

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047
2021
Open to Public Inspection

For calendar year 2021, or tax year beginning 01-01-2021, and ending 12-31-2021

| | | | |
|--|------------|---|--|
| Name of foundation Humana Foundation Inc | | A Employer identification number 61-1004763 | |
| Number and street (or P.O. box number if mail is not delivered to street address) PO Box 740026 | Room/suite | B Telephone number (see instructions) (502) 580-3613 | |
| City or town, state or province, country, and ZIP or foreign postal code Louisville, KY 40201 | | C If exemption application is pending, check here <input type="checkbox"/> | |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/> | |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> | |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>467,311,073</u> | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> | |
| J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.) | | | |

| Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small> | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | 0 | | | |
| | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 7,079,227 | 7,050,383 | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 2,665,340 | | | |
| | b Gross sales price for all assets on line 6a | 141,634,599 | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 2,665,340 | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less: Cost of goods sold | | | | | |
| c Gross profit or (loss) (attach schedule) | | | | | |
| 11 Other income (attach schedule) | | | | | |
| 12 Total. Add lines 1 through 11 | 9,744,567 | 9,715,723 | | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | 0 | 0 | | 0 |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | | | | |
| | c Other professional fees (attach schedule) | 395,774 | 0 | | 395,774 |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | 669,948 | 0 | | 0 |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | 839 | 0 | | 0 |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 1,066,561 | 0 | | 395,774 |
| | 25 Contributions, gifts, grants paid | 16,314,657 | | | 23,008,695 |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 17,381,218 | 0 | | 23,404,469 | |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | -7,636,651 | | | | |
| b Net investment income (if negative, enter -0-) | | 9,715,723 | | | |
| c Adjusted net income (if negative, enter -0-) | | | | | |

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

| | | Beginning of year | End of year | |
|---|--|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash—non-interest-bearing | 4,770,775 | | |
| | 2 Savings and temporary cash investments | 72,940,480 | 56,495,919 | 56,495,919 |
| | 3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____ | 1,088,900 | | |
| | 4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | 8,278 | | |
| | 10a Investments—U.S. and state government obligations (attach schedule) | 36,198,453 | 25,739,727 | 25,574,665 |
| | b Investments—corporate stock (attach schedule) | 101,372,169 | 143,179,295 | 304,769,766 |
| | c Investments—corporate bonds (attach schedule) | 24,407,253 | 15,329,537 | 15,516,270 |
| | 11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____ | | | |
| | 12 Investments—mortgage loans | 70,858,527 | 63,907,961 | 64,409,352 |
| | 13 Investments—other (attach schedule) | 10,592,076 | 545,101 | 545,101 |
| | 14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____ | | | |
| 15 Other assets (describe ▶ _____) | 123,654,129 | 162,113,533 | 0 | |
| 16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) | 445,891,040 | 467,311,073 | 467,311,073 | |
| Liabilities | 17 Accounts payable and accrued expenses | 9,868,334 | 6,602,252 | |
| | 18 Grants payable | 7,094,380 | 400,343 | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ _____) | 1,718,482 | 2,253,381 | |
| | 23 Total liabilities (add lines 17 through 22) | 18,681,196 | 9,255,976 | |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30. | | | |
| | 24 Net assets without donor restrictions | | | |
| | 25 Net assets with donor restrictions | | | |
| | Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30. | | | |
| | 26 Capital stock, trust principal, or current funds | 427,209,844 | 458,055,097 | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | 0 | 0 | |
| 28 Retained earnings, accumulated income, endowment, or other funds | 0 | 0 | | |
| 29 Total net assets or fund balances (see instructions) | 427,209,844 | 458,055,097 | | |
| 30 Total liabilities and net assets/fund balances (see instructions) . | 445,891,040 | 467,311,073 | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|----------|-------------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 427,209,844 |
| 2 Enter amount from Part I, line 27a | 2 | -7,636,651 |
| 3 Other increases not included in line 2 (itemize) ▶ _____ | 3 | 38,481,904 |
| 4 Add lines 1, 2, and 3 | 4 | 458,055,097 |
| 5 Decreases not included in line 2 (itemize) ▶ _____ | 5 | 0 |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 . | 6 | 458,055,097 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--|--|
| 1 a Publicly Traded Securities | | | |
| b Bonds and Mortgage Notes | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---------------------------------|--|---|--|
| a 2,967,480 | | 1,003,660 | 1,963,820 |
| b 138,667,119 | | 137,965,599 | 701,520 |
| c | | | |
| d | | | |
| e | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|-------------------------------------|--|---|--|
| a | | | 1,963,820 |
| b | | | 701,520 |
| c | | | |
| d | | | |
| e | | | |

| | | | | | |
|---|---|---|---|----------|-----------|
| 2 Capital gain net income or (net capital loss) | { | If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | } | 2 | 2,665,340 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | | | | 3 | |

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, subtitle A tax, credits/payments, and total tax due/overpayment. Total amount owed is 3,219.

Part VI-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, IRS reporting, and state reporting. Includes Yes/No columns.

