Tempe, AZ 85287	86-0196696	GOVT	17,890	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Baylor College of Medicine Vector Development Lab One Baylor Plaza Houston, TX 77030	74-1613878	501(c)(3)	187,107	0	N/A	N/A	Research
Berea College 101 Chestnut St CPO 2214 Berea, KY 40404	61-0444650	501(c)(3)	133,901	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Boston University 881 Commonwealth Avenue Boston, MA 02215	04-2103547	501(c)(3)	14,604	0	N/A	N/A	Research
Brown University Cashiers Office Box 1997 Providence, RI 02912	05-0258809	501(c)(3)	9,336	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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California Institute of Technology 1200 E California Blvd MC 229-15 Pasadena, CA 91125	95-1643307	501(c)(3)	13,829	0	N/A	N/A	Research
Center for Nonprofit Management 37 Peabody Street Nashville, TN 37210	58-2000064	501(c)(3)	40,000	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Childrens Hospital of Los Angeles P O Box 60850 Los Angeles, CA 90060	95-1690977	501(c)(3)	89,420	0	N/A	N/A	Research
Christian Brothers University Christian Brothers University 650 East Parkway South Memphis, TN 38104	62-0476666	501(c)(3)	17,139	0	N/A	N/A	Training

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Cleveland State University 2121 Euclid Ave PH217 Cleveland, OH 44115	34-0966056	GOVT	25,194	0	N/A	N/A	Research
Community Foundation of Middle TN 3833 Cleghorn Ave Nashville, TN 37215	62-1471789	N/A	5,500	0	N/A	N/A	Contribution

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Compass Evaluation and Research Inc 5720 Fayetteville Rd Durham, NC 27713	27-0845506	N/A	14,481	0	N/A	N/A	Research
Cornell University Attn Judy Byers 110 Sage Hall Ithaca, NY 14853	15-0532082	501(c)(3)	99,400	0	N/A	N/A	Research

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Cumberland Center Inc 1900 Belmont Blvd Box 5035 Nashville, TN 37212	20-8691236	501(c)(3)	10,000	0	N/A	N/A	Contribution
Donald Danforth Plant Science Center 975 N Warson Rd St Louis, MO 63132	31-1584621	501(c)(3)	232,149	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Duke University Office of Research Support Box 90077 Durham, NC 27708	56-0532129	501(c)(3)	55,487	0	N/A	N/A	Research
Dynamic Object Language Labs Inc 2 Parsonage Hill Road Haverhill, MA 01832	04-3193589	501(c)(3)	301,766	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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East Tennessee State University Box 70565 Johnson City, TN 37614	62-6021046	GOVT	17,283	0	N/A	N/A	Training
Emory University 1440 CLIFTON RD NE Atlanta, GA 30322	58-0566256	501(c)(3)	32,541	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Fisk University Dean of Financial Aid Student Accounts Nashville, TN 37208	62-0202000	501(c)(3)	200,061	0	N/A	N/A	Research; Training; Instruction
Florida International University 11200 SW 8th St MARC 470 Miami, FL 33199	65-0177616	501(c)(3)	95,941	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Florida State University Sponsored Research Accounting Serv 874 Traditions Way Tallahassee, FL 32306	59-6001138	GOVT	159,509	0	N/A	N/A	Research
George Mason University Cashiers Office 4400 University Dr Fairfax, VA 22030	54-0836354	501(c)(3)	150,341	0	N/A	N/A	Research

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Georgia Institute of Technology Georgia Tech Research Corporation AMAC Atlanta, GA 30318	58-6002023	GOVT	99,319	0	N/A	N/A	Research
Georgia Tech Research Corporation AMAC 512 Means St Atlanta, GA 30318	58-0603146	501(c)(3)	198,421	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Georgia Southern University Research and Service Foundation Inc PO Box 8005 Statesboro, GA 30460	58-2354256	501(c)(3)	7,886	0	N/A	N/A	Research
Gideon's Army Grassroots Army for Children 600 28th Ave N Nashville, TN 37209	82-1741628	501(c)(3)	15,698	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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H Lee Moffitt Cancer Center and Research Institute PO Box 742801 Atlanta, GA 30374	59-2451713	501(c)(3)	287,775	0	N/A	N/A	Research
Hardeman County Community Health Center 629 Nuckolls Rd Bolivar, TN 38008	58-1995646	501(c)(3)	60,654	0	N/A	N/A	Training

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Howard Live Oak LLC 1800 Peter Pan Street Norman, OK 73072	27-5308663	501(c)(3)	9,579	0	N/A	N/A	Research
Humanities Tennessee 306 Gay Street Nashville, TN 37201	62-0933337	501(c)(3)	8,000	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Institute for Broadening Participation PO Box 607 281 Main Street Damariscotta, ME 04543	20-1891162	501(c)(3)	95,449	0	N/A	N/A	Research
Johns Hopkins University Office of the Controller 1101 East 33rd Street Baltimore, MD 21218	52-0595110	501(c)(3)	94,870	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Kestrel Institute 3260 Hillview Ave Palo Alto, CA 94304	94-2750021	501(c)(3)	867,583	0	N/A	N/A	Research
KP Pharmaceutical Technology Inc 1212 W Rappel Avenue Bloomington, IN 47404	35-2025958	N/A	81,154	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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KUMC Research Institute Inc 3901 Rainbow Blvd Mailstop 1039 Kansas City, KS 66103	48-1108830	501(c)(3)	5,010	0	N/A	N/A	Research
Lipscomb University Student Accounts 3901 Granny White Pike Nashville, TN 37203	62-0485733	501(c)(3)	20,203	0	N/A	N/A	Other Sponsored

