

Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

1912

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

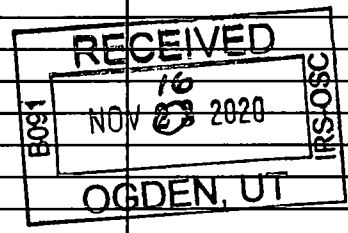
, and ending

Name of foundation LAURA AND JOHN ARNOLD FOUNDATION		A Employer identification number 26-3241764
Number and street (or P O box number if mail is not delivered to street address) 1717 WEST LOOP SOUTH	Room/suite 1800	B Telephone number 713-554-1349
City or town, state or province, country, and ZIP or foreign postal code HOUSTON, TX 77027-3048		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,356,002,596.	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) MODIFIED CASH	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	200,244,624.		N/A	
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	1,171,966.	1,136,318.		
4	Dividends and interest from securities	95,216,250.	87,309,783.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	45,551,791.			
b	Gross sales price for all assets on line 6a	421,399,861.			
7	Capital gain net income (from Part IV, line 2)		82,205,079.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	60,784,676.	13,656,926.		STATEMENT 1
12	Total. Add lines 1 through 11	402,969,307.	184,308,106.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages	407,955.	0.		407,955.
15	Pension plans, employee benefits	17,358.	0.		17,358.
16a	Legal fees STMT 2	98,936.	3,828.		95,108.
b	Accounting fees STMT 3	118,392.	30,934.		39,982.
c	Other professional fees STMT 4	3,854,842.	1,914,317.		1,940,525.
17	Interest				
18	Taxes STMT 5	4,449,496.	1,233,660.		0.
19	Depreciation and depletion		220,213.		
20	Occupancy	2,353.	0.		2,353.
21	Travel, conferences, and meetings	224,081.	0.		224,081.
22	Printing and publications	131.	0.		131.
23	Other expenses STMT 6	145,190.	7,790,863.		145,190.
24	Total operating and administrative expenses. Add lines 13 through 23	9,318,734.	11,193,815.		2,872,683.
25	Contributions, gifts, grants paid	211,178,508.			211,092,204.
26	Total expenses and disbursements. Add lines 24 and 25	220,497,242.	11,193,815.		213,964,887.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	182,472,065.			
b	Net investment income (if negative, enter -0-)		173,114,291.		
c	Adjusted net income (if negative, enter -0-)			N/A	

03/04

SCANNED FEB 03 2021



A8

gal 8

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	158,411,759.	227,831,768.	227,831,768.
	3 Accounts receivable ▶ 6,551,644.			
	Less: allowance for doubtful accounts ▶		6,551,644.	6,551,644.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	681,663,021.	777,884,038.	777,884,038.
	c Investments - corporate bonds STMT 8	237,147,209.	212,518,256.	212,518,256.
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 9	1,065,519,265.	1,118,483,254.	1,118,483,254.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 10)	13,924,867.	12,733,636.	12,733,636.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,156,666,121.	2,356,002,596.	2,356,002,596.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>			
	26 Capital stock, trust principal, or current funds	0.	0.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds	2,156,666,121.	2,356,002,596.	
29 Total net assets or fund balances	2,156,666,121.	2,356,002,596.		
30 Total liabilities and net assets/fund balances	2,156,666,121.	2,356,002,596.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,156,666,121.
2 Enter amount from Part I, line 27a	2	182,472,065.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN	3	16,867,184.
4 Add lines 1, 2, and 3	4	2,356,005,370.
5 Decreases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENTS	5	2,774.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	2,356,002,596.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e	513,612,312.	431,407,233.	82,205,079.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			82,205,079.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	82,205,079.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	230,935,921.	2,142,409,674.	.107793
2017	174,966,873.	2,044,387,016.	.085584
2016	190,334,847.	1,796,108,530.	.105971
2015	120,073,022.	1,754,542,691.	.068436
2014	93,974,871.	1,671,688,368.	.056216

2 Total of line 1, column (d)	2	.424000
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.084800
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	2,130,057,136.
5 Multiply line 4 by line 3	5	180,628,845.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,731,143.
7 Add lines 5 and 6	7	182,359,988.
8 Enter qualifying distributions from Part XII, line 4	8	217,155,904.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes sub-tables for credits (6a-6d) and a final row for 'Credited to 2020 estimated tax' with a 'Refunded' indicator.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question text and 'Yes/No' checkboxes. Includes questions about political campaigns, unrelated business income, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions STATEMENT 11 STMT 12 STMT 13 STMT 26	X	
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.ARNOLDFOUNDATION.ORG	X	
14 The books are in care of ELIZABETH BANKS Telephone no. 713-554-1349 Located at 1717 WEST LOOP SOUTH, SUITE 1800, HOUSTON, TX ZIP+4 77027-3048		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	N/A	
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? STATEMENT 24	X	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:			Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	5b		X
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LESLEY BRIONES - 1717 WEST LOOP SOUTH, SUITE 1800, HOUSTON, TX 77027	FORMER COO & GENERAL COUNSEL	300,000.	0.	0.
JOSH MCGEE - 1717 WEST LOOP SOUTH, SUITE 1800, HOUSTON, TX 77027	FORMER EVP - RESULTS DRIVEN GOV	107,955.	0.	0.

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
MORGAN CREEK CAPITAL MANAGEMENT, LLC - 301 W. BARBEE CHAPEL RD, CHAPEL HILL, NC 27517	CONSULTING - INV. MANAGEMENT	759,972.
FIDELITY INSTITUTIONAL ASSET MANAGEMENT, LLC 770002, CINCINNATI, OH 45277	CONSULTING - INV. MANAGEMENT	524,371.
MORGAN STANLEY INVESTMENT MANAGEMENT 522 5TH AVENUE, NEW YORK, NY 10036	CONSULTING - INV. MANAGEMENT	396,002.
JUSTICE SYSTEM PARTNERS P.O. BOX 970, SOUTH EASTON, MA 02375	CONSULTING - CRIMINAL JUSTICE	257,623.
ONYXPOINT PERMIAN PREFERRED - ONE WORLD TRADE CENTER, 46TH FLOOR, NEW YORK, NY 10007	CONSULTING - INV. MANAGEMENT	191,100.
Total number of others receiving over \$50,000 for professional services		13

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 15	1,234,911.
2 SEE STATEMENT 16	257,336.
3 SEE STATEMENT 17	176,264.
4 SEE STATEMENT 18	132,975.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 CIVICA: TO PROMOTE AVAILABILITY AND AFFORDABILITY OF ESSENTIAL GENERIC DRUGS. THE PROGRAM-RELATED INVESTMENT WAS MADE IN THE FORM OF A LOAN.	2,535,211.
2 SEE STATEMENT 19	399,006.
All other program-related investments. See instructions.	
3 SOCIAL FINANCE CT: TO SUPPORT THE CONNECTICUT FAMILY STABILITY PAY FOR SUCCESS PROJECT. THE PROGRAM-RELATED INVESTMENT WAS MADE IN THE FORM OF A CREDIT AGREEMENT.	256,800.
Total. Add lines 1 through 3	3,191,017.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	920,695,057.
b	Average of monthly cash balances	1b	124,381,955.
c	Fair market value of all other assets	1c	1,117,417,542.
d	Total (add lines 1a, b, and c)	1d	2,162,494,554.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,162,494,554.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	32,437,418.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,130,057,136.
6	Minimum investment return. Enter 5% of line 5	6	106,502,857.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	106,502,857.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	1,731,143.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	71,463.
c	Add lines 2a and 2b	2c	1,802,606.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	104,700,251.
4	Recoveries of amounts treated as qualifying distributions	4	5,606,408.
5	Add lines 3 and 4	5	110,306,659.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	110,306,659.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	213,964,887.
b	Program-related investments - total from Part IX-B	1b	3,191,017.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	217,155,904.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,731,143.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	215,424,761.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				110,306,659.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014	9,880,004.			
b From 2015	33,614,593.			
c From 2016	101,460,964.			
d From 2017	77,536,617.			
e From 2018	125,719,762.			
f Total of lines 3a through e	348,211,940.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 217,155,904.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				110,306,659.
e Remaining amount distributed out of corpus	106,849,245.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))		0.		0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	455,061,185.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	9,880,004.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	445,181,181.			
10 Analysis of line 9:				
a Excess from 2015	33,614,593.			
b Excess from 2016	101,460,964.			
c Excess from 2017	77,536,617.			
d Excess from 2018	125,719,762.			
e Excess from 2019	106,849,245.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

- 1 **Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- SEE STATEMENT 20
- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- SEE STATEMENT 21
- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a The name, address, and telephone number or email address of the person to whom applications should be addressed:
- b The form in which applications should be submitted and information and materials they should include:
- c Any submission deadlines:
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHMENT A				211,178,508.
POTOMAC HEALTHCARE FOUNDATION 3800 FREDERICK AVENUE BALTIMORE, MD 21229		PF	GRANT PAID THAT WAS TREATED AS DISTRIBUTION OF CORPUS UNDER TREAS REG 53.4942(A)-3	-86,304.
Total				▶ 3a 211,092,204.
b Approved for future payment				
NONE				
Total				▶ 3b 0.

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

Name of the organization

LAURA AND JOHN ARNOLD FOUNDATION

Employer identification number

26-3241764

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Name of organization LAURA AND JOHN ARNOLD FOUNDATION	Employer identification number 26-3241764
---	---

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN D. AND LAURA E. ARNOLD 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027-3048	\$ 200,244,624.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization LAURA AND JOHN ARNOLD FOUNDATION	Employer identification number 26-3241764
--	--

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
1	STOCKS _____ _____ _____	\$ 130,244,624.	VARIOUS
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

Name of organization LAURA AND JOHN ARNOLD FOUNDATION	Employer identification number 26-3241764
--	--