Part VI-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

Located at 500 W Main Street Louisville KY ZIP+4 40202

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | | |
|-----------|--|--------------|------------|-----------|
| 5a | During the year did the foundation pay or incur any amount to: | | Yes | No |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. | 5a(1) | | No |
| | (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?. | 5a(2) | | No |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes?. | 5a(3) | | No |
| | (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. | 5a(4) | | No |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. | 5a(5) | | No |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. | 5b | | |
| c | Organizations relying on a current notice regarding disaster assistance check <input type="checkbox"/> | | | |
| d | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d).</i> | 5d | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. | 6a | | No |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?. <i>If "Yes" to 6b, file Form 8870.</i> | 6b | | No |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | 7a | | No |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?. | 7b | | |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?. | 8 | | No |

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------|---|---|---|---------------------------------------|
| See Additional Data Table | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000. **0**

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|-----------------------------|------------------|
| PHD Media LLC DBA PHD USA 200 E 42nd Street New York, NY 10017 | COVID-19 Vaccine Site Media | 183,411 |
| Proxicom Inc DBA iCrossing Inc 15169 N Scottsdale Road Suite C-400 Scottsdale, AZ 85254 | COVID-19 Vaccine Site Media | 65,000 |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services. **0**

Part VIII-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 Scholarship America - The Foundation has made no distribution in which it maintains "significant involvement" within the meaning of Reg. Sec. 53.4942(b)-1(b)(2). | 0 |
| 2 _____ | |
| 3 _____ | |
| 4 _____ | |

Part VIII-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|--|--------|
| 1 100% of the Foundation's assets are held for the production of investment income. The Foundation has no program-related activities. | 0 |
| 2 _____ | |
| 3 All other program-related investments. See instructions. | |

Total. Add lines 1 through 3 **0**

| Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.) | | | |
|---|--|-----------|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities. | 1a | 447,788,235 |
| b | Average of monthly cash balances. | 1b | 1,579,825 |
| c | Fair market value of all other assets (see instructions). | 1c | 545,101 |
| d | Total (add lines 1a, b, and c). | 1d | 449,913,161 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 449,913,161 |
| 4 | Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions). | 4 | 6,748,697 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. | 5 | 443,164,464 |
| 6 | Minimum investment return. Enter 5% (0.05) of line 5. | 6 | 22,158,223 |

| Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here <input type="checkbox"/> and do not complete this part.) | | | |
|--|---|-----------|------------|
| 1 | Minimum investment return from Part IX, line 6. | 1 | 22,158,223 |
| 2a | Tax on investment income for 2021 from Part V, line 5. | 2a | 135,049 |
| b | Income tax for 2021. (This does not include the tax from Part V.) | 2b | |
| c | Add lines 2a and 2b. | 2c | 135,049 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 22,023,174 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | 0 |
| 5 | Add lines 3 and 4. | 5 | 22,023,174 |
| 6 | Deduction from distributable amount (see instructions). | 6 | 0 |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1. | 7 | 22,023,174 |

| Part XI Qualifying Distributions (see instructions) | | | |
|--|--|-----------|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 23,404,469 |
| b | Program-related investments—total from Part VIII-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4. | 4 | 23,404,469 |

Part XII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2020 | (c) 2020 | (d) 2021 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2021 from Part X, line 7 | | | | 22,023,174 |
| 2 Undistributed income, if any, as of the end of 2021: | | | | |
| a Enter amount for 2020 only. | | | 0 | |
| b Total for prior years: 20___, 20___, 20___ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2021: | | | | |
| a From 2016. | 144,871 | | | |
| b From 2017. | 1,638,638 | | | |
| c From 2018. | 2,139,896 | | | |
| d From 2019. | | | | |
| e From 2020. | 43,408,555 | | | |
| f Total of lines 3a through e. | 47,331,960 | | | |
| 4 Qualifying distributions for 2021 from Part XI, line 4: ► \$ <u>23,404,469</u> | | | | |
| a Applied to 2020, but not more than line 2a | | | 0 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2021 distributable amount. | | | | 22,023,174 |
| e Remaining amount distributed out of corpus | 1,381,295 | | | |
| 5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).) | | | | 0 |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 48,713,255 | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b. | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | 0 | | |
| d Subtract line 6c from line 6b. Taxable amount—see instructions. | | 0 | | |
| e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. | | | 0 | |
| f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022. | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | | 0 | | |
| 8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions). | 144,871 | | | |
| 9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a. | 48,568,384 | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2017. | 1,638,638 | | | |
| b Excess from 2018. | 2,139,896 | | | |
| c Excess from 2019. | | | | |
| d Excess from 2020. | 43,408,555 | | | |
| e Excess from 2021. | 1,381,295 | | | |

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

| | | | | | |
|---|-----------------|-----------------|-----------------|-----------------|------------------|
| 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling | | | | | |
| b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5) | | | | | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed | Tax year | Prior 3 years | | | (e) Total |
| | (a) 2021 | (b) 2020 | (c) 2019 | (d) 2018 | |
| b 85% (0.85) of line 2a | | | | | |
| c Qualifying distributions from Part XI, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test—enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed | | | | | |
| c "Support" alternative test—enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
 - b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
Humana Foundation Inc
500 W Main St
Louisville, KY 40202
(502) 580-3613
- b** The form in which applications should be submitted and information and materials they should include:
Applications for contributions, other than scholarships, should be submitted by letter and accompanied by a copy of the organization's exemption letter under Code Sec. 501(c)(3). The application must request a specific dollar amount and include a brief explanation of the charitable activities of the organization. Applications for scholarship awards should be submitted directly to Scholarship America, St. Peter MN, on a pre-approved form. For details, see "Grant Applications and Scholarship Program" at www.humanafoundation.org.
- c** Any submission deadlines:
February 1, Humana Scholarship Program
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
The Humana Foundation identifies, funds, and nurtures projects and organizations in three fields: domestic and international health, education, and civic and cultural development in communities where the company has a meaningful presence. The scholarship competition is open to high school seniors, high school graduates, and college students who are children of Humana employees and plan on pursuing a college degree.