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Loyola University Chicago Financial Aid Office 1032 West Sheridan Road Chicago, IL 60626	36-1408475	501(c)(3)	22,644	0	N/A	N/A	Research
Massachusetts General Hospital 399 Revolution Drive Somerville, MA 02145	04-2697983	501(c)(3)	157,830	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Massachusetts Institute of Technology 77 Massachusetts Avenue Building 11-320 Cambridge, MA 02139	04-2103594	501(c)(3)	155,324	0	N/A	N/A	Research
Mathematica Policy Research Inc 600 Alexander Park Princeton, NJ 08540	22-2112296	N/A	56,711	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Matthew Walker Comprehensive Health Center Inc 1035 14th Ave N Nashville, TN 37208	62-1035426	501(c)(3)	62,186	0	N/A	N/A	Training;Contribution
Meharry Medical College 1005 Dr D B Boulevard Nashville, TN 37208	62-0488046	501(c)(3)	93,486	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Memorial Sloan-Kettering Cancer Center 125 York Ave New York, NY 10065	13-1924236	501(c)(3)	132,788	0	N/A	N/A	Research
Metropolitan Nashville Public Schools 2601 Bransford Ave Nashville, TN 37204	62-0713699	501(c)(3)	344,378	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Middle Tennessee State University WMOT-FM PO Box 3 Murfreesboro, TN 37132	62-6005794	GOVT	51,189	0	N/A	N/A	Research; Training; Other Sponsored
Nashville Adult Literacy Council 4805 Park Avenue 305 Nashville, TN 37209	58-1488230	501(c)(3)	10,000	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Nashville Ballet 3630 Redmon Street Nashville, TN 37209	58-1440788	501(c)(3)	12,000	0	N/A	N/A	Contribution
Nashville Civic Design Center 138 Second Ave N Nashville, TN 37201	31-1743508	501(c)(3)	10,000	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Nashville Opera Association 3622 Redmon Street Nashville, TN 37209	62-1119830	501(c)(3)	28,000	0	N/A	N/A	Contribution
Nashville Public Education Foundation 1207 18th Avenue South Nashville, TN 37212	48-1266314	501(c)(3)	30,000	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Nashville Public Library Foundation 616 Church Street Nashville, TN 37219	62-1681766	501(c)(3)	56,000	0	N/A	N/A	Contribution
NC Dept of Public Instruction 6331 Mail Service Center Raleigh, NC 27699	56-1492826	501(c)(3)	15,494	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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New Mexico Institute of Mining and Technology NM Bureau of Geology 801 Leroy Place Socorro, NM 87801	85-6000411	GOVT	34,801	0	N/A	N/A	Research
New York University 501 West 4th St New York, NY 10012	13-5562308	501(c)(3)	37,144	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Nissan Technical Center North America 39001 Sunrise Dr Farmington Hills, MI 48331	95-2108010	N/A	81,668	0	N/A	N/A	Research
North Carolina State University Office of Contracts and Grants Box 7214 Raleigh, NC 27695	56-6000756	GOVT	518,434	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Northern California Institute for Research and Education 4150 Clement St San Francisco, CA 94121	94-3084159	501(c)(3)	72,354	0	N/A	N/A	Research
Northwestern University 633 Clark Street G-547 Evanston, IL 60208	36-2167817	501(c)(3)	736,759	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Oasis Center Inc 1704 Charlotte Avenue Nashville, TN 37203	62-0968273	501(c)(3)	103,652	0	N/A	N/A	Contribution/Research
Ohio State Univ Research Foundation Ohio State University 1960 Kenney Road Columbus, OH 43210	31-6401599	501(c)(3)	461,449	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Oregon Health & Science University Sponsored Project Admin 2525 SW 1st Avenue Portland, OR 97201	93-1176109	501(c)(3)	772,098	0	N/A	N/A	Research
Oregon State University 15th Jefferson St Corvallis, OR 97330	61-1730890	GOVT	181,175	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Pajarito Powder LLC 3600 Osuna Road NE Albuquerque, NM 87109	45-4278439	N/A	107,577	0	N/A	N/A	Research
Pellissippi State Community College Accounts Receivable Clerk 10915 Hardin Valley Road Knoxville, TN 37933	62-0938734	GOVT	9,954	0	N/A	N/A	Training

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Purdue University Sponsored Program Services 1063 Hoyde Hall West Lafayette, IN 47907	35-6002041	GOVT	18,333	0	N/A	N/A	Training
Rand Corporation 1776 Main Street P O Box 2138 Santa Monica, CA 90407	95-1958142	501(c)(3)	113,323	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Regents of the University of California 111 Franklin St 12 Fl Oakland, CA 94601	95-6006145	501(c)(3)	5,229	0	N/A	N/A	Research
Regents of the University of California at Los Angeles Contract and Grant Administration Los Angeles, CA 90095	95-6006143	GOVT	81,898	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Robust Chip Inc 7901 Stoneridge Dr Suite 226 Pleasanton, CA 94588	20-1892175	N/A	96,000	0	N/A	N/A	Research
Rowan University 201 Muclica Hill Rd Glassboro, NJ 08028	22-2482802	501(c)(3)	42,151	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Rush University Medical Center 1620 W Harrison St Chicago, IL 60612	36-2174823	501(c)(3)	63,809	0	N/A	N/A	Research
Rutgers University Fiber Optic Materials Research Piscataway, NJ 08855	22-6001086	501(c)(3)	403,790	0	N/A	N/A	Research

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Second Harvest Food Bank of Middle TN 331 Great Circle Road Nashville, TN 37228	62-1049447	501(c)(3)	27,000	0	N/A	N/A	Contribution
Society for Imaging Informatics in Medicine 19440 Golf Vista Plaza Leesburg, VA 20176	23-2480304	501(c)(3)	372,731	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Southeastern Regional Education Service Center Inc 165 South River Road Bedford, NH 03110	02-0315121	501(c)(3)	472,436	0	N/A	N/A	Research
Southern Methodist University Office of Financial Aid Dallas, TX 75275	75-0800689	501(c)(3)	416,938	0	N/A	N/A	Research; Training

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Stanford University Leland Stanford Junior University Office of Sponsored Research Stanford, CA 94305	94-1156365	501(c)(3)	159,661	0	N/A	N/A	Research
State of Colorado Colorado State Treasurer PO Box 956 Denver, CO 80201	84-6000551	GOVT	18,838	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Tennessee Performing Arts Center PO Box 190660 Nashville, TN 37219	58-1320590	501(c)(3)	20,000	0	N/A	N/A	Contribution
Tennessee State University Director of Sponsored Research 3500 John Merritt Blvd Nashville, TN 37209	62-0786119	GOVT	233,683	0	N/A	N/A	Research; Training

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Tennessee Technological University Box 5036 Cookeville, TN 38505	62-0646806	GOVT	12,185	0	N/A	N/A	Training
Tennessee Technology Development Corp 1323 6th Ave North Nashville, TN 37208	62-1765738	501(c)(3)	10,000	0	N/A	N/A	Contribution