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 1	Grant Amount	Date of Grant	Amount Expended	Verification Date
ABT ASSOCIATES INC. 6310 EXECUTIVE BOULEVARD ROCKVILLE, MD 20852		220,000.	10/03/19	17,924.	
Purpose of Grant TO PRODUCE AND PUBLISH A COMPREHENSIVE REVIEW OF THE EVIDENCE BASE AROUND CRISIS RESPONSE MODELS.					
Date of Reports by Grantee		Diversions by Grantee			
3/24/2020; 9/30/2020		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 2	Grant Amount	Date of Grant	Amount Expended	Verification Date
ABT ASSOCIATES INC. 6310 EXECUTIVE BOULEVARD ROCKVILLE, MD 20852		328,542.	06/07/19	140,014.	
Purpose of Grant TO CONDUCT A RANDOMIZED CONTROLLED TRIAL TO EVALUATE NEW MEXICO'S OVERSUBSCRIBED DUAL LANGUAGE IMMERSION CHARTER SCHOOLS AND THE STATE'S ELEMENTARY AND HIGH SCHOOL CHARTER SCHOOLS.					
Date of Reports by Grantee		Diversions by Grantee			
1/22/2020; 8/31/2020		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 3	Grant Amount	Date of Grant	Amount Expended	Verification Date
ABT ASSOCIATES INC. 6310 EXECUTIVE BOULEVARD ROCKVILLE, MD 20852		137,588.	10/08/19	827.	
Purpose of Grant FOR THE EXTENDED FOLLOW-UP OF TWO RANDOMIZED CONTROLLED TRIALS TO EVALUATE THE IMPACT OF YEAR UP'S PROFESSIONAL TRAINING CORPS.					
Date of Reports by Grantee 3/20/2020; 4/1/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 4	Grant Amount	Date of Grant	Amount Expended	Verification Date
ASSOCIATION FOR COMMUNITY AFFILIATED PLANS 1155 15TH STREET NW, SUITE 600 WASHINGTON, DC 20005		200,000.	08/22/19	0.	
Purpose of Grant TO ANALYZE THE WAYS THAT DUAL ELIGIBLE SPECIAL NEEDS PLANS ARE UTILIZING NON-MEDICAL SUPPLEMENTAL BENEFITS.					
Date of Reports by Grantee 2/24/2020; 10/31/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 5	Grant Amount	Date of Grant	Amount Expended	Verification Date
CHARTER FUND, INC. 350 INTERLOCKEN BOULEVARD, SUITE 390 BROOMFIELD, CO 80021		13,586,000.	12/22/12	13,485,650.	
Purpose of Grant TO SUPPORT THE EXPANSION AND REPLICATION OF HIGH-QUALITY SCHOOLS IN NEW ORLEANS, LOUISIANA.					
Date of Reports by Grantee SEMI-ANNUAL REPORTS FOR 2013-2018; 4/30/2018; 4/17/2019; 12/15/2019		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 6	Grant Amount	Date of Grant	Amount Expended	Verification Date
CHARTER FUND, INC. 350 INTERLOCKEN BOULEVARD, SUITE 390 BROOMFIELD, CO 80021		6,000,000.	07/07/15	6,000,000.	
Purpose of Grant TO SUPPORT THE EXPANSION AND REPLICATION OF HIGH-QUALITY SCHOOLS IN CAMDEN, NEW JERSEY.					
Date of Reports by Grantee 2/1/2016; 1/31/2017; 3/31/2018; 4/30/2018; 2/14/2019; 2/14/2020; 4/13/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 7	Grant Amount	Date of Grant	Amount Expended	Verification Date
CHARTER FUND, INC. 350 INTERLOCKEN BOULEVARD, SUITE 390 BROOMFIELD, CO 80021		6,000,000.	02/22/16	4,940,000.	
Purpose of Grant TO SUPPORT CONTINUED INVESTMENTS IN HIGH-PERFORMING CHARTER SCHOOLS.					
Date of Reports by Grantee 4/24/2017; 6/30/2017; 4/30/2018; 6/29/2018; 4/17/2019; 5/14/2019; 4/10/2020; 6/26/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 8	Grant Amount	Date of Grant	Amount Expended	Verification Date
CHARTER FUND, INC. 350 INTERLOCKEN BOULEVARD, SUITE 390 BROOMFIELD, CO 80021		4,100,000.	07/19/18	2,919,200.	
Purpose of Grant TO SUPPORT THE CHARTER SCHOOL GROWTH FUND. THIS PROGRAM-RELATED INVESTMENT WAS MADE IN THE FORM OF A LOAN.					
Date of Reports by Grantee 9/23/2019; 7/31/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 9	Grant Amount	Date of Grant	Amount Expended	Verification Date
CIVICA 36 SOUTH STATE STREET, 22ND FLOOR SALT LAKE CITY, UT 84111		1,000,000.	09/04/18	330,757.	
Purpose of Grant TO PROMOTE AVAILABILITY AND AFFORDABILITY OF ESSENTIAL GENERIC DRUGS.					
Date of Reports by Grantee 1/3/2019; 6/12/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 10	Grant Amount	Date of Grant	Amount Expended	Verification Date
CIVICA 36 SOUTH STATE STREET, 22ND FLOOR SALT LAKE CITY, UT 84111		2,535,211.	09/04/18	0.	
Purpose of Grant TO PROMOTE AVAILABILITY AND AFFORDABILITY OF ESSENTIAL GENERIC DRUGS. THIS PROGRAM-RELATED INVESTMENT WAS MADE IN THE FORM OF A LOAN.					
Date of Reports by Grantee 1/3/2019; 6/12/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 11	Grant Amount	Date of Grant	Amount Expended	Verification Date
CORE CORRECTIONAL SOLUTIONS, LLC 689 UNION DRIVE HUDSON, OH 44236		180,000.	10/29/18	81,252.	
Purpose of Grant TO ASSESS THE PUBLIC SAFETY ASSESSMENT TM PRETRIAL RISK ASSESSMENT TOOL.					
Date of Reports by Grantee 2/6/2019; 12/3/2019; 3/18/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 12	Grant Amount	Date of Grant	Amount Expended	Verification Date
DENVER PFS, LLC 11000 BROKEN LAND PARKWAY, SUITE 700 COLUMBIA, MD 21044		1,530,641.	02/12/16	1,530,641.	
Purpose of Grant TO PROVIDE PERMANENT SUPPORTIVE HOUSING SERVICES TO APPROXIMATELY 250 CHRONICALLY HOMELESS INDIVIDUALS. THIS IS A DEBT PROGRAM RELATED INVESTMENT.					
Date of Reports by Grantee QUARTERLY REPORTS FROM 3/31/2016 THROUGH 12/31/2019		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 13	Grant Amount	Date of Grant	Amount Expended	Verification Date
GHP SOLUTIONS, LLC 220 EAST 42ND STREET, SUITE 710 NEW YORK, NY 10017		278,000.	05/23/18	270,205.	
Purpose of Grant TO DEVELOP AND PILOT A MODEL TO STREAMLINE ACCESS TO LONG-ACTING CONTRACEPTIVE METHODS.					
Date of Reports by Grantee 1/18/2019; 2/26/2019; 7/15/2019; 1/14/2020; 3/12/2020; 10/31/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 14	Grant Amount	Date of Grant	Amount Expended	Verification Date
HEALTH MANAGEMENT ASSOCIATES INC. 120 N WASHINGTON SQUARE, SUITE 705 LANSING, MI 48922		350,000.	06/04/19	350,000.	
Purpose of Grant TO PROVIDE TECHNICAL ASSISTANCE AND NONPARTISAN EDUCATIONAL RESOURCES TO COUNTY COMMUNITIES SELECTED THROUGH GRANTEE'S PLANNING INITIATIVES TO BUILD BRIDGES BETWEEN JAIL AND COMMUNITY-BASED TREATMENT FOR OPIOID USE DISORDER REQUEST FOR PROPOSALS, WITH THE OVERALL GOAL OF PREPARING COMMUNITIES TO IMPLEMENT AND/OR EXPAND MEDICATION ASSISTED TREATMENT PROGRAMS IN JAILS AND THE LARGER COMMUNITY.					
Date of Reports by Grantee 2/13/2020; 5/1/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 15	Grant Amount	Date of Grant	Amount Expended	Verification Date
HEALTH MANAGEMENT ASSOCIATES INC. 120 N WASHINGTON SQUARE, SUITE 705 LANSING, MI 48922		175,000.	08/14/19	36,116.	
Purpose of Grant TO ANALYZE NON-HEALTH RELATED SUPPLEMENTAL BENEFITS IN MEDICARE ADVANTAGE PLANS.					
Date of Reports by Grantee 2/13/2020; 7/31/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 16	Grant Amount	Date of Grant	Amount Expended	Verification Date
HEALTH MANAGEMENT ASSOCIATES INC. 120 N WASHINGTON SQUARE, SUITE 705 LANSING, MI 48922		216,000.	08/14/19	117,096.	
Purpose of Grant TO SUPPORT A NOVEL POLICY PLATFORM AIMED AT INCREASING INTEGRATION BETWEEN MEDICARE AND MEDICAID FOR DUAL ELIGIBLES.					
Date of Reports by Grantee 2/13/2020; 7/27/2020; 8/30/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 17	Grant Amount	Date of Grant	Amount Expended	Verification Date
IMPAQ INTERNATIONAL, LLC 10420 LITTLE PATUXENT PKWY, SUITE 300 COLUMBIA, MD 21044		175,826.	04/18/18	175,826.	
Purpose of Grant TO FUND A RANDOMIZED CONTROLLED TRIAL OF A PROGRAM FOR NEW UNEMPLOYMENT INSURANCE CLAIMANTS IN NEVADA.					
Date of Reports by Grantee 2/18/2019; 3/8/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 18	Grant Amount	Date of Grant	Amount Expended	Verification Date
LAURA AND JOHN ARNOLD CHARITABLE TRUST 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027		1,350.	03/19/14	969.	
Purpose of Grant TO SUPPORT EVIDENCE-BASED, MULTI-DISCIPLINARY INITIATIVES.					
Date of Reports by Grantee 12/31/2014; 12/31/2015; 12/31/2016; 12/31/2017; 12/31/2018; 12/31/2019		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 19	Grant Amount	Date of Grant	Amount Expended	Verification Date
MANATT, PHELPS AND PHILLIPS, LLP 1050 CONNECTICUT AVENUE WASHINGTON, DC 20010		580,000.	09/14/18	580,000.	
Purpose of Grant TO DRAFT A REPORT THAT ANALYZES THE IMPLICATIONS OF A MEDICAID BUY-IN OPTION IN THE STATE OF NEW MEXICO.					
Date of Reports by Grantee 1/31/2019; 2/28/2019		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 20	Grant Amount	Date of Grant	Amount Expended	Verification Date
MANATT, PHELPS AND PHILLIPS, LLP 1050 CONNECTICUT AVENUE WASHINGTON, DC 20010		465,036.	10/09/18	465,036.	
Purpose of Grant TO PROVIDE NONPARTISAN POLICY RECOMMENDATIONS AND TECHNICAL ASSISTANCE TO THE NEW JERSEY DEPARTMENT OF HUMAN SERVICES TO IMPROVE ACCESS TO FAMILY PLANNING SERVICES IN THE STATE OF NEW JERSEY, AND CREATE AND BROADLY DISSEMINATING A TOOLKIT FOR OTHER STATES.					
Date of Reports by Grantee 1/31/2019; 5/28/2019		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 21	Grant Amount	Date of Grant	Amount Expended	Verification Date
MANATT, PHELPS AND PHILLIPS, LLP 1050 CONNECTICUT AVENUE WASHINGTON, DC 20010		275,756.	03/21/19	275,756.	
Purpose of Grant TO DEVELOP AN EDUCATIONAL GUIDE ON OPIOID USE DISORDER TREATMENT AND MEDICAID POLICIES TO EXPAND ACCESS TO EVIDENCE-BASED TREATMENT.					
Date of Reports by Grantee		Diversions by Grantee			
12/6/2019		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 22	Grant Amount	Date of Grant	Amount Expended	Verification Date
MANATT, PHELPS AND PHILLIPS, LLP 1050 CONNECTICUT AVENUE WASHINGTON, DC 20010		200,000.	08/07/19	148,983.	
Purpose of Grant TO ASSESS THE DISTRICT OF COLUMBIA'S REPRODUCTIVE HEALTH POLICIES AND PROVIDE TECHNICAL ASSISTANCE.					
Date of Reports by Grantee		Diversions by Grantee			
2/1/2020; 3/16/2020; 4/29/2020		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 23	Grant Amount	Date of Grant	Amount Expended	Verification Date
MANATT, PHELPS AND PHILLIPS, LLP 1050 CONNECTICUT AVENUE WASHINGTON, DC 20010		200,000.	08/16/19	200,000.	
Purpose of Grant TO CONVENE STAKEHOLDERS TO DISCUSS POLICY SOLUTIONS FOR ADDRESSING HIGH HEALTH CARE PRICES.					
Date of Reports by Grantee		Diversions by Grantee			
1/30/2020		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 24	Grant Amount	Date of Grant	Amount Expended	Verification Date
MATHEMATICA POLICY RESEARCH, INC. P.O. BOX 2393 PRINCETON, NJ 08543		283,348.	04/04/18	194,115.	
Purpose of Grant TO EVALUATE THE IMPACT OF EDUCATION REFORMS IN WASHINGTON, D.C. ON STUDENT OUTCOMES.					
Date of Reports by Grantee		Diversions by Grantee			
9/1/2018; 2/1/2019; 3/5/2019; 2/28/2020; 6/3/2020		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT ¹ THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 25	Grant Amount	Date of Grant	Amount Expended	Verification Date
MATHEMATICA POLICY RESEARCH, INC. P.O. BOX 2393 PRINCETON, NJ 08543		177,131.	12/28/19	177,131.	
Purpose of Grant TO EXTEND A LONG-TERM FOLLOW-UP OF A RANDOMIZED CONTROLLED TRIAL EVALUATION OF KIPP CHARTER MIDDLE SCHOOLS.					
Date of Reports by Grantee 10/31/19; 2/28/2020; 8/24/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 26	Grant Amount	Date of Grant	Amount Expended	Verification Date
MATHEMATICA POLICY RESEARCH, INC. P.O. BOX 2393 PRINCETON, NJ 08543		359,459.	02/21/19	230,933.	
Purpose of Grant TO FUND A RANDOMIZED CONTROLLED TRIAL OF THE FEDERAL JOB CORPS PROGRAM.					
Date of Reports by Grantee 8/15/2019; 2/28/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 27	Grant Amount	Date of Grant	Amount Expended	Verification Date
MATHEMATICA POLICY RESEARCH, INC. P.O. BOX 2393 PRINCETON, NJ 08543		165,000.	03/01/19	52,673.	
Purpose of Grant TO CONDUCT LONG-TERM FOLLOW-UP OF A RANDOMIZED CONTROLLED TRIAL OF THE TEEN OPTIONS TO PREVENT PREGNANCY PROGRAM.					
Date of Reports by Grantee		Diversions by Grantee			
1/29/2020; 2/28/2020		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 28	Grant Amount	Date of Grant	Amount Expended	Verification Date
MATHEMATICA POLICY RESEARCH, INC. P.O. BOX 2393 PRINCETON, NJ 08543		475,574.	08/23/19	63,054.	
Purpose of Grant TO SUPPORT A RANDOMIZED CONTROLLED TRIAL TO EVALUATE A PROGRAM DESIGNED TO REDUCE REPEAT PREGNANCIES.					
Date of Reports by Grantee		Diversions by Grantee			
2/28/2020; 9/30/2020		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 29	Grant Amount	Date of Grant	Amount Expended	Verification Date
MEASURED DECISIONS, INC. 3006 SHADY OAK LANE VERONA, WI 53593		206,950.	09/12/16	206,950.	
Purpose of Grant TO FUND A REPLICATION RANDOMIZED CONTROLLED TRIAL OF AN INTERVENTION DESIGNED TO IMPROVE ACADEMIC ACHIEVEMENT AND HELP STUDENTS SUCCESSFULLY TRANSITION TO MIDDLE SCHOOL.					
Date of Reports by Grantee 1/1/2017; 1/1/2018; 12/30/2018; 2/28/2019; 12/31/2019		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 30	Grant Amount	Date of Grant	Amount Expended	Verification Date
MEASURED DECISIONS, INC. 3006 SHADY OAK LANE VERONA, WI 53593		147,683.	06/28/17	116,488.	
Purpose of Grant TO SUPPORT A RANDOMIZED CONTROLLED TRIAL OF BERNIES BOOK BANK, A PROGRAM THAT PROVIDES FREE, HIGH-QUALITY BOOKS TO LOW-INCOME ELEMENTARY-AGED CHILDREN IN GREATER CHICAGO, ILLINOIS.					
Date of Reports by Grantee 12/30/2017; 12/30/2018; 2/28/2019; 1/17/2020; 4/6/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 31	Grant Amount	Date of Grant	Amount Expended	Verification Date
MEASURED DECISIONS, INC. 3006 SHADY OAK LANE VERONA, WI 53593		122,825.	05/01/18	66,300.	
Purpose of Grant TO FUND A RANDOMIZED CONTROLLED TRIAL OF READ FOR SUCCESS, A PROGRAM DESIGNED TO IMPROVE READING ACHIEVEMENT FOR ELEMENTARY SCHOOL STUDENTS.					
Date of Reports by Grantee 8/31/2018; 2/28/2019; 1/1/2020; 4/6/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 32	Grant Amount	Date of Grant	Amount Expended	Verification Date
NATIONAL ASSOCIATION FOR PUBLIC DEFENSE, INC. P.O. BOX 211 FRANKFORT, KY 40602		214,300.	04/24/16	214,300.	
Purpose of Grant TO DISSEMINATE THE FINDINGS OF A STUDY ANALYZING THE WORKLOADS OF LOUISIANAS PUBLIC DEFENDERS AND TO PURSUE SIXTH AMENDMENT LITIGATION.					
Date of Reports by Grantee 7/31/2017; 12/14/2018; 8/30/2019; 12/26/2019; 4/2/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 33	Grant Amount	Date of Grant	Amount Expended	Verification Date
PFM GROUP CONSULTING 601 POYDRAS STREET, SUITE 1875 NEW ORLEANS, LA 70130		1,000,000.	01/15/19	768,111.	
Purpose of Grant TO SUPPORT A PILOT INITIATIVE TO REDUCE LOCAL GOVERNMENTS' RELIANCE ON CRIMINAL FINES AND FEES AS A REVENUE SOURCE.					
Date of Reports by Grantee 3/15/2019; 6/29/2019; 3/18/2020		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 34	Grant Amount	Date of Grant	Amount Expended	Verification Date
POTOMAC HEALTHCARE FOUNDATION 3800 FREDERICK AVE BALTIMORE, MD 21229		330,599.	10/09/17	330,599.	
Purpose of Grant TO CONDUCT A PILOT RANDOMIZED CONTROLLED TRIAL TO EVALUATE AN INTERVENTION TO TREAT YOUTH OPIOID ADDICTION.					
Date of Reports by Grantee 4/21/2018; 4/30/2019; 5/6/2019; 11/5/2019		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 35	Grant Amount	Date of Grant	Amount Expended	Verification Date
SOCIAL FINANCE CT 10 MILK STREET, SUITE 1010 BOSTON, MA 02108		849,291.	09/19/16	773,464.	
Purpose of Grant TO SUPPORT THE CONNECTICUT FAMILY STABILITY PAY FOR SUCCESS PROJECT. THIS IS A DEBT PROGRAM RELATED INVESTMENT.					
Date of Reports by Grantee QUARTERLY REPORTS FROM 12/31/2016 THROUGH 9/30/2019		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 36	Grant Amount	Date of Grant	Amount Expended	Verification Date
SOCIAL FINANCE NY STATE WORKFORCE RE-ENTRY 2013 LLC 10 MILK STREET, SUITE 1010 BOSTON, MA 02108		4,000,000.	12/16/13	3,905,372.	
Purpose of Grant TO ADVANCE PAY FOR SUCCESS FINANCING. THIS IS A PROGRAM RELATED INVESTMENT THAT WAS COMPLETED IN 2019.					
Date of Reports by Grantee QUARTERLY REPORTS FROM 3/31/2014 THROUGH 9/30/2019		Diversions by Grantee NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 37	Grant Amount	Date of Grant	Amount Expended	Verification Date
SPIROS PROTOPSALTIS LLC 23 SOUTH FLOYD STREET ALEXANDRIA, VA 22304		30,000.	06/21/18	30,000.	
Purpose of Grant TO CONDUCT RESEARCH AND ANALYSIS ON ONLINE HIGHER EDUCATION.					
Date of Reports by Grantee		Diversions by Grantee			
1/19/2019; 2/23/2019		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 38	Grant Amount	Date of Grant	Amount Expended	Verification Date
SPIROS PROTOPSALTIS LLC 23 SOUTH FLOYD STREET ALEXANDRIA, VA 22304		22,500.	04/25/19	22,500.	
Purpose of Grant TO PRODUCE A REPORT SYNTHESIZING THE EVIDENCE ON VARIOUS EXISTING ACCOUNTABILITY METRICS.					
Date of Reports by Grantee		Diversions by Grantee			
10/3/2019		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 39	Grant Amount	Date of Grant	Amount Expended	Verification Date
STATISTICA ANALYTICAE LIMITED 71-75 SHELTON STREET LONDON, UNITED KINGDOM, WC2H 9JQ		1,100,000.	05/25/17	977,650.	
Purpose of Grant TO CONDUCT A LARGE-SCALE RANDOMIZED CONTROLLED TRIAL ON THE EFFECTS OF BODY-WORN CAMERAS USED BY THE LOS ANGELES COUNTY SHERIFFS DEPARTMENT.					
Date of Reports by Grantee		Diversions by Grantee *			
4/17/2018; 2/14/2019; 5/26/2020		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 40	Grant Amount	Date of Grant	Amount Expended	Verification Date
STEALTH SOFTWARE TECHNOLOGIES COMMERCIAL, INC. 12100 WILSHIRE BLVD. STE 800 LOS ANGELES, CA 90025		1,654,405.	06/27/17	1,639,705.	
Purpose of Grant TO SUPPORT THE DEVELOPMENT OF FREE, OPEN-SOURCE CRYPTOGRAPHIC TOOLS THAT WILL ALLOW RESEARCHERS TO SECURELY CONDUCT STUDIES THAT USE SENSITIVE, PRIVATE DATASETS.					
Date of Reports by Grantee		Diversions by Grantee			
1/15/2018; 1/15/2019; 5/5/2019; 1/16/2020; 3/21/2020		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 41	Grant Amount	Date of Grant	Amount Expended	Verification Date
TI INTERNATIONAL 6 LITTLE PHEASANTS, PUMPHREYS ROAD CHELTENHAM, GLOUCESTERSHIRE, UNITED KINGDOM, GL52 8EJ		124,170.	12/11/18	124,170.	
Purpose of Grant TO PROVIDE OPERATING SUPPORT FOR GRANTEE'S EFFORTS TO EDUCATE THE PUBLIC, PATIENTS, AND KEY STAKEHOLDERS ABOUT RISING PRESCRIPTION DRUG PRICES. ORIGINAL GRANT OF \$170,000 INCLUDES REFUND OF \$45,830 RETURNED IN THE SAME YEAR.					
Date of Reports by Grantee		Diversions by Grantee			
7/23/19		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 42	Grant Amount	Date of Grant	Amount Expended	Verification Date
THE CENTURY FOUNDATION, INC. 1 WHITEHALL STREET, 15TH FLOOR NEW YORK, NY 10004		931,739.	07/19/17	931,739.	
Purpose of Grant TO PROTECT CONSUMERS AND CURB PREDATORY STUDENT LENDING PRACTICES.					
Date of Reports by Grantee		Diversions by Grantee			
3/26/2018; 1/31/2019		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 43	Grant Amount	Date of Grant	Amount Expended	Verification Date
UNIVERSITY OF BIRMINGHAM EDGBASTON BIRMINGHAM, WEST MIDLANDS, UNITED KINGDOM, B15 2TT		115,248.	07/15/17	115,248.	
Purpose of Grant TO STUDY EYEWITNESS IDENTIFICATION. PLEASE NOTE THIS GRANT WAS ORIGINALLY GRANTED TO LOUGHBOROUGH UNIVERSITY AND ASSIGNED TO THE UNIVERSITY OF BIRMINGHAM IN 2017. A PORTION OF THE FUNDS ORIGINALLY PAID TO LOUGHBOROUGH UNIVERSITY WERE TRANSFERRED TO THE UNIVERSITY OF BIRMINGHAM. THE AMOUNT ABOVE REPRESENTS THE PORTION OF THE GRANT TRANSFERRED TO THE UNIVERSITY OF BIRMINGHAM IN 2017.					
Date of Reports by Grantee		Diversions by Grantee			
7/31/2018; 2/28/2019; 10/28/2019		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 44	Grant Amount	Date of Grant	Amount Expended	Verification Date
WAXMAN CONSULTING, INC. 1150 CONNECTICUT AVENUE NW, SUITE 800 WASHINGTON, DC 20036		503,352.	09/18/18	503,352.	
Purpose of Grant TO PROVIDE NONPARTISAN INFORMATION AND TECHNICAL SUPPORT TO KEY STAKEHOLDERS REGARDING PRESCRIPTION DRUG POLICY AND PUBLICLY DISSEMINATE EDUCATIONAL RESOURCES.					
Date of Reports by Grantee		Diversions by Grantee			
1/27/2019; 4/6/2019; 8/31/2019		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 45	Grant Amount	Date of Grant	Amount Expended	Verification Date
WAXMAN CONSULTING, INC. 1150 CONNECTICUT AVENUE NW, SUITE 800 WASHINGTON, DC 20036		207,774.	03/11/19	207,774.	
Purpose of Grant TO (I) RESEARCH AND ANALYZE ANTICOMPETITIVE BEHAVIORS IMPACTING PRESCRIPTION DRUG PRICING, AND (II) PROVIDE NONPARTISAN INFORMATION AND TECHNICAL SUPPORT TO KEY STAKEHOLDERS AND POLICYMAKERS.					
Date of Reports by Grantee		Diversions by Grantee			
11/12/2019		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 46	Grant Amount	Date of Grant	Amount Expended	Verification Date
WAXMAN CONSULTING, INC. 1150 CONNECTICUT AVENUE NW, SUITE 800 WASHINGTON, DC 20036		65,000.	08/07/19	65,000.	
Purpose of Grant TO CREATE AN EVIDENCE-BASE TO SUPPORT THE POLICY DEBATE RELATED TO HIGH PRESCRIPTION DRUG PRICING.					
Date of Reports by Grantee		Diversions by Grantee			
12/23/2019		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statement

Recipient's Name and Address	NO. 47	Grant Amount	Date of Grant	Amount Expended	Verification Date
WAXMAN CONSULTING, INC. 1150 CONNECTICUT AVENUE NW, SUITE 800 WASHINGTON, DC 20036		300,000.	08/29/19	199,869.	
Purpose of Grant TO PROVIDE INFORMATION AND TECHNICAL SUPPORT TO HELP POLICYMAKERS LOWER HEALTH CARE COSTS.					
Date of Reports by Grantee		Diversions by Grantee			
8/31/2020		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

Recipient's Name and Address	NO. 48	Grant Amount	Date of Grant	Amount Expended	Verification Date
WESTAT, INC. 1600 RESEARCH BLVD ROCKVILLE, MD 20850		730,000.	04/16/18	599,740.	
Purpose of Grant TO SUPPORT A RANDOMIZED CONTROLLED TRIAL OF THE INDEPENDENCE PROJECT, AN INTERVENTION FOR DISABLED YOUNG VETERANS.					
Date of Reports by Grantee		Diversions by Grantee			
6/28/2018; 9/28/2018; 2/28/2019; 5/9/2019; 2/28/2020; 3/18/2020		NO			
Results of Verification THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.					