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> See Additional Data Table | | | | |
| Total ▶ 3a | | | | 15,914,314 |
| b <i>Approved for future payment</i> See Additional Data Table | | | | |
| Total ▶ 3b | | | | 400,343 |

| Form 990FP Part VII Line 1 - List all officers, directors, trustees, foundation managers and their compensation | | | | |
|--|--|--|--|--|
| (a) Name and address | Title, and average hours per week (b) devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
| Walter D Woods | Chief Executive Officer 40.00 | 0 | 0 | 0 |
| 500 W Main St Louisville, KY 40202 | | | | |
| Caraline L Coats | Interim Chief Executive Officer 40.00 | 0 | 0 | 0 |
| 500 W Main St Louisville, KY 40202 | | | | |
| Susan M Diamond | Senior Vice President, CFO 1.00 | 0 | 0 | 0 |
| 500 W Main St Louisville, KY 40202 | | | | |
| Brain A Kane | Senior Vice President, CFO 1.00 | 0 | 0 | 0 |
| 500 W Main St Louisville, KY 40202 | | | | |
| James K Moore | Vice President, Operational Risk Manag 1.00 | 0 | 0 | 0 |
| 500 W Main St Louisville, KY 40202 | | | | |
| W Mark Preston | Vice President & Treasurer 1.00 | 0 | 0 | 0 |
| 500 W Main St Louisville, KY 40202 | | | | |
| D Hank Robinson | Vice President, Tax 1.00 | 0 | 0 | 0 |
| 500 W Main St Louisville, KY 40202 | | | | |
| Joseph C Ventura | Vice President, General Counsel & Secr 1.00 | 0 | 0 | 0 |
| 500 W Main St Louisville, KY 40202 | | | | |
| Bruce D Broussard | Chairman Director 1.00 | 0 | 0 | 0 |
| 500 W Main St Louisville, KY 40202 | | | | |
| Bettina Beech PhD MPH | Director 1.00 | 0 | 0 | 0 |
| 4849 Calhoun Rd Room 6013 Houston, TX 77204 | | | | |
| David A Jones Jr | Director 1.00 | 0 | 0 | 0 |
| 101 S Fifth St Louisville, KY 40202 | | | | |
| Heidi S Margulis | Director 1.00 | 0 | 0 | 0 |
| 500 W Main St Louisville, KY 40202 | | | | |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| ACADEMY OF MUSIC PRODUCTION EDUCATION AND DEVELOPMENT 4425 Greenwood Ave Louisville, KY 40211 | | PC | Community Partners Program Grant | 200,000 |
| ACTBLUE CHARITIES INC 366 Summer St Somerville, MA 02144 | | PC | Prior Year Matching Gift - Voided Check | -25 |
| ADELANTE HISPANIC ACHEIVER INC 4801 Southside Dr Louisville, KY 40214 | | PC | Community Partners Program Grant | 50,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| AMERICAN NURSES FOUNDATION INC 8515 Georgia Ave Suite 400 Silver Spring, MD 20910 | | PC | Grant | 22,500 |
| AMERICAN ONLINE GIVING FOUNDATION INC 40 East Main St Newark, DE 19711 | | PC | Matching Gifts | 1,208,778 |
| AMERICAN ONLINE GIVING FOUNDATION INC 40 East Main St Newark, DE 19711 | | PC | Program Grants | 5,662,985 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| AMERICAN ONLINE GIVING FOUNDATION INC 40 East Main St Newark, DE 19711 | | PC | Volunteer Awards | 118,232 |
| AMERICANA COMMUNITY CENTER INC 4801 Southside Dr Louisville, KY 40214 | | PC | Community Partners Program Grant | 195,000 |
| ASIA INSTITUTE INC DBA ASIA INSTITUTE - CRANE HOUSE 1244 S Third St Louisville, KY 40203 | | PC | Community Partners Program Grant | 120,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|-------------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| AUSPICIOUS WORLD INC 2413 Main St Unit 162 Miramar, FL 33025 | | PC | Prior Year Matching Gifts - Voided Checks | -200 |
| AUTISM SERVICE CENTER OF SA 701 S Zaramora St San Antonio, TX 78207 | | PC | Prior Year Matching Gift - Voided Check | -26 |
| BATES COMMUNITY DEVELOPMENT CORPORATION 1228 S Jackson St Louisville, KY 40203 | | PC | Community Partners Program Grant | 70,000 |
| Total | | | | 15,914,314 |