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Texas A&M Engineering Experiment Station 400 Harvey Mitchell Pkwy South College Station, TX 77845	74-1974733	501(c)(3)	175,309	0	N/A	N/A	Research
The Board of Regents of the University of Nebraska 3835 Holdrege St Lincoln, NE 68583	47-0049123	501(c)(3)	93,462	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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The Board of Trustees of the University of Alabama 500 University Blvd East Tuscaloosa, AL 35401	63-6001138	501(c)(3)	79,662	0	N/A	N/A	Other Sponsored
The Board of Trustees of the University of Illinois 506 S Wright St MC-350 Urbana, IL 61801	37-6000511	501(c)(3)	292,145	0	N/A	N/A	Research; Training

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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The Equity Alliance PO Box 331821 Nashville, TN 37203	81-5394158	501(c)(3)	15,000	0	N/A	N/A	Contribution
The National Museum of African American Music 211 7th Ave N Ste 310 Nashville, TN 37219	62-1867910	501(c)(3)	10,000	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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The Pennsylvania State University University Libraries 502 Paterno Library University Park, PA 16802	24-6000376	GOVT	58,489	0	N/A	N/A	Research
The Praxis Project Inc PO Box 7259 Oakland, CA 94601	30-0044814	501(c)(3)	10,000	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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The Trustees of the University of Pennsylvania 1 College Hall Philadelphia, PA 19104	23-1352685	501(c)(3)	39,771	0	N/A	N/A	Research
The University of Tennessee The University of Tennessee Knoxville, TN 37996	62-6001636	501(c)(3)	147,289	0	N/A	N/A	Research; Training; Other Sponsored

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The Urban Institute 499 L'Enfant Plaza SW Washington, DC 20024	52-0880375	501(c)(3)	89,359	0	N/A	N/A	Research
Tougaloo College 500 West County Line Road Tougaloo, MS 39174	64-0303093	501(c)(3)	163,247	0	N/A	N/A	Research

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University Community Health Services Inc 601 Benton Ave Nashville, TN 37204	62-1438461	501(c)(3)	53,439	0	N/A	N/A	Training
University of Alabama at Birmingham Student Accounting Services 1400 University Blvd Ste 322 Birmingham, AL 35294	63-6005396	GOVT	76,849	0	N/A	N/A	Other Sponsored

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University of Arizona Board of Regent PO Box 3308 Tucson, AZ 85722	74-2652689	501(c)(3)	24,470	0	N/A	N/A	Research
University of California Berkeley Sponsored Projects Office 2150 Shattuck Avenue Room 313 Berkeley, CA 94704	94-6002123	501(c)(3)	292,809	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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University of California San Diego Office of Contract Grant Admin 9500 Gilman Drive La Jolla, CA 92093	95-6006144	501(c)(3)	30,273	0	N/A	N/A	Research
University of California San Francisco Office of Sponsored Research 3333 California Street San Francisco, CA 94143	94-6036493	501(c)(3)	39,945	0	N/A	N/A	Research

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University of California Santa Cruz 1156 High Street Santa Cruz, CA 95064	94-1539563	501(c)(3)	55,103	0	N/A	N/A	Research
University of Central Florida 12424 Research Parkway Orlando, FL 32826	59-2924021	501(c)(3)	48,425	0	N/A	N/A	Research

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University of Colorado 1800 Grant St Denver, CO 80203	84-6000555	501(c)(3)	30,525	0	N/A	N/A	Research
University of Connecticut 438 Whitney Rd Extension Unit 1133 Sponsored Program Services Storrs Mansfield, CT 06269	06-0772160	GOVT	260,962	0	N/A	N/A	Research; Training

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University of Delaware 220 Hullihen Hall Newark, DE 19716	51-6000297	501(c)(3)	52,417	0	N/A	N/A	Research
University of Denver Bursars Ofc Third Party Billing 2197 S University Blvd Denver, CO 80208	84-0404231	501(c)(3)	245,943	0	N/A	N/A	Research

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University of Florida University of Florida Gainesville, FL 32611	59-6002052	501(c)(3)	15,286	0	N/A	N/A	Research
University of Georgia Research Foundation Inc 310 East Campus Road Tucker Hall Athens, GA 30602	58-1353149	501(c)(3)	99,088	0	N/A	N/A	Research

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University of Kentucky Research Foundation General Accounting 371 Peterson Service Building Lexington, KY 40506	61-6033692	501(c)(3)	12,242	0	N/A	N/A	Research
University of Louisville Research Foundation Inc 300 East Market St Attn Julie Renn Louisville, KY 40202	61-1029626	501(c)(3)	22,322	0	N/A	N/A	Research

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University of Massachusetts 100 Morrissey Blvd Boston, MA 02125	04-3167352	501(c)(3)	104,105	0	N/A	N/A	Research
University of Memphis Bursars Office Administration Building Memphis, TN 38152	62-0648618	GOVT	32,998	0	N/A	N/A	Research; Training

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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University of Miami Office of Student Account Services PO Box 249115 Coral Gables, FL 33124	59-0624458	501(c)(3)	52,827	0	N/A	N/A	Research
University of Michigan 3003 S State Street Ann Arbor, MI 48109	38-6006309	501(c)(3)	265,796	0	N/A	N/A	Research; Other Sponsored

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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University of Minnesota Regents Regents of the Univ of Minnesota Office of Sponsored Finan Reporting Minneapolis, MN 55455	41-6007513	GOVT	316,558	0	N/A	N/A	Research; Training
University of Missouri Research Animal Diagnostic Lab 4011 Discovery Drive Columbia, MO 65201	43-6003859	GOVT	90,663	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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University of North Carolina at Greensboro PO Box 26170 Greensboro, NC 27402	56-6001468	501(c)(3)	51,858	0	N/A	N/A	Research
University of North Carolina Chapel Hill 3206 FedEx Global Education 301 Pittsboro Street CB 3205 Chapel Hill, NC 27599	56-6001393	501(c)(3)	83,941	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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University of North Texas 1155 Union Circle 311277 Denton, TX 76203	75-6002149	501(c)(3)	8,630	0	N/A	N/A	Research
University of North Texas Health Science Center PO Box 99335 Fort Worth, TX 76199	75-6064033	501(c)(3)	75,613	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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University of Notre Dame Notre Dame Chem and Biochem 250 Nieuwland Science Hall Notre Dame, IN 46556	35-0868188	501(c)(3)	26,866	0	N/A	N/A	Research
University of Oregon 1600 Millrace Drive Suite 207 Teaching and Learning Eugene, OR 97403	46-4727800	501(c)(3)	129,222	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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University of Pittsburgh University of Pittsburgh Press 3400 Forbes Ave Pittsburgh, PA 15260	25-0965591	501(c)(3)	570,648	0	N/A	N/A	Research
University of South Carolina USC Regional Campuses and Continuing Education Columbia, SC 29208	57-6001153	GOVT	27,936	0	N/A	N/A	Research