FORM 990-PF

OTHER INCOME

STATEMENT 1

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
EQUITY PARTNERSHIP INVESTMENTS	53,983,798.	12,144,978.	
SUBPART F INCOME	0.	1,476,300.	
PRI INTEREST INCOME	0.	35,648.	
RETURNED GRANTS	2,002,719.	0.	
REFUNDS	28,668.	0.	
INCOME TAX REFUND	4,769,491.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	60,784,676.	13,656,926.	

FORM 990-PF

LEGAL FEES

STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	98,936.	3,828.		95,108.
TO FM 990-PF, PG 1, LN 16A	98,936.	3,828.		95,108.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION	101,586.	30,934.		23,176.
PAYROLL AND BENEFITS SERVICE	4,676.	0.		4,676.
OTHER ACCOUNTING FEES	12,130.	0.		12,130.
TO FORM 990-PF, PG 1, LN 16B	118,392.	30,934.		39,982.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	1,892,817.	1,892,817.		0.
CONSULTING FOR CHARITABLE ACTIVITIES	1,922,525.	0.		1,922,525.
OTHER PROFESSIONAL FEES	39,500.	21,500.		18,000.
TO FORM 990-PF, PG 1, LN 16C	3,854,842.	1,914,317.		1,940,525.

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	4,161,495.	0.		0.
STATE TAX	288,001.	0.		0.
FOREIGN TAX	0.	1,233,660.		0.
TO FORM 990-PF, PG 1, LN 18	4,449,496.	1,233,660.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MEALS AND ENTERTAINMENT	20,505.	0.		20,505.
OFFICE EXPENSE	14,313.	0.		14,313.
DUES AND LICENSES	18,173.	0.		18,173.
EQUITY PARTNERSHIP EXPENSES	0.	7,790,863.		0.
HR EXPENSES	92,199.	0.		92,199.
TO FORM 990-PF, PG 1, LN 23	145,190.	7,790,863.		145,190.

FORM 990-PF

CORPORATE STOCK

STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PUBLICLY TRADED SECURITY 1	131,519,917.	131,519,917.
PUBLICLY TRADED SECURITY 2	120,336,398.	120,336,398.
PUBLICLY TRADED SECURITY 3	126,460,709.	126,460,709.
PUBLICLY TRADED SECURITY 4	8,245,491.	8,245,491.
PUBLICLY TRADED SECURITY 5	21,798,572.	21,798,572.
PUBLICLY TRADED SECURITY 6	21,820,166.	21,820,166.
PUBLICLY TRADED SECURITY 8	1,018,400.	1,018,400.
PUBLICLY TRADED SECURITY 10	101,619,327.	101,619,327.
PUBLICLY TRADED SECURITY 11	10,127,242.	10,127,242.
PUBLICLY TRADED SECURITY 12	51,838,686.	51,838,686.
PUBLICLY TRADED SECURITY 13	121,382,014.	121,382,014.
PUBLICLY TRADED SECURITY 15	299,100.	299,100.
PUBLICLY TRADED SECURITY 20	49,960,500.	49,960,500.
PUBLICLY TRADED SECURITY 21	4,839,425.	4,839,425.
PUBLICLY TRADED SECURITY 22	4,766,252.	4,766,252.
PUBLICLY TRADED SECURITY 23	1,851,839.	1,851,839.
TOTAL TO FORM 990-PF, PART II, LINE 10B	777,884,038.	777,884,038.

FORM 990-PF

CORPORATE BONDS

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BOND 3	1,027,490.	1,027,490.
CORPORATE BOND 4	4,928,965.	4,928,965.
CORPORATE BOND 5	14,000,000.	14,000,000.
CORPORATE BOND 7	1,088,438.	1,088,438.
CORPORATE BOND 8	43,753,551.	43,753,551.
CORPORATE BOND 9	48,488,213.	48,488,213.
CORPORATE BOND 10	9,308,690.	9,308,690.
CORPORATE BOND 11	5,385,600.	5,385,600.
CORPORATE BOND 12	7,629,286.	7,629,286.
CORPORATE BOND 13	8,817,857.	8,817,857.
CORPORATE BOND 14	17,715,166.	17,715,166.
CORPORATE BOND 16	50,375,000.	50,375,000.
TOTAL TO FORM 990-PF, PART II, LINE 10C	212,518,256.	212,518,256.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ALTERNATIVE INVESTMENT 1	FMV	20,100,000.	20,100,000.
ALTERNATIVE INVESTMENT 3	FMV	14,423,457.	14,423,457.
ALTERNATIVE INVESTMENT 4	FMV	86,002,753.	86,002,753.
ALTERNATIVE INVESTMENT 5	FMV	279,766.	279,766.
ALTERNATIVE INVESTMENT 6	FMV	41,474,348.	41,474,348.
ALTERNATIVE INVESTMENT 7	FMV	12,288,153.	12,288,153.
ALTERNATIVE INVESTMENT 8	FMV	5,143,796.	5,143,796.
ALTERNATIVE INVESTMENT 9	FMV	10,567,742.	10,567,742.
ALTERNATIVE INVESTMENT 10	FMV	131,164,599.	131,164,599.
ALTERNATIVE INVESTMENT 13	FMV	2,952,268.	2,952,268.
ALTERNATIVE INVESTMENT 14	FMV	56,982,188.	56,982,188.
ALTERNATIVE INVESTMENT 15	FMV	4,408,444.	4,408,444.
ALTERNATIVE INVESTMENT 16	FMV	70,506,846.	70,506,846.
ALTERNATIVE INVESTMENT 17	FMV	10,969,457.	10,969,457.
ALTERNATIVE INVESTMENT 18	FMV	11,151,243.	11,151,243.
ALTERNATIVE INVESTMENT 19	FMV	1,096,781.	1,096,781.
ALTERNATIVE INVESTMENT 21	FMV	32,860.	32,860.
ALTERNATIVE INVESTMENT 22	FMV	620,709.	620,709.
ALTERNATIVE INVESTMENT 23	FMV	21,216,521.	21,216,521.
ALTERNATIVE INVESTMENT 24	FMV	1,752,273.	1,752,273.
ALTERNATIVE INVESTMENT 26	FMV	16,124.	16,124.
ALTERNATIVE INVESTMENT 27	FMV	49,373.	49,373.
ALTERNATIVE INVESTMENT 28	FMV	16,216,699.	16,216,699.
ALTERNATIVE INVESTMENT 29	FMV	326,816.	326,816.
ALTERNATIVE INVESTMENT 30	FMV	25,419,764.	25,419,764.
ALTERNATIVE INVESTMENT 31	FMV	2,978,352.	2,978,352.
ALTERNATIVE INVESTMENT 32	FMV	658,042.	658,042.
ALTERNATIVE INVESTMENT 34	FMV	9,385.	9,385.
ALTERNATIVE INVESTMENT 35	FMV	341,989.	341,989.
ALTERNATIVE INVESTMENT 36	FMV	4,235,039.	4,235,039.
ALTERNATIVE INVESTMENT 38	FMV	18,664,395.	18,664,395.
ALTERNATIVE INVESTMENT 39	FMV	2,986,605.	2,986,605.
ALTERNATIVE INVESTMENT 40	FMV	3,054,217.	3,054,217.
ALTERNATIVE INVESTMENT 41	FMV	9,477,869.	9,477,869.
ALTERNATIVE INVESTMENT 42	FMV	215,804.	215,804.
ALTERNATIVE INVESTMENT 43	FMV	1,603,127.	1,603,127.
ALTERNATIVE INVESTMENT 44	FMV	72,862,601.	72,862,601.
ALTERNATIVE INVESTMENT 45	FMV	7,224,196.	7,224,196.
ALTERNATIVE INVESTMENT 46	FMV	73,593,165.	73,593,165.
ALTERNATIVE INVESTMENT 48	FMV	47,521,360.	47,521,360.
ALTERNATIVE INVESTMENT 49	FMV	10,723,910.	10,723,910.
ALTERNATIVE INVESTMENT 50	FMV	26,974,045.	26,974,045.
ALTERNATIVE INVESTMENT 51	FMV	20,154,369.	20,154,369.
ALTERNATIVE INVESTMENT 52	FMV	13,645,206.	13,645,206.
ALTERNATIVE INVESTMENT 53	FMV	74,779,000.	74,779,000.
ALTERNATIVE INVESTMENT 55	FMV	21,497,835.	21,497,835.
ALTERNATIVE INVESTMENT 56	FMV	8,683,246.	8,683,246.
ALTERNATIVE INVESTMENT 57	FMV	72,497,100.	72,497,100.
ALTERNATIVE INVESTMENT 58	FMV	49,623,750.	49,623,750.
ALTERNATIVE INVESTMENT 59	FMV	14,437,500.	14,437,500.
ALTERNATIVE INVESTMENT 60	FMV	5,205,215.	5,205,215.
ALTERNATIVE INVESTMENT 61	FMV	9,672,952.	9,672,952.

STATEMENT(S) 9

TOTAL TO FORM 990-PF, PART II, LINE 13

1,118,483,254.

1,118,483,254.

FORM 990-PF

OTHER ASSETS

STATEMENT 10

DESCRIPTION

BEGINNING OF
YR BOOK VALUE

END OF YEAR
BOOK VALUE

FAIR MARKET
VALUE

PROGRAM RELATED INVESTMENTS

9,831,740.

9,041,147.

9,041,147.

ACCRUED INTEREST

4,004,747.

3,680,382.

3,680,382.

ORGANIZATIONAL COSTS

10,138.

10,138.

10,138.

OTHER ASSETS

78,242.

1,969.

1,969.

TO FORM 990-PF, PART II, LINE 15

13,924,867.

12,733,636.

12,733,636.

FORM 990-PF

TRANSFERS TO CONTROLLED ENTITIES
PART VII-A, LINE 11

STATEMENT 11

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

TS IMPACT, INC.

98-1207798

ADDRESS

190 ELGIN AVENUE
GEORGE TOWN, GRAND CAYMAN, CAYMAN ISLANDS KY1-9005

DESCRIPTION OF TRANSFER

CONTRIBUTION

AMOUNT
OF TRANSFER

12,258.

TOTAL AMOUNT OF TRANSFERS TO CONTROLLED ENTITIES

12,258.

FORM 990-PF

TRANSFERS FROM CONTROLLED ENTITIES
PART VII-A, LINE 11

STATEMENT 12

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

TS IMPACT, INC.

98-1207798

ADDRESS

190 ELGIN AVENUE
GEORGE TOWN, GRAND CAYMAN, CAYMAN ISLANDS KY1-9005

DESCRIPTION OF TRANSFER

DISTRIBUTION

AMOUNT
OF TRANSFER

1,488,287.

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

HOFFER CO-INVEST, LP

46-3412190

ADDRESS

301 COMMERCE STREET, SUITE 3300
FORT WORTH, TX 76102

DESCRIPTION OF TRANSFER

DISTRIBUTION

AMOUNT
OF TRANSFER

1,059,000.

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

CREDIT OPPORTUNITIES ACCESS FUND (INTERNATIONAL), LP

ADDRESS

P.O. BOX 2510
GRAND CAYMAN, CAYMAN ISLANDS KY1-1104

DESCRIPTION OF TRANSFER

DISTRIBUTION

AMOUNT
OF TRANSFER

1,533,817.

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

ARNOLD VENTURES INC.

37-1906348

ADDRESS

1717 WEST LOOP SOUTH, SUITE 1800
HOUSTON, TX 77027-3048

DESCRIPTION OF TRANSFER

PROCEEDS FROM THE PURCHASE OF ASSETS

AMOUNT
OF TRANSFER

25,533.

LAURA AND JOHN ARNOLD FOUNDATION

26-3241764

TOTAL AMOUNT OF TRANSFERS FROM CONTROLLED ENTITIES

4,106,637.

FORM 990-PF

SCHEDULE OF CONTROLLED ENTITIES
PART VII-A, LINE 11

STATEMENT 13

NAME OF CONTROLLED ENTITYEMPLOYER ID NO

TS IMPACT, INC.

98-1207798

ADDRESS

EXCESS BUSINESS HOLDING [] YES [X] NO

190 ELGIN AVENUE
GEORGE TOWN, GRAND CAYMAN, CAYMAN ISLANDS KY1-9005NAME OF CONTROLLED ENTITYEMPLOYER ID NO

HOFFER CO-INVEST, LP

46-3412190

ADDRESS

EXCESS BUSINESS HOLDING [] YES [X] NO

301 COMMERCE STREET, SUITE 3300
FORT WORTH, TX 76102NAME OF CONTROLLED ENTITYEMPLOYER ID NO

CREDIT OPPORTUNITIES ACCESS FUND (INTERNATIONAL), LP

ADDRESS

EXCESS BUSINESS HOLDING [] YES [X] NO

P.O. BOX 2510
GRAND CAYMAN, CAYMAN ISLANDS KY1-1104NAME OF CONTROLLED ENTITYEMPLOYER ID NO

ACTION NOW INC.

45-4083809

ADDRESS

EXCESS BUSINESS HOLDING [] YES [X] NO

1717 WEST LOOP SOUTH, SUITE 1800
HOUSTON, TX 77027-3048NAME OF CONTROLLED ENTITYEMPLOYER ID NO

ARNOLD VENTURES INC.

37-1906348

ADDRESS

EXCESS BUSINESS HOLDING [] YES [X] NO

1717 WEST LOOP SOUTH, SUITE 1800
HOUSTON, TX 77027-3048

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JOHN D. ARNOLD 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	DIRECTOR/CO-CHAIR 20.00	0.	0.	0.
LAURA E. ARNOLD 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	DIRECTOR/CO-CHAIR 20.00	0.	0.	0.
ROXANNE ALMAREZ 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	SECRETARY FROM 06/19 20.00	0.	0.	0.
ELIZABETH BANKS 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	TREASURER 20.00	0.	0.	0.
ASHLEY HANNA 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	SECRETARY THRU 05/19 20.00	0.	0.	0.
KELLI RHEE 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	DIRECTOR/PRESIDENT & CEO 20.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 15

ACTIVITY ONE

CRIMINAL JUSTICE -

THROUGH ITS CRIMINAL JUSTICE ASSISTANCE, THE LAURA AND JOHN ARNOLD FOUNDATION STRIVES TO ADVANCE COMMUNITY SAFETY AND THE VALUES OF FAIRNESS, EFFECTIVENESS, AND RACIAL JUSTICE.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

1,234,911.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 16

ACTIVITY TWO

HEALTH CARE -

THROUGH ITS HEALTH CARE ASSISTANCE, THE LAURA AND JOHN ARNOLD FOUNDATION AIMS TO LOWER HEALTH CARE SPENDING WHILE ENSURING ACCESS TO HIGH-QUALITY CARE.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

257,336.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 17

ACTIVITY THREE

PUBLIC FINANCE -

THROUGH ITS PUBLIC FINANCE ASSISTANCE, THE LAURA AND JOHN ARNOLD FOUNDATION SEEKS TO HAVE PUBLIC FUNDING ALLOCATED THROUGH A FAIR AND EQUITABLE PROCESS TO PROGRAMS THAT STRENGTHEN COMMUNITIES.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 3

176,264.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 18

ACTIVITY FOUR

PUBLIC HEALTH -
 THROUGH ITS PUBLIC HEALTH ASSISTANCE, THE LAURA AND JOHN ARNOLD FOUNDATION WORKS TO REMOVE BARRIERS THAT IMPEDE PEOPLE'S RIGHT TO CARE BY SUPPORTING THE TRAINING OF PROVIDERS, RESEARCH ON PUBLIC PROGRAMS, AND STATE-BASED EFFORTS TO BROADEN TREATMENT AND PREVENTATIVE SERVICES.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 4

132,975.

FORM 990-PF

SUMMARY OF PROGRAM-RELATED INVESTMENTS

STATEMENT 19

DESCRIPTION

DENVER PFS, LLC: TO PROVIDE PERMANENT SUPPORTIVE HOUSING SERVICES TO APPROXIMATELY 250 CHRONICALLY HOMELESS INDIVIDUALS. THE PROGRAM-RELATED INVESTMENT WAS MADE IN THE FORM OF A LOAN.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 2

399,006.

FORM 990-PF

PART XV - LINE 1A
 LIST OF FOUNDATION MANAGERS

STATEMENT 20

NAME OF MANAGER

JOHN D. ARNOLD
 LAURA E. ARNOLD

FORM 990-PF

PART XV - LINE 1B
LIST OF FOUNDATION MANAGERS

STATEMENT 21

NAME OF MANAGER

JOHN D. ARNOLD
LAURA E. ARNOLD

990-PF

INVOLVEMENT WITH NONCHARITABLE ORGANIZATIONS
PART XVII, LINE 1, COLUMN (D)

STATEMENT 22

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

ACTION NOW INC.

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC., FORMERLY KNOWN AS ACTION NOW INITIATIVE, HAVE A "HISTORIC AND CONTINUING RELATIONSHIP" BECAUSE OF THEIR SHARED USE OF FACILITIES AND EQUIPMENT. ALL EXPENSES RELATED TO THE SHARED FACILITIES AND EQUIPMENT ARE PAID FOR BY LAURA AND JOHN ARNOLD. THERE ARE NO DIRECT TRANSFERS OR TRANSACTIONS BETWEEN THE LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC. IN ADDITION, LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC., HAVE AN "ELEMENT OF COMMON CONTROL" BECAUSE MORE THAN 50% OF THE DIRECTORS OF THE LAURA AND JOHN ARNOLD FOUNDATION SERVE AS DIRECTORS FOR ACTION NOW, INC.

990-PF

AFFILIATION WITH TAX-EXEMPT ORGANIZATIONS
PART XVII, LINE 2, COLUMN (C)

STATEMENT 23

NAME OF AFFILIATED OR RELATED ORGANIZATION

ACTION NOW INC.

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC., FORMERLY KNOWN AS ACTION NOW INITIATIVE, HAVE A "HISTORIC AND CONTINUING RELATIONSHIP" BECAUSE OF THEIR SHARED USE OF FACILITIES AND EQUIPMENT. ALL EXPENSES RELATED TO THE SHARED FACILITIES AND EQUIPMENT ARE PAID FOR BY LAURA AND JOHN ARNOLD. THERE ARE NO DIRECT TRANSFERS OR TRANSACTIONS BETWEEN THE LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC. IN ADDITION, LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC., HAVE AN "ELEMENT OF COMMON CONTROL" BECAUSE MORE THAN 50% OF THE DIRECTORS OF THE LAURA AND JOHN ARNOLD FOUNDATION SERVE AS DIRECTORS FOR ACTION NOW, INC.

GENERAL EXPLANATION

STATEMENT 24

FORM/LINE IDENTIFIER

SECTION VII-B, LINE 1C

EXPLANATION:

THE FOUNDATION HAS DETERMINED A DISQUALIFIED PERSON ENGAGED IN ACTIVITIES THAT CONSTITUTE SELF-DEALING AS DEFINED IN SECTION 4941 OF THE U.S. INTERNAL REVENUE CODE. THE DISQUALIFIED PERSON IS NO LONGER EMPLOYED BY, OR ASSOCIATED WITH, THE FOUNDATION. THE FOUNDATION HAS TAKEN THE APPROPRIATE CORRECTIVE ACTION.