▶ **3a**

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| BATES MEMORIAL BAPTIST CHURCH 620 Lampton Street Louisville, KY 40203 | | | | |
| | | PC | COVID-19 Vaccine Site | 500 |
| BAYOU COMMUNITY FOUNDATION PO Box 582 Houma, LA 70361 | | | | |
| | | PC | Hurricane Ida Relief | 100,000 |
| BE A LIGHT COMMUNITY DEVELOPMENT CORPORATION DBA BE A LIGHT CDC 3214 Wessel Rd Louisville, KY 40216 | | | | |
| | | PC | COVID-19 Vaccine Site | 1,000 |
| Total | ▶ 3a | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| BLACK COMMUNITY DEVELOPMENT CORPORATION 2600 W Broadway Louisville, KY 40211 | | | | |
| | | PC | Community Partners Program Grant | 210,000 |
| BLACK LIVES MATTER GREATER ATLANTA 260 Peachtree St NW Atlanta, GA 30303 | | | | |
| | | PC | Prior Year Matching Gift - Voided Check | -25 |
| BRIDGE KIDS INTERNATIONAL INC 501 W Kenwood Dr Louisville, KY 40214 | | | | |
| | | PC | Community Partners Program Grant | 180,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| CANAAN CHRISTIAN CHURCH 2840 Hikes Ln Louisville, KY 40218 | | PC | COVID-19 Vaccine Site | 500 |
| CATHOLIC CHARITIES OF LOUISVILLE INC 2911 S Fourth St Louisville, KY 40208 | | PC | Community Partners Program Partner Gathering | 1,550 |
| CENTER FOR DISASTER PHILANTHROPY INC 1201 Connecticut Ave NW Ste 300 Washington, DC 20036 | | PC | Western KY Tornado Relief Efforts | 100,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|-------------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| CHANGE TODAY CHANGE TOMORROW INC 902 S 15th St Louisville, KY 40210 | | PC | COVID-19 Vaccine Site | 1,000 |
| CHILDREN HAVE OPTIONS IN CHOOSING EXPIRENCES DBA CHOICE INC 3715 Bardstown Rd Suite 303 Louisville, KY 40218 | | PC | Community Partners Program Grant | 55,000 |
| CLIFH INC DBA GLOBAL ECONOMIC DIVERSITY DEVELOPMENT INITIATIVE 140 North Fourth St Louisville, KY 40202 | | PC | Community Partners Program Grant | 125,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount | | | |
|---|---|--------------------------------|----------------------------------|--------|----|---|------------|
| Name and address (home or business) | | | | | | | |
| a <i>Paid during the year</i> | | | | | | | |
| COMMONWEALTH OF KENTUCKY DBA TEAM WESTERN KENTUCKY TORNADO RELIEF FUND 1050 U S Highway 127 South Ste 100 Frankfort, KY 40601 | | | | | PC | Western KY Tornado Relief Efforts | 250,000 |
| COMMUNITY BUILDING INSTITUTE 3800 Victory Parkway Cincinnati, OH 45207 | | | | | PC | Prior Year Matching Gift - Voided Check | -50 |
| COMMUNITY FOUNDATION OF LOUISVILLE 325 W Main St No 1110 Louisville, KY 40202 | | | | | PC | COVID-19 Vaccine Site | 1,000 |
| Total ▶ 3a | | | | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount | |
|---|---|--------------------------------|----------------------------------|------------|---------|
| Name and address (home or business) | | | | | |
| a <i>Paid during the year</i> | | | | | |
| COMMUNITY FOUNDATION OF LOUISVILLE 325 W Main St No 1110 Louisville, KY 40202 | | | | | 250,000 |
| COMMUNITY FOUNDATION OF LOUISVILLE 325 W Main St No 1110 Louisville, KY 40202 | | | | | 10,000 |
| COMMUNITY FOUNDATION OF LOUISVILLE 325 W Main St No 1110 Louisville, KY 40202 | | | | | 25,000 |
| Total ▶ 3a | | | | 15,914,314 | |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount | |
|---|---|--------------------------------|----------------------------------|------------|---------|
| Name and address (home or business) | | | | | |
| a <i>Paid during the year</i> | | | | | |
| COMMUNITY FOUNDATION OF LOUISVILLE 325 W Main St No 1110 Louisville, KY 40202 | | | | | 15,000 |
| CREATIVE AGENTS OF CHANGE FOUNDATION INC DBA IDEAS XLAB 204 S Floyd St 3 Louisville, KY 40202 | | | | | 175,000 |
| CYBERGRANTS TEST ORGANIZATION 790 Turnpike St Suite 300 North Andover, MA 01845 | | | | | -25 |
| Total ▶ 3a | | | | 15,914,314 | |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| DELTA FOUNDATION INC 3019 Radiance Rd Louisville, KY 40220 | | | | |
| FEEDING AMERICA 161 North Clark St No 700 Chicago, IL 60601 | | | | |
| FRIENDS OF THE WATERFRONT 129 W River Rd Louisville, KY 40202 | | | | |
| Total | ▶ 3a | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| FUND FOR THE ARTS 623 W Main St Louisville, KY 40202 | | PC | 2021 Summer Cultural Pass | 10,000 |
| GARRARD COUNTY FOOD PANTRY INC 103 Baker St Lancaster, KY 40444 | | PC | Disaster Grant | 20,000 |
| GLOBAL REFUGE MINISTRIES 1516 Astor St Indianapolis, IN 46222 | | PC | Prior Year Matching Gifts - Voided Checks | -100 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount | |
|---|---|--------------------------------|----------------------------------|------------|---------|
| Name and address (home or business) | | | | | |
| a <i>Paid during the year</i> | | | | | |
| GREATER LOUISVILLE SPORTS COMMISSION DBA LOUISVILLE SPORTS COMMISSION 401 W Main St Suite 2200 Louisville, KY 40202 | | | | | 5,000 |
| GREATER NEW ORLEANS FOUNDATION 919 St Charles Ave New Orleans, LA 70130 | | | | | 338,000 |
| GREATER NEW ORLEANS FOUNDATION 919 St Charles Ave New Orleans, LA 70130 | | | | | 200,000 |
| Total ▶ 3a | | | | 15,914,314 | |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| HANDS HOOVES & HOPE RANCH 1706 Argyle Ln New Lenox, IL 60451 | | PC | Prior Year Matching Gift - Voided Check | -100 |
| HOPKINS COUNTY FAMILY YMCA INC 150 YMCA Dr Madisonville, KY 42431 | | PC | Western KY Tornado Relief Efforts | 150,000 |
| HOUSE OF HOPE KY INC 1157 Dixie Hwy Louisville, KY 40210 | | PC | Community Partners Program Grant | 50,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| IN MOTION FOR MARC FOUNDATION INC PO Box 8475 Tampa, FL 33674 | | PC | Prior Year Matching Gift - Voided Check | -50 |
| JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION 3332 Newburg Rd Louisville, KY 40218 | | PC | COVID-19 Vaccine Site | 5,000 |