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University of South Florida Attn Research Projects Receivables PO Box 864568 Orlando, FL 32886	59-3102112	GOVT	33,860	0	N/A	N/A	Research
University of Tennessee at Chattanooga Office Of Grants And Research 218 Race Hall Chattanooga, TN 37403	59-2353733	GOVT	102,709	0	N/A	N/A	Research; Training; Other Sponsored

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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University of Texas at Austin 2500 Speedway MBB1220E 1 University Station A4800 Austin, TX 78712	74-6000203	GOVT	244,025	0	N/A	N/A	Research; Training
University of Utah 201 S Presidents Circle Salt Lake City, UT 84112	87-6000525	501(c)(3)	91,976	0	N/A	N/A	Research

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University of Virginia PO Box 400195 Office of Sponsored Programs Charlottesville, VA 22904	54-6001796	501(c)(3)	528,652	0	N/A	N/A	Research
University of Washington Grant Contract Accounting 3917 University Way NE Seattle, WA 98105	91-6001537	GOVT	446,680	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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University of Wisconsin System WI Center of Education Research 1025 West Johnson Street Madison, WI 53706	39-1805963	GOVT	582,986	0	N/A	N/A	Research
US Army Institute of Surgical Research MCMR-SRR-P MAJ Mahealani McFarland 3698 Chambers Pass Fort Sam Houston, TX 78234	30-0440374	GOVT	120,000	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Vanderbilt University Medical Center 1161 21st Ave South D-3300 MCN Nashville, TN 37232	35-2528741	501(c)(3)	33,338,541	0	N/A	N/A	Contribution; Research; Training; Other Sponsored
Virginia Commonwealth University Sponsored Projects Administration Richmond, VA 23219	54-6001758	GOVT	37,140	0	N/A	N/A	Training

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Wake Forest University Health Sciences MEDICAL CENTER BLVD Winston Salem, NC 27157	22-3849199	501(c)(3)	155,532	0	N/A	N/A	Research
Washington University School of Medicine Department of Neuroscience St Louis, MO 63110	43-0653611	501(c)(3)	527,065	0	N/A	N/A	Research

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Western New Mexico University Attn Business Office 1000 West College Silver City, NM 88062	85-6000543	GOVT	32,152	0	N/A	N/A	Research
Yale University 47 College Street P O Box 208047 New Haven, CT 06520	06-0646973	501(c)(3)	145,306	0	N/A	N/A	Research

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
Vanderbilt University

Employer identification number
62-0476822

Part I Questions Regarding Compensation

	Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.		
<input checked="" type="checkbox"/> First-class or charter travel <input checked="" type="checkbox"/> Travel for companions <input checked="" type="checkbox"/> Tax idemnification and gross-up payments <input type="checkbox"/> Discretionary spending account		
<input checked="" type="checkbox"/> Housing allowance or residence for personal use <input type="checkbox"/> Payments for business use of personal residence <input type="checkbox"/> Health or social club dues or initiation fees <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
b If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b Yes	
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?	2 Yes	
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.		
<input checked="" type="checkbox"/> Compensation committee <input checked="" type="checkbox"/> Independent compensation consultant <input checked="" type="checkbox"/> Form 990 of other organizations		
<input checked="" type="checkbox"/> Written employment contract <input checked="" type="checkbox"/> Compensation survey or study <input checked="" type="checkbox"/> Approval by the board or compensation committee		
4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:		
a Receive a severance payment or change-of-control payment?	4a Yes	
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b Yes	
c Participate in, or receive payment from, an equity-based compensation arrangement?	4c	No
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.		
Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.		
5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:		
a The organization?	5a	No
b Any related organization?	5b	No
If "Yes," on line 5a or 5b, describe in Part III.		
6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:		
a The organization?	6a	No
b Any related organization?	6b	No
If "Yes," on line 6a or 6b, describe in Part III.		
7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.	7 Yes	
8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.	8 Yes	
9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9 Yes	