GENERAL EXPLANATION

STATEMENT 25

FORM/LINE IDENTIFIER

SECTION VII-A, LINE 8B

EXPLANATION:

THE DISTRICT OF COLUMBIA DOES NOT REQUIRE A COPY OF THE FORM 990-PF TO BE SENT TO THE OFFICE OF TAX AND REVENUE. THE LAURA AND JOHN ARNOLD FOUNDATION DOES PLAN TO SEND A COPY OF THE FORM 990-PF TO THE PROPER CALIFORNIA, NEW YORK, OKLAHOMA AND TEXAS AGENCIES.

GENERAL EXPLANATION

STATEMENT 26

FORM/LINE IDENTIFIER

SECTION VII-A, LINE 11, CONTROLLED ENTITIES

EXPLANATION:

ARNOLD VENTURES INC.

CONTROL FOR THIS LIMITED PURPOSE IS DEEMED TO EXIST SOLELY BY VIRTUE OF ARNOLD VENTURES, INC.'S BOARD COMPOSITION OVERLAPPING WITH THE FOUNDATION'S BOARD COMPOSITION.

ACTION NOW INC.

CONTROL FOR THIS LIMITED PURPOSE IS DEEMED TO EXIST SOLELY BY VIRTUE OF ACTION NOW, INC.'S BOARD COMPOSITION OVERLAPPING WITH THE FOUNDATION'S BOARD COMPOSITION.

LAURA AND JOHN ARNOLD FOUNDATION
 2019 FORM 990-PF
 PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
50CAN Inc	1625 K Street NW, Suite 400 Washington, DC 20006	PC	To facilitate parent and community outreach and develop educational materials on portfolio governance reform efforts in Camden, New Jersey	11,718
AcademyHealth	1150 17th Street NW, Suite 600 Washington, DC 20036	PC	To support the AcademyHealth's National Health Policy Conference	10,000
Abode Services	40849 Fremont Boulevard Fremont, California 94538	PC	To conduct a randomized controlled trial of a permanent supportive housing program in Santa Clara County, California	163,640
Abortion Care Network	P O Box 16323 Minneapolis, Minnesota 55416	PC	To pilot and evaluate a contraception gap fund and professional development program to support women's healthcare providers	320,000
Abt Associates Inc	6310 Executive Boulevard Rockville, Maryland 20852	NC	To produce and publish a comprehensive review of the evidence base around crisis response models	220,000
Abt Associates Inc	6310 Executive Boulevard Rockville, Maryland 20852	NC	To conduct a randomized controlled trial to evaluate New Mexico's oversubscribed Dual Language Immersion charter schools and the state's elementary and high school charter schools	328,542
Abt Associates Inc	6310 Executive Boulevard Rockville, Maryland 20852	NC	For the extended follow-up of two randomized controlled trials to evaluate the impact of Year Up's Professional Training Corps	137,588
American Academy of Forensic Sciences	410 N 21st Street Colorado Springs, Colorado 80904	PC	To help improve standards in the forensic science community	223,817
American Bar Association Fund for Justice and Education	321 N Clark Street, 21st Floor Chicago, Illinois 60654	PC	To study misdemeanor courts and public defense in an effort to better understand and improve indigent criminal defense	175,000
American Civil Liberties Union Foundation, Inc	125 Broad Street, 18th Floor New York, New York 10004	PC	To help reduce the incarceration of indigent defendants detained solely because they are unable to pay court-imposed fines and fees	21,000
American Civil Liberties Union Foundation, Inc	125 Broad Street, 18th Floor New York, New York 10004	PC	To support efforts to reform criminal justice practices that disproportionately punish people experiencing poverty	115,000
American Enterprise Institute for Public Policy Research	1789 Massachusetts Avenue NW Washington, DC 20036	PC	To identify critical lessons about re-entry initiatives and educate key stakeholders	18,954
American Enterprise Institute for Public Policy Research	1789 Massachusetts Avenue NW Washington, DC 20036	PC	To support research and nonpartisan education regarding higher education student aid	19,450
American Geophysical Union	2000 Florida Ave NW Washington, DC 20009	PC	To develop and implement data-sharing standards for earth and space sciences	43,580
American Institutes for Research in the Behavioral Sciences	1000 Thomas Jefferson Street NW Washington, DC 20007	PC	To advance evidence-based policymaking and promote the use of longitudinal data in education research	1,500,000
American Institutes for Research in the Behavioral Sciences	1000 Thomas Jefferson Street NW Washington, DC 20007	PC	To fund a randomized controlled trial of Literacy First	89,766
American Institutes for Research in the Behavioral Sciences	1000 Thomas Jefferson Street NW Washington, DC 20007	PC	To conduct research on teachers' pensions in an effort to identify ways to improve fiscal sustainability of state pension systems	20,000
American Journalism Project Inc	718 7th Street NW Washington, DC 20001	PC	To support civic news organizations' business development	1,950,000
American Society of Evidence-Based Policing	31566 Railroad Canyon Road, PMB 13 Canyon Lake, California 92587	PC	To host its third annual conference at the University of Cincinnati to promote evidence-based strategies and research in policing	15,000

LAURA AND JOHN ARNOLD FOUNDATION
2019 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Arizona State University Foundation for a New American University	P O Box 2260 Tempe, Arizona 85280	PC	To conduct an analysis of pretrial released felony defendants in four Arizona counties, with the overall goal of identifying predictors of a failure to appear for court	140,000
Arizona State University Foundation for a New American University	P O Box 2260 Tempe, Arizona 85280	PC	To obtain a comprehensive understanding of the dynamics of Arizona's community supervision system	40,000
Association for Community Affiliated Plans	1155 15th Street NW, Suite 600 Washington, DC 20005	NC	To analyze the ways that dual eligible special needs plans are utilizing non-medical supplemental benefits	200,000
Association of Prosecuting Attorneys, Inc	11 Dupont Circle NW, Suite 501 Washington, DC 20036	PC	To develop and disseminate information about evidence-based prosecutorial practices	10,000
Bard College	P O Box 5000 Annandale-On-Hudson, New York 12504	PC	To fund a low-cost randomized controlled trial of a music education intervention for economically disadvantaged elementary school students	134,959
Behavioral Ideas Lab, Inc	80 Broad Street, 30th Floor New York, New York 10004	PC	To support the execution of demonstration projects, scaling of successful interventions, and institutionalization of evidence-based decision-making and behavioral science in New York City government	225,426
Behavioral Ideas Lab, Inc	80 Broad Street, 30th Floor New York, New York 10004	PC	To design, implement, and test a set of behavioral interventions in emergency departments to improve outcomes for patients with opioid use disorder	69,230
Bellwether Education Partners, Inc	517 Boston Post Road, Unit 171 Sudbury, Massachusetts 01776	PC	To promote a more comprehensive dialogue about teacher pension reform	130,786
Bellwether Education Partners, Inc	517 Boston Post Road, Unit 171 Sudbury, Massachusetts 01776	PC	To educate policymakers and the general public about issues affecting teacher pensions	240,999
Bioethics International, Inc	196 Long Lots Road Westport, Connecticut 06880	PC	To help expand the Good Pharma Scorecard	800,000
Bipartisan Policy Center Inc	1225 Eye Street NW, Suite 1000 Washington, DC 20005	PC	To identify and disseminate best practices for incorporating evidence-based policymaking into the federal budgeting process	59,500
Bipartisan Policy Center Inc	1225 Eye Street NW, Suite 1000 Washington, DC 20005	PC	To support the placement of a higher education research fellow with the United States House of Representatives Committee on Education and Labor	100,000
Bipartisan Policy Center Inc	1225 Eye Street NW, Suite 1000 Washington, DC 20005	PC	To analyze policy options related to reference pricing and patent and market exclusivity	150,000
Bipartisan Policy Center Inc	1225 Eye Street NW, Suite 1000 Washington, DC 20005	PC	To produce near-term policy recommendations for increasing integration between Medicare and Medicaid	150,000
Bipartisan Policy Center Inc	1225 Eye Street NW, Suite 1000 Washington, DC 20005	PC	To develop bipartisan policy recommendations to improve institutional responsibility for student outcomes	300,000
Bipartisan Policy Center Inc	1225 Eye Street NW, Suite 1000 Washington, DC 20005	PC	To support the placement of a higher education research fellow with the United States Senate Committee on Health, Education, Labor, and Pensions	30,262
Bipartisan Policy Center Inc	1225 Eye Street NW, Suite 1000 Washington, DC 20005	PC	To conduct a comprehensive review of how federal and state funding is being allocated to address the opioid epidemic	55,042
Board of Regents Nevada System of Higher Education	2601 Enterprise Road Reno, Nevada 89512	GOV	To evaluate the feasibility and effectiveness of the Mobile Recovery Outreach Team intervention on opioid overdose survivors treated in Nevada's emergency rooms	393,091
Board of Regents Nevada System of Higher Education	2601 Enterprise Road Reno, Nevada 89512	GOV	To evaluate the feasibility and effectiveness of the Mobile Recovery Outreach Team intervention in improving outcomes for opioid overdose survivors treated in emergency rooms in Nevada	17,446

LAURA AND JOHN ARNOLD FOUNDATION
 2019 FORM 990-PF
 PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Brandeis University	415 South Street, MSC 110 Waltham, Massachusetts 02453	PC	To host the 26th Princeton Conference to ignite health policy recommendations for meaningful change	20,000
Brandeis University	415 South Street, MSC 110 Waltham, Massachusetts 02453	PC	To host the 27th Princeton Conference to ignite health policy recommendations for meaningful change	20,000
Brigham & Women's Hospital Inc	75 Francis Street Boston, Massachusetts 02115	PC	To support the Promoting a Competitive Market for High-Cost Biologic Drugs project	200,000
Brigham & Women's Hospital Inc	75 Francis Street Boston, Massachusetts 02115	PC	To support the Program On Regulation, Therapeutics, And Law Biomarker Research Consortium	677,127
Brigham & Women's Hospital Inc	75 Francis Street Boston, Massachusetts 02115	PC	To support the Program On Regulation, Therapeutics, And Law	1,075,000
Brookings Institution	1775 Massachusetts Avenue NW Washington, DC 20036	PC	To identify new policies that are intended to improve retirement security	162,342
Brookings Institution	1775 Massachusetts Avenue NW Washington, DC 20036	PC	To evaluate the prevalence, drivers, and policy implications of surprise medical billing	600,000
Brookings Institution	1775 Massachusetts Avenue NW Washington, DC 20036	PC	To host a convening of diverse experts to discuss alternative mechanisms to fund prescription drug innovation in the pharmaceutical community	35,000
Brookings Institution	1775 Massachusetts Avenue NW Washington, DC 20036	PC	To analyze the extent to which workers and retirees choose to allocate their retirement assets to annuities and other arrangements that provide regular income over extended periods	220,203
Brookings Institution	1775 Massachusetts Avenue NW Washington, DC 20036	PC	To analyze data and assess the state of student loan accountability, develop evidence-based policy solutions, and disseminate the findings	11,717
Brown University	164 Angell Street, Box 1877 Providence, Rhode Island 02912	PC	To develop and fund initial operations of the Brown Policy Lab	837,614
California Counties Foundation, Inc	1100 K Street, Suite 101 Sacramento, California 95814	PC	To help transition California counties to data-driven, evidence-based practices in community supervision	380,000
Californians Dedicated to Education Foundation	11501 Dublin Boulevard, Suite 200 Dublin, California 94568	PC	To support the California Department of Education in its efforts to expand and implement the Career Academies program in low-income high schools across the state	335,000
Camden Coalition of Healthcare Providers	800 Cooper Street, 7th floor Camden, New Jersey 08102	PC	To support cross-sector data sharing and develop collaborative interventions for frequent utilizers	30,033
Catalyst for Payment Reform, Inc	1344 Oxford Street Berkeley, California 94709	PC	To design and pilot a scorecard that will assess alternative health care payment reform models	86,010
Center for American Progress	1333 H Street NW, 10th Floor Washington, DC 20005	PC	To provide general operating support	100,000
Center for American Progress	1333 H Street NW, 10th Floor Washington, DC 20005	PC	To host the Smart on Crime Innovations Conference	20,000
Center for American Progress	1333 H Street NW, 10th Floor Washington, DC 20005	PC	To support research and nonpartisan education regarding higher education student aid	32,445
Center for Economic and Policy Research	1611 Connecticut Avenue NW, Suite 400 Washington, DC 20009	PC	To promote research into the role of private equity and physician staffing firms in surprise medical billing	25,000

LAURA AND JOHN ARNOLD FOUNDATION
 2019 FORM 990-PF
 PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Center For Effective Public Policy, Inc	10605 Concord Street, Suite 440 Kensington, Maryland 20895	PC	To provide training and technical assistance for the Public Safety Assessment and pretrial reform	5,523,029
Center for Health Policy Development	10 Free Street, 2nd Floor Portland, Maine 04101	PC	To support the launch of the Center for Prescription Drug Price Action	500,000
Center for Health Policy Development	10 Free Street, 2nd Floor Portland, Maine 04101	PC	To provide educational resources for prescription drug and commercial health care prices	1,550,000
Center For Open Science	210 Ridge McIntire Road, Suite 500 Charlottesville, Virginia 22903	PC	To provide general operating support	750,000
Center on Budget and Policy Priorities	1275 First Street NE, Suite 1200 Washington, DC 20002	PC	To develop Grantee's internal capacity to conduct research and analysis related to public pension plans reform efforts	403,754
ChangeLab Solutions	2201 Broadway, Suite 502 Oakland, California 94612	PC	To develop educational resources for key stakeholders interested in reducing sugar-sweetened beverage consumption	31,250
Charter Fund, Inc	10901 W 120th Avenue, Suite 450 Broomfield, Colorado 80021	POF	To support the expansion and replication of high-quality schools in New Orleans, Louisiana	841,692
Chiefs for Change	1455 Pennsylvania Avenue, Suite 400-311 Washington, DC 20004	PC	To support a working group of education leaders focused on fostering new and effective school governance models	20,000
Children's Hospital Corporation	300 Longwood Avenue Boston, Massachusetts 02115	PC	To fund a randomized controlled trial analyzing how carbohydrates, fat, and added sugar affect body fat and metabolism	5,628,109
Citizens Climate Education Corp	1330 Orange Avenue, Suite 309 Coronado, California 92118	PC	To provide general operating support for non-partisan educational outreach	50,000
City and County of San Francisco	Carlton 8 Goodlett Place, Room 140 San Francisco, California 94102	GOV	To develop a nonpartisan, replicable model of local government-driven fines and fees reform	281,355
City of Iowa City	410 E Washington Street Iowa City, Iowa 52240	GOV	To support implementation of the Data-Driven Justice project in Iowa City, Iowa	131,070
City of Long Beach	333 W Ocean Boulevard, 13th Floor Long Beach, California 90802	GOV	To conduct a randomized controlled trial of Forensic Assertive Community Treatment and wraparound services	24,441
City of Long Beach	333 W Ocean Boulevard, 13th Floor Long Beach, California 90802	GOV	To support implementation of the Data-Driven Justice project in Long Beach, California	250,000
Clean Air Task Force, Inc	114 State Street, 6th Floor Boston, Massachusetts 02109	PC	To provide recommendations on energy policies to advance the development and lower the cost of new emission-free energy sources	368,938
Coalition for Public Safety	444 N Capitol Street NW, Suite 200 Washington, DC 20001	PC	To provide general operating support	923,222
Code for America Labs, Inc	972 Mission Street, 5th floor San Francisco, California 94103	PC	To fund two fellows to support implementation of the Data-Driven Justice project in Middlesex County, Massachusetts	240,000
Collateral Consequences Resource Center	15 7th Street NE Washington, DC 20002	PC	To hold a roundtable on criminal records at the University of Michigan Law School	30,000
College Forward	1023 Springdale Road, Building 15 Austin, Texas 78721	PC	To fund a low-cost randomized controlled trial on the grantee's college access and completion programs	9,754

LAURA AND JOHN ARNOLD FOUNDATION
2019 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Colorado Nonprofit Development Center	789 Sherman Street, Suite 250 Denver, Colorado 80203	PC	To provide general operating support	25,000
Colorado Seminary	2199 S University Boulevard Denver, Colorado 80210	PC	To help establish a policy lab in the state of Colorado	1,696,143
Colorado Seminary	2199 S University Boulevard Denver, Colorado 80210	PC	To fund a randomized controlled trial of Fostering Healthy Futures for Teens, an intensive mentoring program for students with open child welfare cases and histories of maltreatment	128,325
Colorado Seminary	2199 S University Boulevard Denver, Colorado 80210	PC	To fund a randomized controlled trial of Fostering Opportunities, a program that provides youth in foster care with an advocate who helps to oversee school attendance and course completion	36,418
Community Catalyst	One Federal Street, 5th Floor Boston, Massachusetts 02110	PC	To analyze what drives how consumers decide which plans to choose for integrated Medicare and Medicaid services	100,000
Community Catalyst	One Federal Street, 5th Floor Boston, Massachusetts 02110	PC	To develop a concept for combining housing with the Program for All Inclusive Care for the Elderly to benefit dual-eligible beneficiaries	150,000
Community Resources for Justice, Inc	355 Boylston Street Boston, Massachusetts 02116	PC	To work with four states to reduce revocations from supervision	345,000
Community Resources for Justice, Inc	355 Boylston Street Boston, Massachusetts 02116	PC	To conduct surveys of Salt Lake County, Utah, Davidson County, Tennessee, and Jefferson County, Kentucky defendants and to conduct a mixed methods study to examine the reasons for failure to appear	73,111
Conquer Cancer Foundation of the American Society of Clinical Oncology	2318 Mill Road, Suite 800 Alexandria, Virginia 22314	PC	To convene oncology journal publishers and other stakeholders to establish clinical trial data sharing standards and policies that facilitate the responsible and timely sharing of clinical trial data in oncology research	17,500
Cornell University	377 Pine Tree Road Ithaca, New York 14850	PC	To evaluate the intended and unintended consequences of proliferating state policies to limit unnecessary opioid prescribing, particularly policies designed to increase provider use of Prescription Drug Monitoring Programs, with the overall goal of creating an evidence base to inform state action on opioid prescribing policies	150,000
Cornell University	377 Pine Tree Road Ithaca, New York 14850	PC	To support research on pension costs for public school employees in the state of New York	56,900
Corporation for Supportive Housing	61 Broadway, Suite 2300 New York, New York 10006	PC	To develop a secure digital platform for individuals who are both homeless and frequently involved with the criminal justice system in order to aid this population	41,616
Council of State Governments	1776 Avenue of The States Lexington, Kentucky 40511	PC	To implement a scalable technology solution to reduce revocations and recidivism in three states	600,000
Council of State Governments	1776 Avenue of The States Lexington, Kentucky 40511	PC	To host a forum to help executive-level probation and parole agency leaders reorient their agencies to promote success	25,000
Council of State Governments	1776 Avenue of The States Lexington, Kentucky 40511	PC	To educate newly-elected governors, their staff, and their appointees about key issues in criminal justice reform	964,635
Council of State Governments	1776 Avenue of The States Lexington, Kentucky 40511	PC	To produce a national snapshot of the impact of probation and parole revocations on prison admissions, prison populations, and related costs	25,000
Curators of the University of Missouri	One University Boulevard St. Louis, Missouri 63121	GOV	To conduct research on the relationship between pension systems and financial equities in state education	69,260