| KENTUCKY HEALTH JUSTICE NETWORK PO Box 4761 Louisville, KY 40204 | | PC | Community Partners Program Grant | 50,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| KRISTY LOVE FOUNDATION INC 1759 Wilart Dr Louisville, KY 40210 | | PC | COVID-19 Vaccine Site | 1,000 |
| KY STORM SOFTBALL 4604 Springfield Ct Louisville, KY 40229 | | PC | Prior Year Matching Gift - Voided Check | -100 |
| LA CASITA CENTER INC 223 E Magnolia Ave Louisville, KY 40208 | | PC | Community Partners Program Grant | 75,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount | |
|--|---|--------------------------------|----------------------------------|------------|---------|
| Name and address (home or business) | | | | | |
| a <i>Paid during the year</i> | | | | | |
| LIVING FAITH COMMUNITY DEVELOPMENT CENTER 2330 Algonquin Pkwy Louisville, KY 40245 | | | | | 1,000 |
| LOUISVILLE ASSOCIATION FOR COMMUNITY ECONOMICS PO Box 1501 Louisville, KY 40201 | | | | | 10,000 |
| LOUISVILLE ASSOCIATION FOR COMMUNITY ECONOMICS PO Box 1501 Louisville, KY 40201 | | | | | 250,000 |
| Total ▶ 3a | | | | 15,914,314 | |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| LOUISVILLE COMMUNITY DESIGN CENTER INC DBA CENTER FOR NEIGHBORHOODS 1126 Berry Blvd Ste 300 Louisville, KY 40215 | | PC | Community Partners Program Grant | 250,000 |
| MAMA TO MAMA INC 2602 Boulevard Napoleon Louisville, KY 40205 | | PC | Community Partners Program Grant | 75,000 |
| METRO UNITED WAY INC 334 E Broadway Louisville, KY 40202 | | PC | SMIRF (Social, Moral, Intellectual, Reputational and Financial) Grant | 600,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| METRO UNITED WAY INC 334 E Broadway Louisville, KY 40202 | | PC | Black L.O.V.E. Philanthropic Partnership | 20,000 |
| METRO UNITED WAY INC 334 E Broadway Louisville, KY 40202 | | PC | Capacity Building to Support Grantees Work | 150,000 |
| METROPOLITAN HOUSING COALITION PO Box 4533 Louisville, KY 40204 | | PC | Community Partners Program Grant | 75,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| MOLO VILLAGE CDC 1219 W Jefferson St Louisville, KY 40203 | | PC | Community Partners Program Grant | 107,967 |
| NETWORK FOR GOOD INC 1140 Connecticut Ave Suite 700 Washington, DC 20036 | | PC | Prior Year Matching Gift - Voided Check | -100 |
| NEW LEGACY REENTRY CORP 1115 Garvin Pl Louisville, KY 40203 | | PC | Community Partners Program Grant | 100,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|-------------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| NORTON HEALTHCARE FOUNDATION INC 224 E Broadway St Fifth Floor Louisville, KY 40202 | | PC | Community Partners Program Grant | 55,000 |
| NYREE CLAYTON-TAYLOR DBA HHN2L INC 6923 Franklin Framer Way Louisville, KY 40229 | | PC | COVID-19 Vaccine Site | 1,000 |
| PEACE EDUCATION PROGRAM INC 318 W Kentucky St Louisville, KY 40203 | | PC | Community Partners Program Grant | 50,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| PHILANTHROPY SOUTHEAST INC 100 Peachtree St NW Suite 2080 Atlanta, GA 30303 | | PC | 2021 Annual Membership | 10,290 |
| PLAY COUSINS COLLECTIVE 2600 West Broadway Suite 205 Louisville, KY 40212 | | PC | Community Partners Program Grant | 200,000 |
| PROJECT SOUTH THE INSTITUTION 9 Gammon Ave Atlanta, GA 30315 | | PC | Hurricane Ida Relief | 100,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| RAINBOW OVER WISCONSIN INC 702 E Wisconsin Ave Appleton, WI 54911 | | | PC Prior Year Matching Gift - Voided Check | -35 |
| RECKONING INC 2525 Clarendon Ave Louisville, KY 40205 | | | PC Community Partners Program Grant | 75,000 |
| REDEEMER LUTHERAN CHURCH 3640 River Park Dr Louisville, KY 40211 | | | PC COVID-19 Vaccine Site | 500 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| SAN ANTONIO FOOD BANK 5200 Enrique M Barrera Pkwy San Antonio, TX 78227 | | PC | Food Equity for Seniors | 550,000 |
| SCHOLARSHIP AMERICA INC 1 Scholarship Way Saint Peter, MN 56082 | | PC | Scholarship Awards | 725,400 |
| SECOND HARVEST FOOD BANK OF GREATER NEW ORLEANS AND ACADIANA 700 Edwards Ave New Orleans, LA 70123 | | PC | Makin Groceries Mobile Market | 500,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---------------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| SHAWNEE BAPTIST CHURCH 2214 Bank St Louisville, KY 40212 | | PC | COVID-19 Vaccine Site | 1,000 |
| SOMALI COMMUNITY OF LOUISVILLE INC 737 S 8th St Suite 100 Louisville, KY 40203 | | PC | Community Partners Program Grant | 55,000 |
| Somerset Academy Elementary (Miramar Campus) 12601 Somerset Blvd Miramar, FL 33027 | | PC | Prior year Matching Gift-Voided Check | -52 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount | |
|--|---|--------------------------------|----------------------------------|------------|--------|
| Name and address (home or business) | | | | | |
| a <i>Paid during the year</i> | | | | | |
| SWEET EVENING BREEZE INC 801 Barret Ave Ste 211 Louisville, KY 40204 | | | | | 50,000 |
| THE CENTER FOR NONPROFIT EXCELLENCE 325 W Main St Waterfront Plaza Suite 150 Louisville, KY 40202 | | | | | 50,000 |
| THE COMMUNITY FOUNDATION OF MIDDLE TENNESSEE INC 3833 Cleghorn Ave Ste 400 Nashville, TN 37215 | | | | | 50,000 |
| Total ▶ 3a | | | | 15,914,314 | |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| THE LOUISVILLE URBAN LEAGUE 1535 W Broadway Louisville, KY 40203 | | PC | COVID-19 Vaccine Site | 1,000 |
| THE MIAMI FOUNDATION 40 NW 3rd St Suite 305 Miami, FL 33128 | | PC | Disaster Grant | 50,000 |
| UNITED WAY OF SOUTHEAST LOUISIANA 2515 Canal St New Orleans, LA 70119 | | PC | Hurricane Ida Relief | 100,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| UNIVERSITY OF LOUISVILLE FOUNDATION INC 215 Central Ave No 212 Louisville, KY 40208 | | PC | Community Partners Program Grant | 325,000 |
| UNIVERSITY OF LOUISVILLE FOUNDATION INC 215 Central Ave No 212 Louisville, KY 40208 | | PC | Humana Innovation Hub for Health and Social Justice Transformation | 1,000,000 |
| UNTIL JUSTICE DATA PARTNERS 9152 Taylorsville Rd 201 Louisville, KY 40299 | | PC | COVID-19 Vaccine Site | 1,000 |
| Total ▶ 3a | | | | 15,914,314 |