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
Schedule J, Part II, Column (B)(i) Base Compensation - Andre Churchwell	Vanderbilt reimbursed VUMC \$282,250 through an Employee Services Agreement for services provided to Vanderbilt by Andre Churchwell, a VUMC employee who serves as Vanderbilt's Chief Diversity Officer and Vice Chancellor for Equity, Diversity and Inclusion.
Schedule J, Part I, Line 1a First-class or charter travel	Vanderbilt excludes first-class and charter travel from taxable income when a documented business purpose is served. First-class or charter travel - Travelers should fly via commercial airline and work to obtain the lowest fare possible. Business class or first class seating may only be used if the traveler's Vice Chancellor provides approval in writing in advance. This approval is only provided for limited circumstances as provided in Vanderbilt's Travel and Business Expense Policy. In cases where it is not possible to fly commercial due to scheduling commitments, and the travel is of the highest importance, travelers may request that the Chancellor approve a charter flight. After weighing the benefits of the trip against the cost of the charter, the chancellor may approve a charter flight. The Board of Trust has approved first class and charter travel for the Chancellor when necessitated by his schedule. Per Vanderbilt's athletics travel policy, the Vice Chancellor for University Affairs and Athletics can approve non-student travel on team charter flights, when there are extra seats, without requiring reimbursement to the university since the charter fee is not determined by the number of actual passengers. 3 officers, 2 highest compensated employees, and 1 key employee were provided first-class or charter travel that was not included in taxable compensation.
Schedule J, Part I, Line 1a Travel for companions	1 highest compensated employee was provided companion travel that was not included in taxable compensation.
Schedule J, Part I, Line 1a Tax indemnification and gross-up payments	1 officer was provided tax indemnification and gross-up payments that were included in taxable compensation.
Schedule J, Part I, Line 1a Housing allowance or residence for personal use	1 officer was provided a housing allowance that was included in taxable compensation.
Schedule J, Part I, Line 4a Severance or change-of-control payment	Certain Individuals Listed in Form 990, Schedule J, Part II received a severance payment in connection to their termination of status as an officer or employee. 3 payouts were made under a severance agreement: Tina Smith: \$133,675 Susie Stalcup: \$317,451 Malcolm Turner: \$1,510,667
Schedule J, Part I, Line 4b Supplemental nonqualified retirement plan	Certain individuals listed in Form 990, Schedule J, Part II, participate in nonqualified deferred compensation plans. Amounts contributed to the plans include fixed % of annual bonus payments, and fixed dollar amounts. Contributions to the plan vest when individuals complete their contract term or continue employment to a designated date. Current year accruals of compensation associated with these plans are included in the amounts reported for such individuals in Schedule J, Part II, Column (C). The payout of these amounts in future years will be included in the amounts reported in Schedule J, Part II, Column (B)(ii), and will also be reported in Schedule J, Part II, Column (F). Eight payouts were made under these plans to participating individuals in calendar year 2020. Steve Ertel: \$100,000 Nathan Green: \$100,000 Anders Hall: \$587,400 Eric Kopstain: \$150,000 John Lutz: \$100,000 Ruby Shellaway: \$100,000 Brett Sweet: \$150,000 Susan Wentz: \$250,000
Schedule J, Part I, Line 7 Non-fixed payments	Certain individuals listed in Schedule J, Part II received variable incentive compensation based on the achievement of pre-established goals, where judgement was determined either by the Board of Trust or the Chancellor. Incentive payments are shown in Schedule J, Part II, Column B(ii).
Schedule J, Part I, Line 8 Payments on contract that is subject to the initial contract exception	Certain individuals are, from time to time, serving under the provisions of their initial contract to serve as officers of the university, which principally establishes their compensation, responsibilities and duties.

Additional Data

Software ID: 20011424
Software Version: 2020v4.0
EIN: 62-0476822
Name: Vanderbilt University

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1Daniel Diermeier	(i)	576,542	212,500	135,367	134,079	6,744	1,065,232	0
See Schedule O	(ii)	0	0	0	0	0	0	0
1Anders W Hall	(i)	871,888	1,481,483	19,709	656,395	28,044	3,057,519	569,154
See Schedule O	(ii)	0	0	0	0	0	0	0
2Eric C Kopstain	(i)	541,466	208,710	20,742	59,079	14,723	844,720	62,500
See Schedule O	(ii)	0	0	0	0	0	0	0
3John M Lutz	(i)	847,036	281,404	20,322	84,079	20,631	1,253,472	41,667
See Schedule O	(ii)	0	0	0	0	0	0	0
4Brett C Sweet	(i)	947,498	444,152	20,310	151,222	68,890	1,632,072	62,500
See Schedule O	(ii)	0	0	0	0	0	0	0
5Steve Ertel	(i)	316,088	134,278	351	14,079	28,827	493,623	41,667
See Schedule O	(ii)	0	0	0	0	0	0	0
6Nathan Green	(i)	345,434	127,810	4,535	8,550	26,019	512,348	41,667
See Schedule O	(ii)	0	0	0	0	0	0	0
7Ruby Shellaway	(i)	511,799	192,700	20,111	14,079	34,894	773,583	41,667
See Schedule O	(ii)	0	0	0	0	0	0	0
8Andre L Churchwell	(i)	282,250	0	0	0	0	282,250	0
See Schedule O	(ii)	0	0	0	0	0	0	0
9Candice S Lee	(i)	477,664	90,000	105,431	30,746	16,095	719,936	0
See Schedule O	(ii)	0	0	0	0	0	0	0
10Susan Wente	(i)	819,191	810,826	32,322	280,746	67,698	2,010,783	104,167
See Schedule O	(ii)	0	0	0	0	0	0	0
11Lawrence J Marnett	(i)	605,925	40,000	34,326	34,079	20,524	734,854	0
See Schedule O	(ii)	0	0	0	0	0	0	0
12John Geer	(i)	596,348	25,000	34,032	74,079	47,317	776,776	0
See Schedule O	(ii)	0	0	0	0	0	0	0
13Timothy C Corbin	(i)	1,300,457	137,500	9,843	204,350	15,978	1,668,128	0
See Schedule O	(ii)	0	0	0	0	0	0	0
14Derek Mason	(i)	2,900,800	122,500	23,242	14,079	79,400	3,140,021	0
See Schedule O	(ii)	0	0	0	0	0	0	0
15Jerry Stackhouse	(i)	2,876,063	101,000	20,148	139,079	1,817	3,138,107	0
See Schedule O	(ii)	0	0	0	0	0	0	0
16Malcolm Turner	(i)	147,832	0	1,512,470	7,463	4,877	1,672,642	0
See Schedule O	(ii)	0	0	0	0	0	0	0
17Nicholas S Zeppos	(i)	1,505,290	0	34,553	14,079	20,850	1,574,772	0
See Schedule O	(ii)	0	0	0	0	0	0	0
18Tina Smith	(i)	124,195	0	133,954	6,233	4,650	269,032	0
See Schedule O	(ii)	0	0	0	0	0	0	0
19Lauren Benton	(i)	186,903	0	72,927	9,870	8,575	278,275	0
See Schedule O	(ii)	0	0	0	0	0	0	0

Schedule L
(Form 990 or 990-EZ)

Transactions with Interested Persons

OMB No. 1545-0047

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**
 ▶ **Attach to Form 990 or Form 990-EZ.**
 ▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

2020

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
Vanderbilt University

Employer identification number

62-0476822

Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and section 501(c)(29) organizations only).
 Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958. ▶ \$ _____

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization. ▶ \$ _____

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No

Total ▶ \$ _____

Part III Grants or Assistance Benefiting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
(1)		117,262	Tuition assistance	Tuition benefit to assist individuals to fund education costs.