LAURA AND JOHN ARNOLD FOUNDATION
2019 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Curators of the University of Missouri	One University Boulevard St. Louis, Missouri 63121	GOV	To support a randomized controlled trial of a mentoring program for recent college dropouts	169,237
DC Government	1350 Pennsylvania Avenue NW, Suite 533 Washington, DC 20004	GOV	To support the Young Men Emerging Program	5,000
Doctors for America	1701 Pennsylvania Avenue NW, Suite 300 Washington, DC 20006	PC	To develop a physician-centered coalition that will educate the public and key stakeholders about prescription drug pricing in the United States	250,000
Drexel University	3141 Chestnut Street Philadelphia, Pennsylvania 19104	PC	To support the potential adaptation of the Scandinavian correctional approaches in a Pennsylvania prison	145,000
Drexel University	3141 Chestnut Street Philadelphia, Pennsylvania 19104	PC	To support a randomized controlled trial to evaluate the impact of offering federal Pell Grants to incarcerated adults interested in pursuing postsecondary education	222,240
Drug Policy Alliance	131 W 33rd Street, 15th Floor New York, New York 10001	PC	To support work focused on the intersection of harm reduction and substance use disorder treatment	100,000
Duke University	2200 W Main Street, Suite 710 Durham, North Carolina 27708	PC	To examine how the Patent Trial and Appeals Board affects the biopharmaceutical industry	100,000
Duke University	2200 W Main Street, Suite 710 Durham, North Carolina 27708	PC	To conduct an initial study of how medication-assisted treatment impacts recidivism and other outcomes in Wake County, North Carolina	28,781
Duke University	2200 W Main Street, Suite 710 Durham, North Carolina 27708	PC	To analyze access to and quality of medication-assisted treatment for persons with opioid use disorder in North Carolina	168,725
Duke University	2200 W Main Street, Suite 710 Durham, North Carolina 27708	PC	To provide information and tools to North Carolina policy leaders, journalists, and the public to facilitate a better understanding of the key drivers of and variations in health care cost growth	125,600
Economic Mobility Corporation	233 Broadway, 12th Floor New York, New York 10279	PC	To support a randomized controlled trial of Per Scholas, Inc.'s information technology workforce training program, to identify programs that improve earning potential	162,598
Economic Mobility Corporation	233 Broadway, 12th Floor New York, New York 10279	PC	To support a randomized controlled trial of a program to help low-income adults get nursing degrees and jobs	169,226
Economic Mobility Corporation	233 Broadway, 12th Floor New York, New York 10279	PC	To fund a low-cost randomized controlled trial of English for Advancement, a workforce intervention for adult English language learners	45,000
Economic Mobility Corporation	233 Broadway, 12th Floor New York, New York 10279	PC	To support long-term follow-up of the randomized controlled trial of Project QUEST	78,554
Economic Mobility Corporation	233 Broadway, 12th Floor New York, New York 10279	PC	To support Grantee's efforts to conduct a randomized controlled trial evaluation of Per Scholas, Inc.'s information technology training program	9,364
Energy Innovation Reform Project	1200 18th Street NW, Suite 801 Washington, DC 20036	PC	To provide general operating support	250,000
Enterprise Community Partners, Inc	70 Corporate Center 11000 Broken Land Parkway, Suite 700 Columbia, Maryland 21044	PC	To support the National Resource Network consortium	1,900,000
Equable Institute	2701 Queens Plaza N, 13th Floor Long Island City, New York 11101	PC	To support operations costs	920,716
Equable Institute	2701 Queens Plaza N, 13th Floor Long Island City, New York 11101	PC	To support the development of a strategic plan for the launch of a new nonprofit organization	5,000

LAURA AND JOHN ARNOLD FOUNDATION
2019 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Equable Institute	2701 Queens Plaza N, 13th Floor Long Island City, New York 11101	PC	To provide general operating support	150,000
Evidence for Healthcare Improvement	2 Liberty Square, 9th Floor Boston, Massachusetts 02109	PC	To support the Institute for Clinical and Economic Review research that provides independent analyses of the comparative cost effectiveness for newly-introduced pharmaceutical drugs	5,897,032
FairVote	6930 Carroll Avenue, Suite 240 Takoma Park, Maryland 20912	PC	To support grantee in its efforts to be a nonpartisan educational resource and technical support provider on ranked choice voting	50,000
Families USA Foundation Inc	1225 New York Avenue NW, Suite 800 Washington, DC 20005	PC	To provide Grantee with general operating support	600,000
Family Service Agency of Santa Barbara	123 W Gutierrez Street Santa Barbara, California 93101	PC	To conduct a pilot study of Adult Holistic Defense Case Management, a program to reduce recidivism	31,971
FJC	520 Eighth Avenue, 20th Floor New York, New York 10018	PC	To steer a National Corrections Council	25,000
Friends Research Institute, Inc	1040 Park Avenue, Suite 103 Baltimore, Maryland 21201	PC	To support an ongoing randomized controlled trial of interim methadone to reduce recidivism and relapse among inmates in the Baltimore City's Detention Facilities	100,542
Fund for the City of New York	121 Sixth Avenue, 6th Floor New York, New York 10013	PC	To create a comprehensive study of current practices to address intimate partner violence in the context of pretrial reform	330,000
Fund for the City of New York	121 Sixth Avenue, 6th Floor New York, New York 10013	PC	To analyze data-driven risk assessment models	10,000
Fund for the City of New York	121 Sixth Avenue, 6th Floor New York, New York 10013	PC	To conduct research on alternatives to traditional sentences	15,602
George Mason University Foundation, Inc	4400 University Drive, MS 1A3 Fairfax, Virginia 22030	PC	To conduct a randomized controlled trial on the effect of monetary contingency management vouchers for opioid use disorder treatment programs	175,757
George Mason University Foundation, Inc	4400 University Drive, MS 1A3 Fairfax, Virginia 22030	PC	To develop practice guidelines to enable probation and parole supervision agencies to implement evidence-based practice	260,000
George Mason University Foundation, Inc	4400 University Drive, MS 1A3 Fairfax, Virginia 22030	PC	To provide general operating support	25,000
Georgetown University	37th and O Streets NW Washington, DC 20007	PC	To conduct a comprehensive assessment of state laws and policies that act as barriers to evidence-based substance use disorder treatment and disseminate nonpartisan educational resources to key stakeholders	250,000
Georgetown University	37th and O Streets NW Washington, DC 20007	PC	To provide policymakers and key stakeholders with educational resources and technical assistance to help them better understand and apply evidence to improve policies related to surprise medical billing	250,000
Georgetown University	37th and O Streets NW Washington, DC 20007	PC	To support national reform of fines and fees practices through nonpartisan training, support, and public education	90,000
Georgia Institute of Technology	760 Spring Street NW, Suite 400 Atlanta, Georgia 30308	PC	To conduct research and develop tools in order to help patients and physicians make more informed decisions about organ transplants	8,055
Georgia State University Research Foundation, Inc	58 Edgewood Avenue NE, 3rd Floor Atlanta, Georgia 30303	PC	To help establish a policy lab in the state of Georgia	1,834,841
Georgia State University Research Foundation, Inc	58 Edgewood Avenue NE, 3rd Floor Atlanta, Georgia 30303	PC	To assess the legal and policy barriers related to surprise out-of-network air ambulance billing, as well as developing potential nonpartisan policy solutions	80,000

LAURA AND JOHN ARNOLD FOUNDATION
2019 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Georgia State University Research Foundation, Inc	58 Edgewood Avenue NE, 3rd Floor Atlanta, Georgia 30303	PC	To launch the Georgia Child and Family Policy Lab	869,572
Georgia State University Research Foundation, Inc	58 Edgewood Avenue NE, 3rd Floor Atlanta, Georgia 30303	PC	To rigorously analyze the benefits of entering a four-year public college versus a two-year college on outcomes such as labor force participation, income, debt, and loan repayment	80,000
Georgia State University Research Foundation, Inc	58 Edgewood Avenue NE, 3rd Floor Atlanta, Georgia 30303	PC	To evaluate the Job Tax Credit in the state of Georgia	17,996
GHP Solutions, LLC	220 East 42nd Street, Suite 710 New York, New York 10017	NC	To develop and pilot a model to streamline access to long-acting contraceptive methods	155,000
Government of the District of Columbia, Office of the City Administrator	1350 Pennsylvania Avenue NW Washington, DC 20004	GOV	To fund the creation of The Lab @ DC	50,000
Hastings College of the Law, University of California	200 McAllister Street San Francisco, California 94102	GOV	To analyze pharmaceutical industry behaviors and their impact on drug prices	232,120
Hastings College of the Law, University of California	200 McAllister Street San Francisco, California 94102	GOV	To support Grantee's Center for Innovation in its efforts to maximize the access and affordability of life saving drugs while maintaining incentives for innovation	300,000
Health Care For All Inc	One Federal Street, 5th Floor Boston, Massachusetts 02110	PC	To educate the public, policymakers, and key stakeholders about drug price increases and increased drug pricing transparency, and strengthen efforts to counter marketing efforts by the pharmaceutical industry	300,000
Health Management Associates, Inc	120 N Washington Square, Suite 705 Lansing, Michigan 48933	NC	To provide technical assistance and nonpartisan educational resources to county communities selected through Grantee's Planning Initiatives to Build Bridges between Jail and Community-Based Treatment for Opioid Use Disorder request for proposals, with the overall goal of preparing communities to implement and/or expand medication assisted treatment programs in jails and the larger community	350,000
Health Management Associates, Inc	120 N Washington Square, Suite 705 Lansing, Michigan 48933	NC	To analyze non-health related supplemental benefits in Medicare Advantage plans	175,000
Health Management Associates, Inc	120 N Washington Square, Suite 705 Lansing, Michigan 48933	NC	To support a novel policy platform aimed at increasing integration between Medicare and Medicaid for dual eligibles	216,000
HealthPartners Institute	P O Box 1309 Minneapolis, Minnesota 55440	PC	To analyze the characteristics and consequences of off patent, non-competitive drugs, and assist policymakers in developing policy proposals to address price spikes common among these types of drugs	80,000
Henry J Kaiser Family Foundation	185 Berry Street, Suite 2000 San Francisco, California 94107	PC	To examine trends in prescription drug prices and Medicare Part D formulary coverage and broadly disseminate the findings policy briefs, papers, and convenings	100,000
Henry J Kaiser Family Foundation	185 Berry Street, Suite 2000 San Francisco, California 94107	PC	To provide researchers, policymakers, and the public with accessible, unbiased information about the Medicaid pharmacy benefit and policy options to lower Medicaid drug spending	260,000
Higher Learning Advocates Inc	1001 Connecticut Avenue NW, Suite 710 Washington, DC 20036	PC	To organize stakeholders who will serve as nonpartisan educational resources for policymakers and the public on higher education policy issues	25,058
Hire Heroes USA	1360 Union Hill Road, Suite 2-A Alpharetta, Georgia 30004	PC	To provide operating support for service delivery in furtherance of a randomized controlled trial of the Independence Project, an intensive intervention for recently discharged, disabled young veterans	550,000
Info Line of San Diego County	P O Box 420039 San Diego, California 92142	GOV	To enhance care coordination across health and non-health sectors	5,000

LAURA AND JOHN ARNOLD FOUNDATION
2019 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Initiative for Medicines, Access & Knowledge, Inc	16192 Coastal Highway Lewes, Delaware 19958	PC	To support efforts to provide patients with affordable access to life-saving medications and improve public health	200,000
Institute for College Access and Success Inc	405 14th Street, Suite 1100 Oakland, California 94612	PC	To provide policy analysis, public education, and coalition-building regarding higher education quality and accountability at the federal level and in California	275,804
Institute for Fiscal Studies	7 Ridgmount Street London, WC1E 7AE	PC	To analyze the impact of the United Kingdom's automatic pension enrollment program	17,857
Institute for Higher Education Policy	1825 K Street NW, Suite 720 Washington, DC 20006	PC	To serve as a nonpartisan, educational resource on higher education policy issues related to data	156,211
Institute for Intergovernmental Research, Inc	2050 Centre Pointe Boulevard Tallahassee, Florida 32308	PC	To host a convening of key stakeholders and the public, with the overall goal of supporting a planning initiative designed to help communities develop a comprehensive continuum of care model that targets the jail population and builds bridges between in custody and community based opioid use disorder treatment	150,000
Institute for Justice	901 North Glebe Road, Suite 900 Arlington, Virginia 22203	PC	To examine whether, and to what extent, citations are used to generate revenue for municipal governments	30,000
Institute for Women's Policy Research	1200 18th Street NW, Suite 301 Washington, DC 20036	PC	To support a feasibility study to set up the first rigorous evaluation regarding the impact of affordable, campus-based child care on educational outcomes	100,000
Iowa State University	1600 South 16th Street Ames, Iowa 50011	PC	To conduct research designed to improve understanding of eyewitness decision making	100,000
Iowa State University	1600 South 16th Street Ames, Iowa 50011	PC	To fund a randomized controlled trial of the Promoting School-community-university Partnerships to Enhance Resilience program	200,000
Ithaca Harbors, Inc	101 Greenwich Street, 18th Floor New York, New York 10006	PC	To support the long-term follow-up of a randomized controlled trial of an advising program for college students	48,699
James B Hunt Jr Institute for Educational Leadership and Policy Foundation, Inc	1000 Park Forty Plaza, Suite 280 Durham, North Carolina 27713	PC	To host trainings for state legislators in North Carolina and Missouri on retirement policy	96,550
John F. Finn Institute for Public Safety, Inc	421 New Karner Road, Suite 12 Albany, New York 12205	PC	To conduct research on police body-worn cameras	160,000
John Jay College Foundation	524 West 59th Street, 623T New York, New York 10019	PC	To fund a randomized controlled trial of the My Brother's Keeper Success Mentors and Student Support Initiative	10,000
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To promote the adoption of a waste-free prescription drug formulary by private corporations in place of the current drug rebate system	433,977
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To evaluate the consequences of state policies limiting opioid prescriptions	130,000
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To evaluate emerging health system and payer interventions to reduce overuse of postsurgical opioid prescribing for acute pain	100,000
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To (i) assess beliefs and attitudes about medication treatment for opioid use disorder ("MOUD") among decision makers within the criminal justice system and (ii) evaluate the Delaware Department of Corrections' MOUD program, with the overall goal of increasing the evidence base of effective treatment options for opioid use disorders	100,000

LAURA AND JOHN ARNOLD FOUNDATION
 2019 FORM 990-PF
 PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To fund a randomized controlled trial of the My Brother's Keeper Success Mentors and Student Support Initiative	35,200
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To support the development of a tool that rates the transparency of evidence-based programs and social intervention evaluations	100,642
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To develop and disseminate a standardized data structure for National Institute on Drug Abuse Treatment Clinical Trials Network data	250,989
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To conduct research and develop recommendations to improve the United States Department of Health and Human Services Organ Procurement and Transplantation Network system	51,225
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To analyze the frequency of predatory medical billing practices by hospitals that sue and garner the wages of low wage patients and increase awareness of these practices to inform policy changes that protect patients	250,000
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To develop and disseminate research on policy options that maximize access to and affordability of prescription drugs without stifling innovation	1,000,000
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To provide general operating support for the Center for Research and Reform in Education	100,000
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To fund a study to estimate the impacts of school-based vision services in Baltimore City Public Schools	24,889
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To provide nonpartisan policy recommendations and technical support to interested states related to reducing health care prices	370,619
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To develop research on policy options that maximize access to and affordability of prescription drugs	710,289
Johns Hopkins University	3910 Keswick Road, Suite N2100 Baltimore, Maryland 21211	PC	To conduct a randomized controlled trial on impacts of school-based vision care services in Chicago Public Schools	248,481
Justice Policy Institute	1012 14th Street NW, Suite 600 Washington, DC 20005	PC	To create a report on the DC Department of Corrections Young Men Emerging unit	25,000
Juvenile Law Center	1800 John F. Kennedy Boulevard, 1900B Philadelphia, Pennsylvania 19103	PC	To support reforms related to the use of fines and fees in the juvenile justice system	400,000
Kaiser Foundation Health Plan of Washington	2701 NW Vaughn Street, Suite 490 Portland, Oregon 97210	PC	To evaluate a program designed to improve the value of pharmaceutical care	81,000
Lawyers' Committee for Civil Rights of the San Francisco Bay Area	131 Steuart Street, Suite 400 San Francisco, California 94105	PC	To document municipal infraction enforcement and the debt burden it produces in six California counties	94,200
Legal Action Center of the City of New York Inc	225 Varick Street, 4th Floor New York, New York 10014	PC	To conduct research into the prevalence and costs of specific limitations on substance use disorder treatment services and evidence of violations of the Mental Health Parity and Addiction Equity Act and create nonpartisan, educational resources to increase awareness, educate policymakers, and support greater enforcement of the Act, with the overall goal of increasing access to high-quality substance use disorder treatment	155,000
Long-Term Quality Alliance	1666 K Street NW, Suite 1100 Washington, DC 20006	PC	To study how health care coverage and the integration of long-term services and supports impact medical spending, health outcomes, and quality of life for people with functional limitations	20,503