Form 990PF Part XIV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| WEST END SCHOOL 3628 Virginia Ave Louisville, KY 40211 | | PC | COVID-19 Vaccine Site | 500 |
| YOUNG ADULT DEVELOPMENT IN ACTION INC DBA YOUTHBUILD LOUISVILLE 800 S Preston St Louisville, KY 40203 | | PC | Community Partners Program Grant | 150,000 |
| YOUNG MENS CHRISTIAN ASSOCIATION OF GREATER LOUISVILLE INC DBA YMCA OF GRE 545 South 2nd St Louisville, KY 40202 | | PC | Black Achievers Scholarship | 32,500 |
| Total ▶ 3a | | | | 15,914,314 |

TY 2021 Investments Corporate Bonds Schedule

Name: Humana Foundation Inc

EIN: 61-1004763

Investments Corporate Bonds Schedule

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---------------------------------------|------------------------|-------------------------------|
| Abbvie Inc. | 401,653 | 420,117 |
| Agilent Technologies, Inc. | 34,944 | 34,299 |
| Alexandria Real Estate Equities, Inc. | 129,129 | 126,250 |
| American Honda Finance Corporation | 44,932 | 45,482 |
| Amphenol Corp | 69,862 | 70,326 |
| Anheuser-Busch Inbev Finance Inc. | 210,846 | 214,832 |
| Anheuser-Busch Inbev Worldwide Inc. | 187,780 | 187,807 |
| Aon Corp | 174,948 | 180,531 |
| Arthur J Gallagher & Co. | 99,574 | 98,487 |
| AT&T Corporation | 220,330 | 213,469 |
| Autodesk Inc. | 69,795 | 69,880 |
| Bank of America | 748,022 | 760,887 |
| Banner Health | 251,000 | 253,498 |
| Baxter International Inc. | 50,000 | 50,407 |
| Bell Telephone Company of Canada | 94,597 | 92,762 |
| BMW US Capital, LLC | 184,786 | 199,009 |
| Boston Properties LP | 169,755 | 180,597 |
| BP Capital Markets America Inc. | 115,000 | 122,724 |
| Capital One Financial Corp | 5,200 | 5,241 |
| Carlisle Companies, Inc. | 64,552 | 64,071 |

Investments Corporate Bonds Schedule

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|--|-------------------------------|--------------------------------------|
| Chevron Corp | 99,482 | 106,764 |
| Citigroup Inc. | 402,544 | 406,568 |
| Colonial Enterprises, Inc. | 19,991 | 21,085 |
| Comcast Corp | 444,213 | 443,912 |
| Commonspirit Health | 333,436 | 336,390 |
| Cox Communications, Inc. | 100,921 | 99,023 |
| Credit Agricole SA (London Branch) | 250,000 | 250,590 |
| Credit Sussie Group | 320,000 | 326,837 |
| Dow Chemical Co | 10,398 | 10,971 |
| Duke Energy Corp | 189,301 | 190,595 |
| Duke Energy Florida LLC | 94,858 | 96,206 |
| Eastern Energy Gas Holdings, LLC | 144,529 | 149,662 |
| Ecolab Inc. | 135,760 | 141,399 |
| Entergy Corp | 64,691 | 64,885 |
| Enterprise Products Operating, LLC | 26,737 | 26,091 |
| Federation of Caisses Desjardins Du Quebec | 199,844 | 202,568 |
| Fiserv Inc. | 79,997 | 84,631 |
| Florida Gas Transmission Company, LLC | 59,934 | 59,169 |
| Glencore Funding LLC | 29,934 | 29,190 |
| Goldman Sachs Group Inc | 547,060 | 574,562 |