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) Peter Lake	Family member of former key employee Lauren Benton	289,235	Employment at Vanderbilt		No
(2) Sandra Solomon	Family member of former key employee Lauren Benton	14,838	Employment at Vanderbilt		No
(3) Andrew Lee	Family member of current officer Candice Lee	43,379	Employment at Vanderbilt		No
(4) Marisa C Smith	Family member of current officer Andre Churchwell	43,186	Employment at Vanderbilt		No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

Return Reference	Explanation

**SCHEDULE M
(Form 990)**

Noncash Contributions

OMB No. 1545-0047

2020

▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
 ▶ **Attach to Form 990.**
 ▶ **Go to www.irs.gov/Form990 for the latest information.**

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
Vanderbilt University

Employer identification number
62-0476822

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	6	2,474	Market value
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications	X		8	Market value
5 Clothing and household goods	X		1,280	Market value
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	251	27,536,683	Market value
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles	X	1	1	Market value
19 Food inventory				
20 Drugs and medical supplies	X	1	1	Market value
21 Taxidermy				
22 Historical artifacts	X	1	1	Market value
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (Donor paid expenses)	X	25	4,179	Market value
26 Other ▶ (Miscellaneous)	X	3	4,502	Market value
27 Other ▶ (Software)	X	2	3,291,761	Market value
28 Other ▶ (Misc. Equipment)	X	5	12,385	Market value

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement **29** 0

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?		No
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	Yes	
b If "Yes," describe in Part II.		
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

Part II Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
Schedule M, Part I Reporting Method for Number of Contributions	Vanderbilt reports the number of contributions received throughout the year for each type of property listed.
Schedule M, Part I, Line 32b Third parties used to solicit, process, or sell noncash contributions	Vanderbilt has utilized the assistance of professional real estate agents to sell the charitable contributions of real estate property.

SCHEDULE O
(Form 990 or 990-EZ)**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020**Open to Public Inspection**

Department of the Treasury

Internal Revenue Service

Name of the organization
Vanderbilt University

Employer identification number

62-0476822

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part I, Line 1 Description of Organization Mission	Vanderbilt is a center for scholarly research, informed and creative teaching, and service to the community and society at large. Vanderbilt will uphold the highest standards and be a leader in the quest for new knowledge through scholarship, dissemination of knowledge through teaching and outreach, and creative experimentation of ideas and concepts. In pursuit of these goals, Vanderbilt values most highly the intellectual freedom that supports open inquiry and equality, compassion and excellence in all endeavors.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 1 Description of Organization Mission	See Disclosure Above.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4a Education	<p>Top-ranked in both academics and financial aid, Vanderbilt offers an immersive residential undergraduate experience, with programs in the liberal arts and sciences, engineering, music, education and human development. Vanderbilt also is home to nationally and internationally recognized graduate schools of law, education, business, medicine, nursing and divinity, and offers robust graduate-degree programs across a range of academic disciplines. Vanderbilt's ten schools and colleges include: College of Arts and Science, Blair School of Music, Divinity School, School of Engineering, Graduate School, Law School, School of Medicine, School of Nursing, Owen Graduate School of Management, and Peabody College (of education and human development). Vanderbilt has approximately 7,100 undergraduate students, 6,500 graduate and professional students, and almost 4,800 faculty members including VUMC faculty (VUMC faculty are appointed by Vanderbilt but are employed by VUMC). Vanderbilt ranked 14th among the nation's best universities in an annual survey conducted by U.S. News & World Report. Vanderbilt's Peabody College of education and human development was named the No. 4 graduate school of education in the nation by U.S. News & World Report. Vanderbilt's School of Nursing ranked 9th among the nation's top graduate nursing schools. The Doctor of Nursing Practice program was ranked 5th. Nursing programs ranking in the top five in the country in their respective fields include: Psychiatric-Mental Health Nurse Practitioner, Nurse-Midwifery, Adult Gerontology Acute Care, Adult Gerontology-Primary Care, Family Nurse Practitioner, and Pediatric Primary Care. Vanderbilt's School of Medicine ranked 18th for research schools of medicine in the nation, the Law School ranked 18th, the Vanderbilt School of Engineering ranked 39th, and the Owen Graduate School of Management ranked 23rd for graduate business schools. For more information regarding education at Vanderbilt, visit http://www.vanderbilt.edu.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4b Academic and Scientific Research	Vanderbilt is an internationally recognized research university. A majority of Vanderbilt's research funding is received from the federal government. Funding is also received from foundations, associations, corporations, and other sources. Vanderbilt's researchers are at the forefront of posing innovative solutions to some of the most challenging questions facing the world today. For more information regarding research at Vanderbilt, visit http://research.vanderbilt.edu

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 2 Family/business relationships amongst interested persons	John R. Ingram and Mark Wilf - Business relationship, John R. Ingram and Adolpho Birch - Business relationship, Steve Ertel, Eric Kopstain, and Brett Sweet - Business relationship, Candice Lee and Brett Sweet - Business relationship