LAURA AND JOHN ARNOLD FOUNDATION
2019 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Louisiana Department of Health	P O Box 629 Baton Rouge, Louisiana 70821	GOV	To support the development and launch of Louisiana's Hepatitis C subscription payment model to acquire Hepatitis C medication at an affordable cost for its Medicaid and correctional populations	650,000
Manatt, Phelps and Phillips, LLP	1050 Connecticut Avenue Washington, DC 20010	NC	To provide nonpartisan policy recommendations and technical assistance to the New Jersey Department of Human Services to improve access to family planning services in the State of New Jersey, and create and broadly disseminating a toolkit for other states to assess strategies to improve their state family planning policies, with the overall goal of developing and implementing policy solutions to increase access to high-quality contraceptive care	75,036
Manatt, Phelps and Phillips, LLP	1050 Connecticut Avenue Washington, DC 20010	NC	To assess the DC's reproductive health policies and provide technical assistance	200,000
Manatt, Phelps and Phillips, LLP	1050 Connecticut Avenue Washington, DC 20010	NC	To draft a report that analyzes the implications of a Medicaid buy-in option in the State of New Mexico	115,000
Manatt, Phelps and Phillips, LLP	1050 Connecticut Avenue Washington, DC 20010	NC	To develop an educational guide on opioid use disorder treatment and Medicaid policies to expand access to evidence-based treatment	275,756
Manatt, Phelps and Phillips, LLP	1050 Connecticut Avenue Washington, DC 20010	NC	To convene stakeholders to discuss policy solutions for addressing high health care prices	200,000
Manhattan Institute for Policy Research, Inc	52 Vanderbilt Avenue New York, New York 10017	PC	To help enhance SchoolGrades.org	5,000
Manhattan Institute for Policy Research, Inc	52 Vanderbilt Avenue New York, New York 10017	PC	To support creation of a brief evaluating metrics for student success	29,286
Maryland Citizens Health Initiative Education Fund, Inc	2600 St Paul Street Baltimore, Maryland 21218	PC	To educate the public about a recently enacted law that prohibits price gouging in the sale of essential generic medications, along with other efforts states can take to impact rising drug costs	281,159
Massachusetts Institute of Technology	77 Massachusetts Avenue, Suite 49-3 Cambridge, Massachusetts 02139	PC	To conduct a study evaluating whether data from unified enrollment systems can be used to rigorously measure school quality	646,285
Massachusetts Institute of Technology	77 Massachusetts Avenue, Suite 49-3 Cambridge, Massachusetts 02139	PC	To support the State and Local Government Innovation Initiative at the Abdul Latif Jameel Poverty Action Lab's regional office in North America	1,637,396
Massachusetts Institute of Technology	77 Massachusetts Avenue, Suite 49-3 Cambridge, Massachusetts 02139	PC	To support Abdul Latif Jameel Poverty Action Lab's project to register and publish existing randomized controlled trials and train researchers on best practices in research transparency	35,271
Massachusetts Institute of Technology	77 Massachusetts Avenue, Suite 49-3 Cambridge, Massachusetts 02139	PC	To support the Abdul Latif Jameel Poverty Action Lab's North America regional office	2,330,094
Massachusetts Institute of Technology	77 Massachusetts Avenue, Suite 49-3 Cambridge, Massachusetts 02139	PC	To support a randomized controlled trial on Clinical Decision Support systems intended to reduce the amount of unnecessary imaging in health care	266,751
Massachusetts Institute of Technology	77 Massachusetts Avenue, Suite 49-3 Cambridge, Massachusetts 02139	PC	To research Medicare payment policy changes to reduce low-value post-acute care	250,000
Mathematica Inc	600 Alexander Park Princeton, New Jersey 8540	NC	To extend a long-term follow-up of a randomized controlled trial evaluation of KIPP charter middle schools	177,131
Mathematica Inc	600 Alexander Park Princeton, New Jersey 8540	NC	To evaluate the impact of education reforms in Washington, D C on student outcomes	92,118

Laura and John Arnold Foundation
 2019 Form 990-PF
 Part XV, Line 3A - Grants Paid

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Mathematica Inc	600 Alexander Park Princeton, New Jersey 8540	NC	To support a randomized controlled trial to evaluate a program designed to reduce repeat pregnancies	475,574
Mathematica Inc	600 Alexander Park Princeton, New Jersey 8540	NC	To fund a randomized controlled trial of the federal Job Corps program	359,459
Mathematica Inc	600 Alexander Park Princeton, New Jersey 8540	NC	To conduct long-term follow-up of a randomized controlled trial of the Teen Options to Prevent Pregnancy program	165,000
MDRC	16 E 34th Street, 19th Floor New York, New York 10016	PC	To study the impacts of preschool, summer curricula, and enhanced teacher training on early childhood learning	5,395,806
MDRC	16 E 34th Street, 19th Floor New York, New York 10016	PC	To evaluate the Housing First with Shared Medical Appointment model in three jurisdictions	128,000
MDRC	16 E 34th Street, 19th Floor New York, New York 10016	PC	To enable new cross-study analyses in order to inform the design and implementation of higher education policies and programs, by creating individual participant-level and study-level databases including data from past randomized controlled trials	100,000
MDRC	16 E 34th Street, 19th Floor New York, New York 10016	PC	To launch Phase I of the Scaling Up Community College Efforts for Student Success (SUCCESS) project to pilot and evaluate lower-cost student success interventions to improve such outcomes as completion and time-to-degree	1,967,051
MDRC	16 E 34th Street, 19th Floor New York, New York 10016	PC	To support a feasibility study of Growth Sector's STEM Core program	175,779
MDRC	16 E 34th Street, 19th Floor New York, New York 10016	PC	To support an ongoing randomized controlled trial of the Accelerated Study in Associate Programs model and its implementation at three community colleges in Ohio	80,000
MDRC	16 E 34th Street, 19th Floor New York, New York 10016	PC	To evaluate the impact of Child First, a home visiting program for low-income families with young children at high risk of emotional, behavioral, or developmental problems and/or maltreatment	799,434
MDRC	16 E 34th Street, 19th Floor New York, New York 10016	PC	To fund a replication randomized controlled trial of the City University of New York's Accelerated Study in Associate Programs at Westchester Community College	150,000
MDRC	16 E 34th Street, 19th Floor New York, New York 10016	PC	To support planning efforts to conduct a randomized-controlled trial evaluation of the LifeSkills Training substance abuse prevention program	114,097
MDRC	16 E 34th Street, 19th Floor New York, New York 10016	PC	To support a randomized controlled trial of Career Academies in California high schools	600,000
Meadows Mental Health Policy Institute for Texas	2800 Swiss Avenue Dallas, Texas 75204	PC	To fund randomized controlled trials of Assertive Community Treatment and Forensic Assertive Community Treatment in Dallas County, Texas	463,680
Measured Decisions, Inc	3006 Shady Oak Lane Verona, Wisconsin 53593	NC	To fund a replication randomized controlled trial of an intervention designed to improve academic achievement and help students successfully transition to middle school	35,300
Measures for Justice Institute	421 University Avenue Rochester, New York 14607	PC	To improve develop an online tool that can be used to evaluate the criminal justice process on a county-by-county basis	44,040
Measures for Justice Institute	421 University Avenue Rochester, New York 14607	PC	To support the nonpartisan implementation of a recently-enacted Florida law	337,162
Medicines360	353 Sacramento Street, Suite 300 San Francisco, California 94111	PC	To examine key factors that impact the availability of long acting reversible contraceptive methods at Federally Qualified Health Centers and disseminating key findings	50,000

Laura and John Arnold Foundation
 2019 Form 990-PF
 Part XV, Line 3A - Grants Paid

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Memorial Sloan-Kettering Cancer Center	1275 York Avenue New York, New York 10065	PC	To support Grantee's continued efforts to communicate viable, evidence-based methodologies and solutions to drug pricing that balance patient affordability with sustained incentives for innovation	1,500,000
Memorial Sloan-Kettering Cancer Center	1275 York Avenue New York, New York 10065	PC	To build a program that communicates evidence-based methodologies for drug pricing and payment	375,969
Michigan State University	535 Chestnut Road, Room 300 East Lansing, Michigan 48824	PC	To create a non-partisan policy research center to support education research across the State of Michigan	352,486
Minnesota Department of Human Services	P O Box 64977 Street Paul, Minnesota 55164	GOV	To fund the implementation and scale up of a substance use prevention program for middle school students	240,551
Multnomah County	501 SE Hawthorne Boulevard, Suite 250 Portland, Oregon 97214	GOV	To implement four dashboards for Oregon counties that will provide parole and probation officers with data about their clients and caseloads	300,000
National Academy of Sciences	500 Fifth Street NW, Keck 1057 Washington, DC 20001	PC	To support the efforts of the Collaborative on Countering the U S Opioid Epidemic to increase coordination between the government, private sector, and nonprofit stakeholders	80,000
National Academy of Sciences	500 Fifth Street NW, Keck 1057 Washington, DC 20001	PC	To support the Committee on Law and Justice	43,738
National Academy of Sciences	500 Fifth Street NW, Keck 1057 Washington, DC 20001	PC	To host a workshop examining the role of the National Institutes of Health in prescription drug innovation in order to increase patient access to products derived in part from federal research	180,000
National Academy of Social Insurance	1200 New Hampshire Avenue NW, Suite 830 Washington, DC 20036	PC	To host a convening study panel to examine various ways to change Medicare eligibility and inform and improve health care policies	37,000
National Alliance for Model State Drug Laws	100 1/2 E Main Street, Suite C Manchester, Iowa 52057	PC	To develop and disseminate nonpartisan, resources on the issue of predatory and unethical substance use disorder treatment practices and work with key stakeholders and community partners to support state-level policy proposals	5,000
National Association of Counties Research Foundation	660 N Capitol Street NW, Suite 400 Washington, DC 20001	PC	To support the continuation and expansion of the Data-Driven Justice project	25,000
National Association of Counties Research Foundation	660 N Capitol Street NW, Suite 400 Washington, DC 20001	PC	To continue management, support, and expansion of the Data-Driven Justice project	250,000
National Bureau of Economic Research, Inc	1050 Massachusetts Avenue Cambridge, Massachusetts 02138	PC	To support the creation of an economic research consortium that will study the U S health care system and produce tools to improve efficiency and promote best practices	100,000
National Center for Access to Justice Inc	150 W 62nd Street, Suite 7-165 New York, New York 10023	PC	To expand the Justice Index to include fines and fees policies	200,000
National Center for State Courts	300 Newport Avenue Williamsburg, Virginia 23185	PC	To help reduce the amount of time it takes to process criminal cases	300,000
National Center for Youth Law	1212 Broadway, Suite 600 Oakland, California 94612	PC	To build the capacity of state, local, and national organizations to reform juvenile fines and fees practices	335,000
National Coalition on Health Care	1120 G Street NW, Suite 810 Washington, DC 20005	PC	To host an educational, bipartisan briefing of congressional members and staff to discuss prescription-drug pricing arbitration models	40,000
National Conference of State Legislatures	7700 East First Place Denver, Colorado 80230	GOV	To provide key stakeholders with resources to support evidence-based decision making related to criminal justice policy	295,000

Laura and John Arnold Foundation
 2019 Form 990-PF
 Part XV, Line 3A - Grants Paid

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
National Conference of State Legislatures	7700 East First Place Denver, Colorado 80230	GOV	To provide stakeholders with educational resources to support evidence-based decision making related to higher education policy	100,000
National Conference of State Legislatures	7700 East First Place Denver, Colorado 80230	GOV	To provide stakeholders with educational resources to support evidence-based decision making related to prescription drug pricing	114,427
National Conference of State Legislatures	7700 East First Place Denver, Colorado 80230	GOV	To educate policymakers and stakeholders on drug pricing and surprise billing policies	150,000
National Consumer Law Center	7 Winthrop Square, 4th Floor Boston, Massachusetts 02110	PC	To bring consumer law expertise to identifying and challenging harmful debt and monetary sanction practices	250,000
National Criminal Justice Association	720 7th Street NW, 3rd Floor Washington, DC 20001	PC	To continue the National Criminal Justice Reform Project	1,080,000
National Governors Association Center for Best Practices	444 N Capitol Street, Suite 267 Washington, DC 20001	PC	To provide strategic planning assistance to states that use federal funding to implement evidence-based criminal justice reforms	20,858
National Governors Association Center for Best Practices	444 N Capitol Street, Suite 267 Washington, DC 20001	PC	To continue the National Criminal Justice Reform Project	1,030,000
National Governors Association Center for Best Practices	444 N Capitol Street, Suite 267 Washington, DC 20001	PC	To create a collaborative of states to learn about the pharmaceutical market and share state strategies to balance healthcare access and costs	400,000
National Governors Association Center for Best Practices	444 N Capitol Street, Suite 267 Washington, DC 20001	PC	To convene national experts, key stakeholders, and state policymakers to discuss strategies for states to improve consumer protections related to out-of-network billing	42,936
National Juvenile Defender Center	1350 Connecticut Avenue, Suite 304 Washington, DC 20036	PC	To develop standardized protocols and judicial trainings that support nonpartisan reform of juvenile fines and fees	595,808
National Juvenile Defender Center	1350 Connecticut Avenue, Suite 304 Washington, DC 20036	PC	To reduce the use of monetary bail and pretrial detention for juvenile defendants	261,350
National Opinion Research Center	55 E Monroe Street, 20th Floor Chicago, Illinois 60603	PC	To produce practical, non-partisan guidance on developing a rigorous, objective and sustainable firearms data architecture	300,000
National Skills Coalition	1730 Rhode Island Avenue NW, Suite 712 Washington, DC 20036	PC	To provide technical assistance and public education to improve the availability of workforce data to assess and improve higher education and workforce programs	122,550
National Student Legal Defense Network	1015 15th Street NW, Suite 600 Washington, DC 20005	PC	To support strategic litigation and regulatory engagement related to predatory lending practices and consumer financial protection issues in the for-profit college industry, as well as provide a non-partisan education resource on potential reforms in the for-profit college industry	851,048
National Wildlife Federation	11100 Wildlife Center Drive Reston, Virginia 20190	PC	To conduct research on the environmental and agricultural impacts of the Federal Renewable Fuel Standard	150,000
New America Foundation	740 15th Street NW, Suite 900 Washington, DC 20005	PC	To serve as a nonpartisan, educational resource on higher education policy issues	60,000
New Venture Fund	1201 Connecticut Avenue NW, Suite 300 Washington, DC 20036	PC	To support the Fines and Fees Justice Center, with the overall goal of increasing fairness in the criminal justice system	400,000
New Venture Fund	1201 Connecticut Avenue NW, Suite 300 Washington, DC 20036	PC	To support the Scholarly Publishing and Academic Resources Coalition	100,000

LAURA AND JOHN ARNOLD FOUNDATION
2019 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
New York University	25 West 4th Street, 4th Floor New York, New York 10012	PC	To create a map representing the evidence base for opioid use disorder treatment in the United States by categorizing interventions by characteristics like primary outcome, length of follow up, population, and context	13,986
New York University	25 West 4th Street, 4th Floor New York, New York 10012	PC	To support a randomized controlled trial evaluating the effectiveness of extended-release naltrexone on opioid relapse and recidivism in the New York City pre-release jail population	223,916
New York University	25 West 4th Street, 4th Floor New York, New York 10012	PC	To create a novel dataset from publicly available jail rosters and criminal case records and assess the causal impacts of pretrial decisions	200,000
New York University	25 West 4th Street, 4th Floor New York, New York 10012	PC	To fund a low-cost randomized controlled trial of career and technical education programs in New York City high schools	64,973
New York University	25 West 4th Street, 4th Floor New York, New York 10012	PC	To conduct a pilot of the Graduated Reintegration approach to re-entry	100,000
New York University	25 West 4th Street, 4th Floor New York, New York 10012	PC	To analyze and improve the quality of cost-benefit analyses in policing	25,000
Nonprofit Finance Fund	5 Hanover Square, 9th Floor New York, New York 10004	PC	To advance Pay for Success Financing	180,000
Nordic Cochrane Centre	Blegdamsvej 9 Copenhagen, Denmark 2100	GOV	To conduct meta-research on clinical trial protocols in order to promote transparency and openness in medical research	57,825
North Carolina State University	NCSU Box 7606 Raleigh, North Carolina 27695	GOV	To support research on annuity options offered by state-managed retirement systems	10,979
Northeastern University	360 Huntington Avenue Boston, Massachusetts 02115	PC	To develop new law enforcement strategies to address illicit opioid markets in Massachusetts	500,000
Northwestern University	633 Clark Street Evanston, Illinois 60208	PC	To identify school practices and policies that are promising candidates for rigorous evaluation	31,870
Nuclear Innovation Alliance	1830 Fountain Drive, Unit 1304 Reston, Virginia 20190	PC	To provide recommendations on federal energy policies that advance the development and lower the cost of emission-free energy sources	146,800
Ohio State University Foundation	1480 West Lane Avenue Columbus, Ohio 43221	PC	To conduct a random assignment intervention that uses an integrated service delivery model to provide case management and employment services to low-income adults in Ohio	100,000
Oregon Health & Science University	3181 SW Sam Jackson Park Road Portland, Oregon 97239	GOV	To evaluate the impact of providing incentives for contraceptive use	200,000
Oregon Health & Science University	3181 SW Sam Jackson Park Road Portland, Oregon 97239	GOV	To fund the State Medicaid Alternative Reimbursement and Purchasing Test for High Cost Drugs (SMART-D) and support the development of value-based alternative drug purchasing models through pharmacy policy interventions, technical assistance, and education	500,000
Oregon Health & Science University	3181 SW Sam Jackson Park Road Portland, Oregon 97239	GOV	To support the Donor Management Research Initiative in its efforts to maximize the quality and quantity of life-saving organs, and to build a national, web-based donor management data registry	570,864
Pacific Business Group on Health	275 Battery Street, Suite 480 San Francisco, California 94111	PC	To launch an employer-led initiative to highlight the impact of high health care prices on businesses	175,000

Laura and John Arnold Foundation
 2019 Form 990-PF
 Part XV, Line 3A - Grants Paid

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Pacific Business Group on Health	275 Battery Street, Suite 480 San Francisco, California 94111	PC	To support Grantee's efforts to identify and disseminate innovative alternative payment and care delivery models and strengthen the role of employer purchasers	350,000
Partners for College Affordability and Public Trust	1629 K Street NW Washington, DC 20006	PC	To provide general operating support	175,000
Patients For Affordable Drugs, Inc	1875 K Street NW Washington, DC 20006	PC	To provide operating support for the Patient-Centered Coalition Initiative	1,200,000
Per Scholas, Inc	804 E 138th Street, Suite 2 Bronx, New York 10454	PC	To scale up Grantee's workforce development program that provides information technology training for low-income adults	685,600
PFM Group Consulting LLC	601 Poydras Street, Suite 1875 New Orleans, Louisiana 70130	NC	To support a pilot initiative to reduce local governments' reliance on criminal fines and fees as a revenue source	1,000,000
Physician Affiliate Group of New York, P C	55 W 125th Street New York, New York 10027	PC	To support the delivery of Teen Options to Prevent Pregnancy in NYC hospitals	515,479
Pivot Learning Partners	500 12th Street, Suite 350 Oakland, California 94607	PC	To conduct research on public pension plan and benefit obligations costs in the state of California	15,000
Police Executive Research Forum	1120 Connecticut Avenue NW, Suite 930 Washington, DC 20036	PC	To assess the impact of Martin v Boise on the issue of homelessness	100,000
Police Foundation	2550 S Clark Street, Suite 1130 Arlington, Virginia 22202	PC	To study crisis response within small law enforcement agencies	225,000
Police Foundation	2550 S Clark Street, Suite 1130 Arlington, Virginia 22202	PC	To fund a randomized controlled trial to evaluate procedural justice training and "hot spot" policing	200,000
Potomac Healthcare Foundation	P O Box 136 Emmitsburg, Maryland 21727	PF	To conduct a pilot randomized controlled trial to evaluate an intervention to treat youth opioid addiction	86,304
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To support the Project on Predatory Student Lending in its efforts to challenge predatory lending practices in the for-profit college industry	1,699,596
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To develop and disseminate a guide for state and local government officials on allocating opioid litigation funds	250,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To support the Civic Analytics Network, a network of 20 local government Chief Data Officers	134,526
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To conduct comprehensive legal and policy research regarding how each state uses community supervision to monitor and enforce payment of criminal justice debt	190,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To support a research fellow at the federal agency level to demonstrate new methods in state-federal research partnerships and behaviorally-informed interventions	10,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To create a database that will support research on the impact of charter school attendance on long-term educational outcomes for students	334,487
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To provide technical support to community partners in state and local jurisdictions that are considering sugar-sweetened beverage taxes and other policies	153,749
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To conduct research on day fines in Germany	248,844