Investments Corporate Bonds Schedule

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------------|-------------------------------|--------------------------------------|
| Healthcare Realty Trust, Inc. | 34,747 | 33,490 |
| Healthpeak Properties, Inc. | 171,849 | 176,780 |
| HSBC Holdings PLC | 200,000 | 200,708 |
| Hubbell Inc. | 29,879 | 30,068 |
| IDEX Corp | 34,954 | 35,798 |
| Ingredion Inc. | 109,820 | 113,311 |
| Interpublic Group of Companies, Inc. | 149,407 | 166,971 |
| Intl Business Machines Corp | 109,491 | 108,622 |
| ITC Holdings Corp | 59,894 | 61,323 |
| JPMorgan Chase & Co | 660,590 | 658,498 |
| Kinder Morgan Energy Partners LP | 112,223 | 116,724 |
| Kinder Morgan Inc. | 71,158 | 69,260 |
| L3Harris Technologies Inc. | 111,450 | 106,944 |
| Lloyds Banking Group PLC | 200,000 | 211,317 |
| Lowes Co Inc | 177,819 | 177,406 |
| Marsh & McLennan Companies Inc. | 24,969 | 25,267 |
| Massmutual Global Funding II | 199,816 | 209,609 |
| McDonald's Corp | 60,339 | 59,785 |
| Merck & Co Inc. | 64,867 | 65,234 |
| Mitsubishi UFJ Financial Group Inc. | 407,442 | 401,547 |

Investments Corporate Bonds Schedule

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|--|-------------------------------|--------------------------------------|
| Morgan Stanley | 534,660 | 569,445 |
| Newmarket Corp | 49,424 | 49,849 |
| Newmont Corporation | 123,215 | 118,439 |
| Nextera Energy Capital Holdings Inc. | 144,957 | 144,773 |
| Nisource Inc. | 135,032 | 131,476 |
| Northrop Grumman Corp | 176,527 | 176,720 |
| Ohio Health Corp | 125,000 | 126,075 |
| Ohio Power Company | 34,950 | 32,947 |
| Omnicom Group Inc. | 139,594 | 143,822 |
| Oracle Corporation | 423,144 | 407,149 |
| Penske Truck Leasing Co LP | 217,943 | 225,473 |
| Pioneer Natural Resources Co | 54,618 | 52,132 |
| Raytheon Technologies Corp | 213,017 | 210,520 |
| Relx Capital Inc. | 9,943 | 10,494 |
| Republic Services Inc. | 138,136 | 138,302 |
| Roche Holdings Inc. | 200,000 | 200,939 |
| Servicenow Inc. | 19,935 | 18,637 |
| Siemens Financieringsmaatschappij N.V. | 249,619 | 248,009 |
| Sonoco Products Co | 49,953 | 52,334 |
| Southern California Edison Co | 82,692 | 79,028 |

Investments Corporate Bonds Schedule

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|--|-------------------------------|--------------------------------------|
| State Street Corporation | 122,655 | 120,289 |
| Sutter Health | 95,432 | 93,964 |
| SVB Financial Group | 34,963 | 35,037 |
| Takeda Pharmaceutical Co Ltd | 203,708 | 196,066 |
| Tampa Electric Co | 79,758 | 80,887 |
| Thermo Fisher Scientific Inc. | 29,986 | 29,831 |
| Transcontinental Gas Pipe Line Company LLC | 115,441 | 122,118 |
| UBS Group | 200,000 | 193,770 |
| Union Pacific Corp | 10,655 | 11,218 |
| Ventas Realty LP | 84,790 | 84,248 |
| Verizon Communications Inc. | 597,584 | 608,317 |
| Virginia Electric and Power Co | 77,330 | 76,669 |
| Volkswagen Group of America Finance LLC | 219,700 | 215,288 |
| Wells Fargo & CO | 404,689 | 412,987 |
| WP Carey Inc. | 59,438 | 58,582 |