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 11b Review of form 990 by governing body	The Form 990 is prepared by Vanderbilt and provided to PricewaterhouseCoopers, Vanderbilt's independent accounting firm, for review. After review by PricewaterhouseCoopers, Vanderbilt provides a draft copy of the Form 990 and all required schedules for review to all General Officers, which includes the Chancellor and Chief Financial Officer. Once this review process is complete, all trustees are provided electronic access to the draft Form 990 and all required schedules for review. The final Form 990 and all required schedules are made available to the full Board of Trust for review via Boardbooks prior to the filing of the return.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 12c Conflict of interest policy	<p>Vanderbilt requires that for any faculty or staff member disclosing a potential conflict of interest, the conflict be reviewed by the individual's manager, as well as by the conflict of interest and commitment management office, and the reported conflict be managed, reduced, or eliminated. The manager is required to respond that any recommended management plan has been implemented or that the reported conflict no longer exists. For those conflicts in which Vanderbilt may have an institutional interest, those in which human subject research is performed, or those deemed unmanageable, the university conflicts committee reviews and determines appropriate actions. The university conflicts committee members are appointed by the chancellor and are made up of representatives from relevant areas across the University including faculty, audit, research, legal, administrative, and compliance. At least one representative on the Committee is a person from outside the University community. The University's General Counsel serves as the chair of the Committee. The university conflicts committee reports bi-annually to the audit committee of the Board of Trust the matters brought before the committee and the resulting actions. Board of Trust members and senior executive management of the university also must complete annual conflict of interest disclosures and management plans are developed to manage, reduce, or eliminate any potential conflicts of interest. Trustees are notified of their plans and the plans are thoroughly discussed with them to ensure compliance. Those with disclosed potential conflict of interest are presented to the audit committee of the board of trust, along with their respective management action plans, where applicable. Management plans may include restrictions on members such as recusing themselves during deliberations and decisions in which a potential conflict may exist, with the minutes of the meeting reflecting their recusal. Additionally, all members of the Vanderbilt community are required to disclose potential conflicts as they arise throughout the year. The same processes noted above occur for these disclosures.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 15a Process to establish compensation of top management official	<p>To ensure that Vanderbilt is paying reasonable total compensation, is not violating the private inurement prohibition, which requires that none of the organization's income or assets unreasonably benefit any of its trustees, officers, or key employees, and is in compliance with intermediate sanctions provisions with respect to the general officers, Vanderbilt's Board of Trust has designated a Compensation Committee made up of outside, independent, board members to review and approve total compensation annually for the vice chancellors, and review and approve total compensation annually for the chancellor for recommendation to the Executive Committee of the Board of Trust. The committee utilizes appropriate, nationally-recognized consulting firms to conduct market compensation analyses, provide expert information, and issue reasonableness opinions regarding comparative compensation norms, and to ensure compliance with all Internal Revenue Service rules concerning executive compensation, including the Internal Revenue Code provision related to intermediate sanctions, deferred compensation, and private inurement. The Compensation Committee reviews the executive compensation philosophy and affirms that it is in line with the Board's expectations. The compensation of the general officers is disclosed in the annual Form 990, which is available to the public in accordance with regulations section 301.6104(d)-1 through 3. Each year, the total compensation review and recommendations are recorded in the minutes of the Compensation Committee meetings. The approval of the recommendations for the chancellor is further recorded in the minutes of the Executive Committee meetings. The full Board is informed annually of the total compensation of the general officers during private session.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 15b Process to establish compensation of other employees	Vanderbilt uses the same procedures as described in response to Part VI, Line 15a.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 19 Required documents available to the public	Vanderbilt makes its governing documents available to the public through its Board of Trust website located at: http://www.vanderbilt.edu/boardoftrust . Vanderbilt makes its conflict of interest policy available to the public through its Compliance Program website located at http://www.vanderbilt.edu/compliance . Vanderbilt makes its financial statements available to the public through its website located at: https://finance.vanderbilt.edu/accounting/report/ .

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VII, Section A, Line 1a, Column (A) Continuation of Titles	- Derek Mason - Head Football Coach - Jerry Stackhouse - Head Men's Basketball Coach - Anders W. Hall - Vice Chancellor for Investments and Chief Investment Officer - Susan Wente - Provost and Vice Chancellor for Academic Affairs - Malcolm Turner - Former Vice Chancellor for Athletics and University Affairs and Athletics Director. - Timothy C. Corbin - Head Baseball Coach - Brett C. Sweet - Vice Chancellor for Finance, Information Technology (effective April 14, 2021) and Chief Financial Officer - Nicholas S. Zeppos - Former Chancellor - John M. Lutz - Vice Chancellor for Information Technology (until April 13th, 2021); Vice Chancellor for Development and Alumni Relations - Daniel Diermeier - Chancellor - Eric C. Koppstein - Vice Chancellor for Administration - John Geer - Dean of College of Arts and Science - Ruby Shellaway - Vice Chancellor, General Counsel, and University Secretary - Lawrence J. Marnett - Dean of Basic Sciences - Candice S. Lee - Vice Chancellor for Athletics and University Affairs and Athletic Director - Susie S. Stalcup - Former Vice Chancellor for Development and Alumni Relations - Nathan Green - Vice Chancellor for Government and Community Relations - Steve Ertel - Vice Chancellor for Communications - Lauren Benton - Former Dean of College of Arts and Science and Key Employee - Tina Smith - Former Interim Vice Chancellor for Equity, Diversity, and Inclusion - Andre L. Churchwell - Chief Diversity Officer and Vice Chancellor for Equity, Diversity, and Inclusion

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VIII, Line 2f Other Program Service Revenue	All other program service revenue - Total Revenue: 10440804, Related or Exempt Function Revenue: 10440804, Unrelated Business Revenue: , Revenue Excluded from Tax Under Sections 512, 513, or 514: ;

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part XI, Line 9 Other changes in net assets or fund balances	Change in minority interest - -1172887; Deconsolidated Distribution - 250001;

**SCHEDULE R
(Form 990)**

Related Organizations and Unrelated Partnerships

OMB No. 1545-0047

2020

**Open to Public
Inspection**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury
Internal Revenue Service

Name of the organization
Vanderbilt University

Employer identification number

62-0476822

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) Dore Capital LLC 2100 West End Ave Suite 1000 Nashville, TN 37203 26-4337602	Investment	DE	0	0	VU
(2) Dore Capital Real Estate LLC 2100 West End Ave Suite 1000 Nashville, TN 37203 26-4581498	Investment	DE	0	0	VU
(3) Star V Partners LLC 2100 West End Ave Suite 1000 Nashville, TN 37203	Investment	TN	182,101,971	2,173,566,137	VU
(4) West Trace LLC 2201 W End Ave Ste 421 Nashville, TN 372400001 83-4051968	Hospitality Operations	TN	7,541,037	82,843,853	VU
(5) Royal Tree LLC 110 21st Avenue South Ste 900 Nashville, TN 37203 84-3564256	Holding Company	DE	0	24,468,980	VU

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) Vanderbilt Student Communications Inc 2301 Vanderbilt Place Nashville, TN 37235 23-7030713	Support Org	TN	501(c)(3)	Type I	VU	Yes	
(2) Ingram Charitable Fund Inc 4400 Harding Road 9th Floor Nashville, TN 37203 58-1916504	Support Org	TN	501(c)(3)	Type III-O	NA		No