LAURA AND JOHN ARNOLD FOUNDATION
2019 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To evaluate existing barriers to the introduction of generic drugs for opioid use disorder treatment to the market	4,777
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To provide state and local jurisdictions with technical assistance aimed at improving government agency service delivery and procurement systems	2,216,678
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To support the operations of the Harvard HealthCare Markets and Regulations Lab	3,014,219
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To fund a low-cost randomized controlled trial of a cognitive behavioral therapy curriculum for youth participating in Job Corps	4,637
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To evaluate policies intended to lower prices for health care services including a public option and price regulation	65,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To examine the costs and benefits of vertical integration among health care providers in Massachusetts in an effort to inform policies that regulate and increase the value of potential vertical mergers and acquisitions while mitigating price increases	250,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To evaluate the effects on spending and use of an employer-based reward program for patients that choose lower-priced healthcare providers	100,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To analyze the impact of patient assistance programs on prescription drug pricing in the United States	100,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To support the Harvard Kennedy School's Government Performance Lab	968,271
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To help create the Access to Justice Lab, which will promote the use of rigorous evidence about what works in the legal system	1,770,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, Suite 3 Cambridge, Massachusetts 02138	PC	To conduct research and educate key stakeholders about the impact of local control on municipal public finance in Illinois	8,461
Pretrial Justice Institute	200 East Pratt Street, Suite 4100 Baltimore, Maryland 21202	PC	To support the 2019 Pretrial Innovators Convention	10,000
Pretrial Justice Institute	200 East Pratt Street, Suite 4100 Baltimore, Maryland 21202	PC	To develop an online database of news coverage related to pretrial reform	21,750
Pretrial Justice Institute	200 East Pratt Street, Suite 4100 Baltimore, Maryland 21202	PC	To conduct a scan of local pretrial policies and practices	37,079
Pro Publica, Inc	155 Avenue of the Americas, 13th Floor New York, New York 10013	PC	To launch, with Texas Tribune, a co-branded investigative unit focused on the state of Texas	516,574
Public Health Management Corporation	1500 Market Street, Centre Square East Philadelphia, Pennsylvania 19102	PC	To develop and study a pre-arrest police protocol that diverts substance-involved offenders in Philadelphia to treatment	100,000
Public Health Management Corporation	1500 Market Street, Centre Square East Philadelphia, Pennsylvania 19102	PC	To study the integration of trained "Peer Recovery Specialists" into Philadelphia's adult drug treatment court	38,711
Public Justice Foundation	1620 L Street NW, Suite 630 Washington, DC 20036	PC	To support strategic litigation to combat the criminalization of poverty	270,000

LAURA AND JOHN ARNOLD FOUNDATION
 2019 FORM 990-PF
 PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Public Policy Institute of California	500 Washington Street, Suite 600 San Francisco, California 94111	PC	To analyze more than thirty years of arrest data from the State of California	330,000
Public Policy Institute of California	500 Washington Street, Suite 600 San Francisco, California 94111	PC	To analyze the use and effects of flash incarceration in California, with the overall goal of reorienting community supervision towards promoting success by evaluating state policy changes	125,000
RAND Corporation	1776 Main Street, P O Box 2138 Santa Monica, California 90407	PC	To support RAND's Research Symposium	25,000
RAND Corporation	1776 Main Street, P O Box 2138 Santa Monica, California 90407	PC	To provide support for the National Collaborative on Gun Violence Research	925,582
RAND Corporation	1776 Main Street, P O Box 2138 Santa Monica, California 90407	PC	To conduct research on gun policy and develop tools to support public education and discourse	870,000
RAND Corporation	1776 Main Street, P O Box 2138 Santa Monica, California 90407	PC	To conduct a randomized controlled trial in Allegheny County, Pennsylvania on the impact of having a public defender at a criminal defendant's preliminary arraignment	250,000
RAND Corporation	1776 Main Street, P O Box 2138 Santa Monica, California 90407	PC	To analyze information about public pension systems	20,000
Reading Holiday Project, Inc	75 Maiden Lane, Suite 1102 New York, New York 10038	PC	To provide tote bags to support the Reading Holiday Project, Inc	1,000
Reason Foundation	5737 Mesmer Avenue Los Angeles, California 90230	PC	To support a technical assistance, research, and educational outreach project aimed at improving state and municipal pension systems	1,450,000
Rector & Visitors of the University of Virginia	1001 Emmet Street N Charlottesville, Virginia 22903	PC	To support a randomized controlled trial evaluating the impact of Core Knowledge Charter Schools in Denver, Colorado	236,734
Rector & Visitors of the University of Virginia	1001 Emmet Street N Charlottesville, Virginia 22903	PC	To conduct interdisciplinary research on eyewitness identification	200,000
Regents of the University of Colorado	1800 N Grant Street, Suite 400 Denver, Colorado 80203	PC	To provide operating support for a web-based registry of evidence-based youth development programs	531,476
Regents of the University of Colorado	1800 N Grant Street, Suite 400 Denver, Colorado 80203	PC	To fund a follow-up randomized controlled trial comparing full-day preschool to half-day preschool	82,900
Regents of the University of Michigan	500 S State Street Ann Arbor, Michigan 48109	PC	To help establish the Youth Policy Lab	574,714
Regents of the University of Michigan	500 S State Street Ann Arbor, Michigan 48109	PC	To improve empirical criminal justice research	145,091
Regents of the University of Michigan	500 S State Street Ann Arbor, Michigan 48109	PC	To conduct a randomized controlled trial of the Michigan Contraceptive Access Research and Evaluation program	501,912
Regents of the University of Minnesota	1300 S 2nd Street, Suite 206 Minneapolis, Minnesota 55454	GOV	To develop a new, evidence-informed approach to setting conditions of supervision aligned with risk, need, and responsibility	255,000
Regents of the University of Minnesota	1300 S 2nd Street, Suite 206 Minneapolis, Minnesota 55454	GOV	To support efforts to improve the quality of health care news and information available to consumers	6,264

Laura and John Arnold Foundation
 2019 Form 990-PF
 Part XV, Line 3A - Grants Paid

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Regents of the University of Minnesota	1300 S 2nd Street, Suite 206 Minneapolis, Minnesota 55454	GOV	To conduct a randomized controlled trial on the impact of a grocery assistance program in Minnesota	935,905
Regents of the University of Minnesota	1300 S 2nd Street, Suite 206 Minneapolis, Minnesota 55454	GOV	To support the Heller-Hurwicz Economics Institute	20,000
Regents of the University of New Mexico	1 University of New Mexico Albuquerque, New Mexico 87131	GOV	To evaluate the impact of the Extension for Community Healthcare Outcomes model on patients with opioid use disorder	18,210
Research for Action	3701 Chestnut Street, 6W Philadelphia, Pennsylvania 19104	PC	To support a randomized controlled trial of a coaching program designed to increase college retention and completion	117,500
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, New York 10036	PC	To support the June 2019 NIMSC National Conference	15,000
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, New York 10036	PC	To fund the Misdemeanor Justice Project	272,587
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, New York 10036	PC	To develop, launch, and manage the Reducing Revocations Challenge	1,570,000
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, New York 10036	PC	To support the Institute for Innovation in Prosecution at John Jay College of Criminal Justice	130,000
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, New York 10036	PC	To support the Institute for State and Local Governance	140,000
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, New York 10036	PC	To support the Misdemeanor Justice Project at John Jay College	590,000
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, New York 10036	PC	For the purpose of analyzing the impact of New York's Criminal Justice Reform	230,000
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, New York 10036	PC	To fund a low-cost randomized controlled trial of Accelerate, Compete, and Engage, a program that provides academic, personal, and financial supports to predominantly low-income, full-time college students	42,429
Research Triangle Institute	3040 E Cornwallis Road, P O Box 12194 Research Triangle Park, North Carolina 27709	SO I	To conduct the first comprehensive study of law enforcement agencies are responding to the opioid overdose crisis	502,146
Research Triangle Institute	3040 E Cornwallis Road, P O Box 12194 Research Triangle Park, North Carolina 27709	SO I	To conduct a rigorous evaluation of a harm reduction program for individuals with opioid use disorder	538,805
Research Triangle Institute	3040 E Cornwallis Road, P O Box 12194 Research Triangle Park, North Carolina 27709	SO I	To conduct research on the Public Safety Assessment in up to ten research and implementation sites	2,505,322
Research Triangle Institute	3040 E Cornwallis Road, P O Box 12194 Research Triangle Park, North Carolina 27709	SO I	To evaluate how first responders can use their existing operational 911 data to better serve individuals in crisis	287,250
Resources Legacy Fund	555 Capitol Mall, Suite 1095 Sacramento, California 95814	PC	To launch the Student Borrower Protection Center and the Student Loan Law Project	401,294
Results for America	1875 Connecticut Avenue NW, 10th Floor Washington, DC 20009	PC	To support Results for America projects that advance government's use of evidence and data	100,000

LAURA AND JOHN ARNOLD FOUNDATION
 2019 FORM 990-PF
 PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Results for America	1875 Connecticut Avenue NW, 10th Floor Washington, DC 20009	PC	To support Grantee's 2020 What Works Presidential Readiness Project, a project intended to increase the likelihood that evidence-based policy ideas will be embraced by the next President and her/his team	100,000
Results for America	1875 Connecticut Avenue NW, 10th Floor Washington, DC 20009	PC	To promote evidence-based approaches to innovation and completion in higher education	150,000
Rhode Island Hospital	593 Eddy Street Providence, Rhode Island 02903	PC	To support a randomized controlled trial of a emergency department intervention to treat patients who are admitted for opioid overdose	260,598
Roca Inc	101 Park Street Chelsea, Massachusetts 02150	PC	To support an extension of the Massachusetts Juvenile Justice Pay for Success Project	477,234
Roca Inc	101 Park Street Chelsea, Massachusetts 02150	PC	To implement and evaluate a cognitive behavior therapy skills development curriculum	400,000
R Street Institute	1212 New York Avenue NW, Suite 900 Washington, DC 20005	PC	To conduct educational outreach to impact community supervision policy	125,000
R Street Institute	1212 New York Avenue NW, Suite 900 Washington, DC 20005	PC	To research state adoption of crisis response programs and pre-arrest diversion strategies	80,000
Rutgers, The State University of New Jersey	100 Struble Road Branchville, New Jersey 07826	PC	To fund a second evaluation of New Jersey's Opioid Overdose Recovery Program, which links opioid overdose survivors to medication-assisted treatment	450,000
Rutgers, The State University of New Jersey	100 Struble Road Branchville, New Jersey 07826	PC	To provide technical assistance to the New Jersey Criminal Sentencing and Disposition Commission	267,000
Rutgers University Foundation	335 George Street New Brunswick, New Jersey 08901	PC	To evaluate the effectiveness of New Jersey's Opioid Overdose Recovery Program in linking opioid overdose survivors to medication-assisted treatment	20,000
SAGA Innovations	313 Washington Street, Suite 301 Newton, Massachusetts 02458	PC	To develop and implement a literacy tutoring program for at-risk students in elementary school	25,000
San Francisco Pretrial Diversion Project, Inc	236 8th Street, Suite E San Francisco, California 94103	PC	To deliver training on pretrial decisions, risk assessment, and implementation of the Public Safety Assessment	6,250
San Mateo County Community College District	3300 College Drive San Bruno, California 94066	GOV	To support the replication of the City University of New York's Accelerated Study in Associate Programs at Skyline College	50,000
SEARCH Group, Inc	1900 Point West Way, Suite 161 Sacramento, California 95815	PC	To build a research agenda for a broad spectrum of criminal justice issues	55,000
Shatterproof	101 Merritt 7, 1st Floor Norwalk, Connecticut 06851	PC	To pilot a public online rating system for substance use disorder treatment programs	464,404
Small Business Majority Foundation Inc	4000 Bridgeway, Suite 305 Sausalito, California 94965	PC	To provide targeted employer and employee outreach, education and engagement in order to increase participation in the CalSavers state auto-IRA program	200,000
Small Business Majority Foundation Inc	4000 Bridgeway, Suite 305 Sausalito, California 94965	PC	To engage Colorado-based small business owners around health care reforms	100,000
Small Business Majority Foundation Inc	4000 Bridgeway, Suite 305 Sausalito, California 94965	PC	To support employer outreach and education about the CalSavers state auto-IRA program	281,155

LAURA AND JOHN ARNOLD FOUNDATION
 2019 FORM 990-PF
 PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Society for Research on Educational Effectiveness	1000 Thomas Jefferson Street NW Washington, DC 20007	PC	To support a randomized controlled trial evaluating methods to increase the number of studies registered in the Registry of Efficacy and Effectiveness Studies	19,583
Southern Center for Human Rights	83 Poplar Street NW Atlanta, Georgia 30303	PC	To support efforts challenging the criminalization of poverty and wealth-based discrimination in detention and access to services in Georgia	265,000
Southern Poverty Law Center Inc	400 Washington Avenue Montgomery, Alabama 36104	PC	To support a court-debt advocacy network	147,544
Southern Poverty Law Center Inc	400 Washington Avenue Montgomery, Alabama 36104	PC	To support litigation and public education efforts concerning indigent incarceration and drivers' license suspensions	600,000
Spiros Protopsaltis LLC	23 South Floyd Street Alexandria, Virginia 22304	NC	To conduct research and analysis on online higher education	5,000
Spiros Protopsaltis LLC	23 South Floyd Street Alexandria, Virginia 22304	NC	To produce a report synthesizing the evidence on various existing accountability metrics	22,500
Stand for Children Leadership Center	2121 SW Broadway, Suite 111 Portland, Oregon 97201	PC	To support STAND's work in the state of Louisiana	40,035
State Higher Education Executive Officers Association	3035 Center Green Drive Boulder, Colorado 80301	PC	To support the development and publication of several research projects on state authorization of higher education providers, establishing fundamental empirical research in this area	175,000
Stealth Software Technologies Commercial Inc	12100 Wilshire Boulevard, Suite 800 Los Angeles, California 90025	NC	To support the development of free, open-source cryptographic tools that will allow researchers to securely conduct studies that use sensitive, private datasets	653,305
T1International	6 Little Pheasants Pumphreys Road Cheltenham, Gloucestershire GL53 8EJ	NC	To provide operating support for grantees' efforts to educate the public, patients, and key stakeholders about rising prescription drug prices	170,000
T1International USA	3110 N Pennsylvania Street Indianapolis, Indiana 46205	PC	To support efforts to educate people about rising prescription drug prices	119,775
Teachers College, Columbia University	525 West 120th Street, Box 306 New York, New York 10027-6605	PC	To test four interventions aimed at helping families enrolled in the Section 8 Housing Choice Voucher program find housing in neighborhoods that promote economic opportunity	120,000
Teachers College, Columbia University	525 West 120th Street, Box 306 New York, New York 10027-6605	PC	To support a randomized controlled trial of Federal Work Study within CUNY	150,000
Technical Assistance Collaborative, Inc	31 St James Avenue, Suite 710 Boston, Massachusetts 02116	PC	To develop an educational resource guide to assist State Medicaid Agencies in their efforts to address the opioid crisis	35,721
Temple University-Of the Commonwealth System of Higher Education	1852 N 10th Street, 3rd Floor Philadelphia, Pennsylvania 19122	PC	To develop a tool that provides targeted services to people who are frequently involved with both the criminal justice and health care systems	4,572
Temple University-Of the Commonwealth System of Higher Education	1852 N 10th Street, 3rd Floor Philadelphia, Pennsylvania 19122	PC	To examine the impact of body-worn cameras on prosecutorial misdemeanor filing decisions in Los Angeles	19,684
Temple University-Of the Commonwealth System of Higher Education	1852 N 10th Street, 3rd Floor Philadelphia, Pennsylvania 19122	PC	To produce state databases and technical assistance on policies governing medication-assisted treatment	200,000
Temple University-Of the Commonwealth System of Higher Education	1852 N 10th Street, 3rd Floor Philadelphia, Pennsylvania 19122	PC	To support a feasibility study and pilot trial of a campus hunger relief program at 52 campuses	104,628
Temple University-Of the Commonwealth System of Higher Education	1852 N 10th Street, 3rd Floor Philadelphia, Pennsylvania 19122	PC	To support a feasibility study and pilot trial of on-campus access to public benefits programs and supportive services	197,387

Laura and John Arnold Foundation
 2019 Form 990-PF
 Part XV, Line 3A - Grants Paid