TY 2021 Investments Corporate Stock Schedule**Name:** Humana Foundation Inc**EIN:** 61-1004763**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|------------------------------|-------------------------------|--------------------------------------|
| 3M Company | 1,112,619 | 2,309,190 |
| Abbot Laboratories | 828,384 | 2,392,580 |
| American Electric Power | 934,142 | 2,268,735 |
| Apple Inc | 2,508,834 | 7,315,884 |
| Archer Daniels Midland | 1,068,359 | 2,534,625 |
| Automatic Data Processes | 686,386 | 3,945,280 |
| Bank of America | 1,282,496 | 1,382,393 |
| Boeing Co. (The) | 671,993 | 1,993,068 |
| Chevron Corp | 1,252,872 | 1,689,840 |
| Cisco Systems | 1,668,515 | 2,154,580 |
| Clorox Company | 669,797 | 1,917,960 |
| CME Group Inc | 1,371,599 | 1,827,680 |
| Coca-Cola Company | 1,136,154 | 1,894,720 |
| CSX Corporation | 852,890 | 4,455,600 |
| Detroit Edison | 803,264 | 1,745,284 |
| Eaton Corporation | 403,135 | 1,728,200 |
| Eli Lilly and Company | 701,070 | 5,110,070 |
| Essential Utilities | 686,168 | 2,147,600 |
| Exxon Mobil Corpotion | 1,870,050 | 1,590,940 |
| General Dynamics Corporation | 744,309 | 2,397,405 |
| Goldman Sachs & Co. | 1,281,607 | 3,442,950 |
| Home Depot | 1,382,842 | 2,905,070 |
| Honeywell | 294,055 | 2,022,547 |
| Humana Inc. | 2,589,459 | 64,940,400 |
| Illinois Tool Works | 903,378 | 4,294,320 |
| Intel Corporation | 894,660 | 2,163,000 |
| International Paper Co. | 1,345,860 | 1,526,850 |
| iShares Core S&P SM-CP | 13,213,468 | 16,260,420 |
| iShares MSCI MV EAFE MVF | 16,183,158 | 17,100,518 |
| iShares MSCI USA MVF | 12,008,436 | 14,444,695 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|------------------------------|-------------------------------|--------------------------------------|
| Johnson and Johnson | 946,919 | 2,052,840 |
| JP Morgan Chase & Co. | 2,377,104 | 3,325,350 |
| McDonalds | 161,826 | 2,814,735 |
| Merck & Co. | 840,178 | 1,762,720 |
| Microsoft Corporation | 847,386 | 10,594,080 |
| Mondelez International Inc | 1,118,308 | 1,889,835 |
| Morgan Stanley | 1,515,281 | 2,748,480 |
| Nestle SA | 914,231 | 1,888,207 |
| Nextera Energy Inc. | 284,216 | 3,510,336 |
| Norfolk Southern Corporation | 966,485 | 4,316,795 |
| Pepsico, Inc. | 1,268,647 | 3,474,200 |
| Pfizer | 885,289 | 1,919,125 |
| Proctor & Gamble | 1,303,469 | 3,058,946 |
| Prologis, Inc. | 706,631 | 3,956,460 |
| Raytheon Technologies | 1,264,911 | 1,807,260 |
| U.S. Bancorp | 916,557 | 1,751,212 |
| United Parcel Service | 952,851 | 3,000,760 |
| Vanguard FTSE Developed | 21,699,068 | 23,998,200 |
| Vanguard FTSE DIV | 13,671,171 | 17,432,625 |
| Vanguard FTSE EMER | 14,476,258 | 14,392,860 |
| Verizon Communication | 877,138 | 1,131,481 |
| Wal-Mart Stores, Inc. | 762,395 | 2,098,005 |
| Walt Disney Company (The) | 794,889 | 3,175,245 |
| Waste Management | 981,452 | 4,923,550 |
| Welltower, Inc. | 1,296,676 | 1,844,055 |

TY 2021 Investments Government Obligations Schedule**Name:** Humana Foundation Inc**EIN:** 61-1004763**US Government Securities - End
of Year Book Value:**

25,444,600

**US Government Securities - End
of Year Fair Market Value:**

25,265,648

**State & Local Government
Securities - End of Year Book
Value:**

295,127

**State & Local Government
Securities - End of Year Fair
Market Value:**

309,017

TY 2021 Investments - Other Schedule**Name:** Humana Foundation Inc**EIN:** 61-1004763**Investments Other Schedule 2**

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|------------------------------|------------------------------|-------------------|--------------------------------------|
| ACCRUED DIVIDENDS RECEIVABLE | AT COST | 245,868 | 245,868 |
| ACCRUED INTEREST RECEIVABLE | AT COST | 299,054 | 299,054 |
| ACCRUED OTHER RECEIVABLE | AT COST | 179 | 179 |

TY 2021 Other Assets Schedule**Name:** Humana Foundation Inc**EIN:** 61-1004763**Other Assets Schedule**

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|----------------------|--------------------------------|--------------------------|---------------------------------|
| UNREALIZED GAIN/LOSS | 123,631,629 | 162,113,533 | 0 |
| GIFT CARDS | 22,500 | 0 | 0 |

TY 2021 Other Expenses Schedule**Name:** Humana Foundation Inc**EIN:** 61-1004763**Other Expenses Schedule**

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| MISCELLANEOUS EXPENSE | 10 | 0 | | 0 |
| Franchise Tax | 15 | 0 | | 0 |
| Other Admin. Expenses | 814 | 0 | | 0 |

TY 2021 Other Increases Schedule**Name:** Humana Foundation Inc**EIN:** 61-1004763**Other Increases Schedule**

| Description | Amount |
|-------------------------|------------|
| UNREALIZED APPRECIATION | 38,481,904 |

TY 2021 Other Liabilities Schedule**Name:** Humana Foundation Inc**EIN:** 61-1004763

| Description | Beginning of Year - Book Value | End of Year - Book Value |
|---------------------|---|-------------------------------------|
| DEFERRED EXCISE TAX | 1,718,482 | 2,253,381 |

TY 2021 Other Professional Fees Schedule**Name:** Humana Foundation Inc**EIN:** 61-1004763

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|---------------|----------------------------------|--------------------------------|--|
| AUDIT FEES | 25,500 | 0 | | 25,500 |
| CONSULTING FEES | 370,274 | 0 | | 370,274 |

TY 2021 Taxes Schedule**Name:** Humana Foundation Inc**EIN:** 61-1004763**Taxes Schedule**

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------------|---------------|----------------------------------|--------------------------------|--|
| FEDERAL EXCISE TAX | 135,049 | 0 | | 0 |
| DEFERRED TAX EXPENSE | 534,899 | 0 | | 0 |