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) Dore Capital LP 2100 West End Ste 1000 Nashville, TN 37203 26-4337679	Investment	DE	VU	Excluded	528,158	30,477,696	Yes			Yes		
(2) Dore Capital Real Estate LP 2100 West End Ste 1000 Nashville, TN 37203 26-4581574	Investment	DE	VU	Excluded	2,142,562	23,953,323	Yes			Yes		
(3) Altos Hybrid 2 V LLC 2882 Sand Hill Road Suite 100 Menlo Park, CA 94025 82-2083773	Investment	DE	VU	Excluded	-401,720	21,823,559		No			No	
(4) Valar Co-Invest 7 LP 915 Broadway Suite 1101 New York, NY 10010 84-1990189	Investment	DE	VU	Excluded	0	5,632,490		No			No	
(5) Valar Co-Invest 9 LP 915 Broadway Suite 1101 New York, NY 10010 85-0669361	Investment	DE	VU	Excluded	0	4,000,000		No			No	
(6) Valar Velocity Holdings 2 LP 915 Broadway Suite 1101 New York, NY 10010 85-0661620	Investment	DE	VU	Excluded	0	0		No			No	
(7) Periscope IBH Holdings LLC ONE NORTH WACKER DRIVE SUITE 4050 CHICAGO, IL 60606 82-5263601	Investment	DE	VU	Excluded	0	2,519,935		No			No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

See Additional Data Table

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

		Yes	No
1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a	Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	Yes	
b	Gift, grant, or capital contribution to related organization(s)	Yes	
c	Gift, grant, or capital contribution from related organization(s)	Yes	
d	Loans or loan guarantees to or for related organization(s)	Yes	
e	Loans or loan guarantees by related organization(s)		No
f	Dividends from related organization(s)	Yes	
g	Sale of assets to related organization(s)		No
h	Purchase of assets from related organization(s)		No
i	Exchange of assets with related organization(s)		No
j	Lease of facilities, equipment, or other assets to related organization(s)		No
k	Lease of facilities, equipment, or other assets from related organization(s)	Yes	
l	Performance of services or membership or fundraising solicitations for related organization(s)		No
m	Performance of services or membership or fundraising solicitations by related organization(s)		No
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)		No
o	Sharing of paid employees with related organization(s)	Yes	
p	Reimbursement paid to related organization(s) for expenses	Yes	
q	Reimbursement paid by related organization(s) for expenses	Yes	
r	Other transfer of cash or property to related organization(s)	Yes	
s	Other transfer of cash or property from related organization(s)	Yes	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

See Additional Data Table

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R. (see instructions).

Return Reference	Explanation
Schedule R, Part V, Line 2(c)	The amounts reported are derived from the books and records of Vanderbilt, which are maintained on an accrual basis in accordance with U.S. Generally Accepted Accounting Principles. Valuation of the amounts reported in Schedule R, Part V, Column C is consistent with the valuation reflected in the University's consolidated audited financial statements.

Additional Data

Software ID: 20011424
Software Version: 2020v4.0
EIN: 62-0476822
Name: Vanderbilt University

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
Dore Capital LP 2100 West End Ste 1000 Nashville, TN 37203 26-4337679	Investment	DE	VU	Excluded	528,158	30,477,696	Yes			Yes		
Dore Capital Real Estate LP 2100 West End Ste 1000 Nashville, TN 37203 26-4581574	Investment	DE	VU	Excluded	2,142,562	23,953,323	Yes			Yes		
Altos Hybrid 2 V LLC 2882 Sand Hill Road Suite 100 Menlo Park, CA 94025 82-2083773	Investment	DE	VU	Excluded	-401,720	21,823,559		No			No	
Valar Co-Invest 7 LP 915 Broadway Suite 1101 New York, NY 10010 84-1990189	Investment	DE	VU	Excluded	0	5,632,490		No			No	
Valar Co-Invest 9 LP 915 Broadway Suite 1101 New York, NY 10010 85-0669361	Investment	DE	VU	Excluded	0	4,000,000		No			No	
Valar Velocity Holdings 2 LP 915 Broadway Suite 1101 New York, NY 10010 85-0661620	Investment	DE	VU	Excluded	0	0		No			No	
Periscope IBH Holdings LLC ONE NORTH WACKER DRIVE SUITE 4050 CHICAGO, IL 60606 82-5263601	Investment	DE	VU	Excluded	0	2,519,935		No			No	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
Commodore Scottish Patron II LP 50 Lothian Road Festival Square Edinburgh, Scotland UK	Investment	UK	VU	C Corporation	5,552	4,772,857		Yes	
Elliston Partners	Investment	CJ	VU	C Corporation	0	0		Yes	
Emergent Pro Alia Fund Sub Fund Emergent African Land Fund 98-0600835	Investment	LU	VU	C Corporation	0	0		Yes	
Pacific Harbor Special Holdings Ltd PO Box 957 Offshore Incorporations Centre Road Town, Tortola VI	Investment	VI	VU	C Corporation	0	0		Yes	
Vanderbilt Legends Club Inc 1500 Legends Club Lane Franklin, TN 37069 62-1429615	Golf Club	TN	VU	C Corporation	9,410,949	24,723,208		Yes	
Charitable Remainder Trusts (107)	Charitable Trust	TN	VU	Trust				Yes	
Perpetual Trusts (5)	Charitable Trust	TN	VU	Trust				Yes	
Charitable Lead Trusts (2)	Charitable Trust	TN	VU	Trust				Yes	

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
Altos Hybrid 2 V LLC	B	4,083,752	FMV
Altos Hybrid 2 V LLC	S	3,593,850	FMV
Commodore Scottish Patron II LP	S	665,835	FMV
Dore Capital Real Estate LP	S	150,000	FMV
Dore Capital LP	B	810,520	FMV
Dore Capital LP	S	14,820,000	FMV
Periscope IBH Holdings LLC	B	1,762,400	FMV
Valar Velocity Holdings 2 LP	S	11,000,000	FMV
Vanderbilt Legends Club Inc	A	449,588	FMV
Vanderbilt Legends Club Inc	D	5,025,402	FMV
Vanderbilt Legends Club Inc	F	250,000	FMV
Vanderbilt Legends Club Inc	P	76,279	FMV
Vanderbilt Student Communications Inc	R	547,173	FMV
Vanderbilt Student Communications Inc	O	469,917	FMV
Vanderbilt Student Communications Inc	Q	125,799	FMV
Charitable Lead Trust (1)	C	86,253	FMV
Charitable Lead Trust (1)	S	238,990	FMV
Charitable Remainder Trust (10)	C	984,160	FMV
Perpetual Trusts (5)	S	960,299	FMV