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Temple University-Of the CommonWealth System of Higher Education	1852 N 10th Street, 3rd Floor Philadelphia, Pennsylvania 19122	PC	To support a randomized controlled trial of Tacoma Housing Authority's College Housing Assistance Program, which provides rental assistance vouchers to students at risk of homelessness	64,014
Texas Public Policy Foundation	901 Congress Avenue Austin, Texas 78701	PC	To raise public awareness of reform efforts related to the criminal justice pretrial process and court-imposed fines and fees	20,000
Texas Public Policy Foundation	901 Congress Avenue Austin, Texas 78701	PC	To raise awareness about community supervision practices and reforms	250,000
Texas Tribune, Inc	919 Congress Avenue Austin, Texas 78701	PC	To launch, with Pro Publica, a co-branded investigative unit focused on the state of Texas	253,426
The American Legion	PO Box 1055 Indianapolis, Indiana 46206	PC	To support advocacy for increased accountability in higher education, to improve outcomes for student veterans	125,000
The Board of Trustees of the Leland Stanford Junior University	326 Galvez Street Stanford, California 94305	PC	To support the production of tools to help education nonprofit organizations evaluate the effectiveness of local charter networks	193,130
The Board of Trustees of the Leland Stanford Junior University	326 Galvez Street Stanford, California 94305	PC	To support the Center on Poverty and Inequality	169,012
The Board of Trustees of the Leland Stanford Junior University	326 Galvez Street Stanford, California 94305	PC	To support the Institute for Economic Policy Research in its efforts to conduct research competitions designed to strengthen the body of high-quality research related to social insurance programs, tax policy, state and local government finances, retirement, education, and crime	41,715
The Board of Trustees of the Leland Stanford Junior University	326 Galvez Street Stanford, California 94305	PC	To support the Center for Education Policy Analysis in its evaluation of Ready4K	49,874
The Board of Trustees of the Leland Stanford Junior University	326 Galvez Street Stanford, California 94305	PC	To support the Stanford Institute for Economic Policy Research in its efforts to increase transparency around public sector pension plans	270,045
The Board of Trustees of the Leland Stanford Junior University	326 Galvez Street Stanford, California 94305	PC	To develop a multi-state database documenting debt imprisonment over ten years in five states	340,000
The Board of Trustees of the Leland Stanford Junior University	326 Galvez Street Stanford, California 94305	PC	To support the postdoctoral fellowship program of the Meta-Research Innovation Center at Stanford	224,833
The Board of Trustees of the Leland Stanford Junior University	326 Galvez Street Stanford, California 94305	PC	To support the Center on Poverty and Inequality	30,839
The Board of Trustees of the University of Illinois	506 S Wright Street Urbana, Illinois 61801	PC	To support two replication randomized controlled trials of interventions designed to increase value of higher education	115,815
The Board of Trustees of the University of Illinois	506 S Wright Street Urbana, Illinois 61801	PC	To evaluate the implementation and testing of a syringe service program based model for linking patients with opioid use disorder to medication assisted treatment	173,735
The Board of Trustees of the University of Illinois	506 S Wright Street Urbana, Illinois 61801	PC	To evaluate economic impacts associated with a sugar-sweetened beverage tax enacted in Seattle, Washington	97,168
The Century Foundation, Inc	1 Whitehall Street, 15th Floor New York, New York 10004	PC	To protect consumers and curb predatory student lending practices	93,174
The Century Foundation, Inc	1 Whitehall Street, 15th Floor New York, New York 10004	PC	To provide policy expertise and public education regarding higher education quality and accountability at the federal and state levels	318,072

LAURA AND JOHN ARNOLD FOUNDATION
2019 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
The Chancellor, Masters and Scholars of the University of Oxford	2001 Evans Road Cary, North Carolina 27513	PC	To support the Centre for Evidence-Based Medicine	388,187
The City Fund	6312 Seven Corners, Suite 354 Falls Church, Virginia 22044	PC	To improve the quality of public education in cities across the United States	3,948,847
The City Fund	6312 Seven Corners, Suite 354 Falls Church, Virginia 22044	PC	To provide general operating support	27,565,150
The Energy Foundation	301 Battery Street, 5th Floor San Francisco, California 94111	PC	To support research and technical assistance efforts related to carbon pricing policies in Canada and China	1,521,700
The Foundation for Research on Equal Opportunity	201 W 5th Street, Suite 1100 Austin, Texas 78701	PC	To provide general operating support	100,000
The Foundation for Research on Equal Opportunity	201 W 5th Street, Suite 1100 Austin, Texas 78701	PC	To analyze policies and help inform the policy discussion surrounding hospital prices in highly concentrated markets	210,000
The Justice Management Institute	3033 Wilson Boulevard, Suite 700 Arlington, Virginia 22201	PC	To host a convening in Portland, Oregon regarding the future of the NNCJCC	12,000
The Ladies of Hope Ministries Inc	2023 Caesar Place Bronx, New York 10473	PC	To support the Faces of Women Imprisoned project	25,000
The Lucy Burns Institute	8383 Greenway Boulevard, Suite 600 Middleton, Wisconsin 53562	PC	To distribute nonpartisan educational information about various approaches to election reform	34,000
The Medical College of Wisconsin Inc	8701 Watertown Plank Road Milwaukee, Wisconsin 53226	PC	To provide the Bureau of Justice Assistance with the staff capacity to adequately administer a competitive grant program designed to assist populations at risk from the opioid epidemic	80,000
The Miriam Hospital	164 Summit Avenue Providence, Rhode Island 02906	PC	To evaluate the impact of the Rhode Island Department of Corrections' Medication for Addiction Treatment Program on post-release offenders with opioid use disorder	45,000
The National Campaign to Prevent Teen and Unplanned Pregnancy	1776 Massachusetts Avenue NW, Suite 200 Washington, DC 20036	PC	To produce a detailed evaluation and implementation plan for an educational information campaign to increase knowledge about contraceptive methods	60,452
The National Press Foundation, Inc	1211 Connecticut Avenue NW, Suite 310 Washington, DC 20036	PC	To hold a three-day workshop in San Francisco, California to educate journalists on criminal justice issues	108,000
The New Jersey Health Care Quality Institute, Inc	3628 Route 1 Princeton, New Jersey 08540	PC	To support Medicaid policy changes to increase access to high-quality contraceptive services	200,000
The New York City Criminal Justice Agency	299 Broadway, 4th Floor New York, New York 10007	PC	To evaluate the impact of New York State Bail Reform in regards to studying failure to appear	340,000
The New York City Criminal Justice Agency	299 Broadway, 4th Floor New York, New York 10007	PC	To evaluate the impact of New York State Bail Reform	300,000
The New York City Criminal Justice Agency	299 Broadway, 4th Floor New York, New York 10007	PC	To investigate the impact of pretrial detention on different defendant populations in New York City	40,080
The Niskanen Center	820 1st Street NE, Suite 675 Washington, DC 20002	PC	To provide operating support for climate change initiatives	500,000

LAURA AND JOHN ARNOLD FOUNDATION
2019 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
The Niskanen Center	820 1st Street NE, Suite 675 Washington, DC 20002	PC	To provide general operating support	50,000
The Pennsylvania State University	One Old Main University Park, Pennsylvania 16802	GOV	To study how evidence-based programs for preventing youth substance abuse impact Medicaid costs and the utilization of health services	15,000
The Pew Charitable Trusts	One Commerce Square, Suite 2800 2005 Market Street Philadelphia, Pennsylvania 19103	PC	To support improved management of state and local public retirement systems	746,996
The Pew Charitable Trusts	One Commerce Square, Suite 2800 2005 Market Street Philadelphia, Pennsylvania 19103	PC	To support efforts to improve state economic development programs by conducting research and providing technical assistance	764,826
The PubPeer Foundation	2443 Fillmore Street, Suites 380-4492 San Francisco, California 94115	PC	To provide general operating support	666
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120 Berkeley, California 94720	GOV	To advance the development of the California Policy Lab	1,000,000
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120 Berkeley, California 94720	GOV	To research, develop, and disseminate online tools designed to reform the use of fines and fees in the juvenile justice system	500,000
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120 Berkeley, California 94720	GOV	To partner with the University of Chicago to study the impact of minimum wage policies on producer prices	67,408
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120 Berkeley, California 94720	GOV	To support the California Department of Education in its efforts to expand and implement the Career Academies program in low-income high schools across the state	160,000
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120 Berkeley, California 94720	GOV	To fund a randomized controlled trial of a cognitive behavioral therapy program for individuals with mental illness who are involved in the criminal justice system	632,649
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120 Berkeley, California 94720	GOV	To conduct research on tax avoidance to determine ways to better design and enforce taxes	131,129
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120 Berkeley, California 94720	GOV	To evaluate the effects of juvenile administrative fees	101,393
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120 Berkeley, California 94720	GOV	To promote replication and reproducibility in economics by training researchers to comply with a forthcoming American Economic Association data/code verification policy and designing a protocol to systematically share economic research replications	204,286
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120 Berkeley, California 94720	GOV	To study the impact of extending reference pricing incentives to high-cost specialty pharmaceutical classes and physician-administered drugs	242,347
The Regents of the University of California, Irvine	141 Innovation Drive, Suite 250 Irvine, California 92697	GOV	To support the Economic Self-Sufficiency Policy Research Institute	49,639
The Regents of the University of California, Irvine	141 Innovation Drive, Suite 250 Irvine, California 92697	GOV	To evaluate the California Competes Tax Credit program and provide technical assistance to its administrators	93,296
The Regents of the University of California, Los Angeles	10889 Wilshire Boulevard, Suite 700 Los Angeles, California 90095	GOV	To advance the development of the California Policy Lab	900,000
The Regents of the University of California, Los Angeles	10889 Wilshire Boulevard, Suite 700 Los Angeles, California 90095	GOV	To evaluate the impact of the higher minimum wage ordinance in Los Angeles, California	171,400

LAURA AND JOHN ARNOLD FOUNDATION
 2019 FORM 990-PF
 PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
The Regents of the University of California, Los Angeles	10889 Wilshire Boulevard, Suite 700 Los Angeles, California 90095	GOV	To support an ongoing randomized controlled trial of depot naltrexone to reduce recidivism and relapse among inmates in the Albuquerque Metropolitan Detention Center	38,661
The Regents of the University of California, Los Angeles	10889 Wilshire Boulevard, Suite 700 Los Angeles, California 90095	GOV	To support the continued development of DeclareDesign	20,051
The Regents of the University of California, Los Angeles	10889 Wilshire Boulevard, Suite 700 Los Angeles, California 90095	GOV	To evaluate the use, operation, and outcomes associated with assigning defendants to community service	62,954
The Regents of the University of California, San Diego	9500 Gilman Drive La Jolla, California 92093	GOV	To improve the statistical methodology used to evaluate the reliability of eyewitness identification	100,000
The Regents of the University of California, San Francisco	220 Montgomery Street, 5th Floor San Francisco, California 94104	GOV	To analyze the health impacts of an institutional sales ban on sugar-sweetened beverages	365,503
The Regents of the University of California, San Francisco	220 Montgomery Street, 5th Floor San Francisco, California 94104	GOV	To analyze data and develop resources to help institutions adopt sugar-sweetened beverage sales bans	25,000
The Regents of the University of California, San Francisco	220 Montgomery Street, 5th Floor San Francisco, California 94104	GOV	To support the development an electronic archive of documents related to the sugar industry that will help advance research in nutrition science	43,995
The Regents of the University of California, San Francisco	220 Montgomery Street, 5th Floor San Francisco, California 94104	GOV	To support Grantee's continued efforts to i) create an electronic archive of sugar documents and ii) develop an accompanying research agenda that will utilize the Food Industry Documents Archive's resources, with the overall goal of fostering a national conversation about the scientific conflicts of interest in nutrition research and related effects on nutrition science, marketing, and public opinion	400,000
The Trustees of Columbia University in the City of New York	622 West 113th Street, Mail Code 4524 New York, New York 10025	PC	To support the Square One Project to reassess the nation's approach to crime	703,003
The Trustees of Columbia University in the City of New York	622 West 113th Street, Mail Code 4524 New York, New York 10025	PC	To promote evidence-based decision making at all levels of government	150,000
The Trustees of Columbia University in the City of New York	622 West 113th Street, Mail Code 4524 New York, New York 10025	PC	To conduct a randomized controlled trial on the effects of legal financial obligations on criminal defendants' recidivism and other life outcomes	80,000
The University of North Carolina at Chapel Hill	103 South Building Campus, Box 9100 Chapel Hill, North Carolina 27599	PC	To support the Student Success Innovation Lab and its efforts to facilitate evaluations of pilot programs of postsecondary student success interventions	197,102
The University of North Carolina at Chapel Hill	103 South Building Campus, Box 9100 Chapel Hill, North Carolina 27599	PC	To evaluate the impact of nutrition-related fiscal policies and programs on public health	250,000
The University of North Carolina at Chapel Hill	103 South Building Campus, Box 9100 Chapel Hill, North Carolina 27599	PC	To reorient crisis response systems to support community-based treatment for vulnerable populations	155,000
The University of Texas at Austin	P O Box 7458 Austin, Texas 78713	GOV	To use privacy-protected administrative tax data to evaluate the long-term effects of previously conducted randomized controlled trials	530,897
The University of Texas at Dallas	800 W Campbell Road, Box AD13 Richardson, Texas 75080	GOV	To support the Kain Center for Education Policy Research	150,000
Third Sector New England, Inc	89 South Street, Suite 700 Boston, Massachusetts 02111	PC	To assess legal and policy barriers to harm reduction programs	150,000
Third Way Institute	1025 Connecticut Avenue NW, Suite 400 Washington, DC 20036	PC	To improve the value of higher education	50,000

Laura and John Arnold Foundation
 2019 Form 990-PF
 Part XV, Line 3A - Grants Paid

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Third Way Institute	1025 Connecticut Avenue NW, Suite 400 Washington, DC 20036	PC	To support continued efforts to expand federal higher education accountability and improve quality	472,040
Thrive Chicago NFP	211 W Wacker Drive, Suite 1000 Chicago, Illinois 60606	PC	To pilot a project aimed at improving outcomes for youth ages 16 to 24 who are out of school and work in Chicago	290,337
Tradeoffs, Inc	424 S 47th Street Philadelphia, Pennsylvania 19143	PC	To provide general operating support	125,000
Trustees of Boston College	140 Commonwealth Avenue Chestnut Hill, Massachusetts 02467	PC	To support the Center for Retirement Research	300,000
Trustees of Boston College	140 Commonwealth Avenue Chestnut Hill, Massachusetts 02467	PC	To identify causes of unfunded liabilities in public pension plans	100,000
Trustees of Boston University	595 Commonwealth Avenue, Suite 700 Boston, Massachusetts 02215	PC	To analyze data to study the effects and characteristics of the charter school sector in Newark, New Jersey	161,121
Trustees of Boston University	595 Commonwealth Avenue, Suite 700 Boston, Massachusetts 02215	PC	To develop and publish 20 evidence-based commentaries on healthcare topics	45,000
Trustees of Boston University	595 Commonwealth Avenue, Suite 700 Boston, Massachusetts 02215	PC	To develop a taxonomy of fraud, waste, and abuse indicators that can be used to identify potentially fraudulent substance use disorder treatment providers	150,000
Trustees of Boston University	595 Commonwealth Avenue, Suite 700 Boston, Massachusetts 02215	PC	To analyze the relationship between prices, regulations, and investments in prescription drugs	180,000
Trustees of Dartmouth College	6066 Development Office Hanover, New Hampshire 03755	PC	To analyze the use of low-value care by specific health systems in an effort to provide valuable insight to payers and policymakers, and inform future payment models, network design efforts, and interventions that reduce low-value care	350,000
Trustees of Indiana University	400 E 7th Street, Room 501 Bloomington, Indiana 47405	GOV	To support a randomized controlled trial of the Mobile Crisis Assistance Team - Behavioral Health Unit co-responder model in Indianapolis, Indiana	224,000
Trustees of Indiana University	400 E 7th Street, Room 501 Bloomington, Indiana 47405	GOV	To support an assessment of the extent to which journals publishing policy-relevant social intervention research currently align with the Transparency and Openness Promotion guidelines	147,851
Trustees of Indiana University	400 E 7th Street, Room 501 Bloomington, Indiana 47405	GOV	To support a randomized controlled trial of Educational Liaison, a dropout prevention program for high school students in foster care	36,799
Trustees of the University of Pennsylvania	3451 Walnut Street, Suite 305 Philadelphia, Pennsylvania 19104	PC	To fund a randomized controlled trial of an informational tool for public defenders designed to reduce sentencing disparities	80,000
Trustees of the University of Pennsylvania	3451 Walnut Street, Suite 305 Philadelphia, Pennsylvania 19104	PC	To pilot a method of systematically collecting data about public and private healthcare payment practices to improve treatment of opioid use disorder	63,574
Trustees of the University of Pennsylvania	3451 Walnut Street, Suite 305 Philadelphia, Pennsylvania 19104	PC	To support the expansion of the Penn Wharton Budget Model, which analyzes the economic and budgetary impact of federal budget policy reform proposals	1,215,539
Tufts Medical Center	750 Washington Street, NEMC 231 Boston, Massachusetts 02111	PC	To identify and analyze opportunities to shift away from practices and procedures that fail to deliver good outcomes for their cost	250,000
Tulane University	6823 Street Charles Avenue New Orleans, Louisiana 70118	PC	To improve the quality of education data available to the public, researchers, and educators in New Orleans, Louisiana	300,000

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Tulane University	6823 Street Charles Avenue New Orleans, Louisiana 70118	PC	To improve the quality of education data available to the public, researchers, and educators in New Orleans, Louisiana	150,000
UC Hastings Foundation	200 McAllister Street San Francisco, California 94102	PC	To support Grantee's Center for Innovation in its efforts to maximize the access and affordability of life saving drugs while maintaining incentives for innovation	400,000
United States of Care	1110 Vermont Avenue NW, Suite 950 Washington, DC 20005	PC	To provide public education and key stakeholder engagement related to Medicaid buy-in proposals in New Mexico	199,914
United Ways of California	1107 Fair Oaks Avenue, Unit 12 South Pasadena, California 91030	PC	To support employer outreach and education about the CalSavers state auto-IRA program	204,655
United Ways of California	1107 Fair Oaks Avenue, Unit 12 South Pasadena, California 91030	PC	To provide targeted employer and employee outreach, education and engagement in order to increase participation in the CalSavers state auto-IRA program	300,000
University College London	30 Gordon Street London, United Kingdom WC1E 6BT	GOV	To partner with the University of Massachusetts to assess the overall employment effects of minimum wage policies in the United States	30,000
University of Arizona	925 N Tyndall Avenue, P O Box 210438 Tucson, Arizona 857621	GOV	To evaluate an innovative co-responder deflection program focused on addressing the opioid epidemic	90,000
University of Arizona	925 N Tyndall Avenue, P O Box 210438 Tucson, Arizona 857621	GOV	To providing funding for a randomized controlled trial of the expanded implementation of the Enhanced Assess, Acknowledge, and Act rape resistance program on five university campuses	1,017,994
University of Arkansas System	4301 West Markham Street, Unit 812 Little Rock, Arkansas 72205	GOV	To study Crisis Stabilization Units in Arkansas	185,000
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To pilot a project aimed at improving outcomes for youth ages 16 to 24 who are out of school and work in Chicago	576,137
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To support a research fellow at the Environmental Protection Agency	46,796
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To partner with The Regents of the University of California, Berkeley to study the impact of minimum wage policies on producer prices	60,000
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To fund a randomized controlled trial of a job-readiness training program designed to address cognitive and social barriers to employment	57,500
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To support a randomized controlled trial of Working on Womanhood, a cognitive-behavioral therapy program for female high school students at high risk of mental illness	100,000
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To support a randomized controlled trial of a version of Critical Time Intervention, a case management program designed to connect mentally-ill people with community services	242,502
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To fund a low-cost randomized controlled trial designed to help the Fresno, California identify the most effective outdoor water restriction enforcement strategy	49,800
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To fund a randomized controlled trial of the Rapid Employment and Development Initiative program, which provides transitional jobs, cognitive behavioral therapy, and additional services for men at high risk of being perpetrators or victims of violent crime	246,909
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To study an analytical tool that predicts which hazardous waste sites are most likely to violate environmental regulations	139,835

LAURA AND JOHN ARNOLD FOUNDATION
 2019 FORM 990-PF
 PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To fund a randomized controlled trial of OneGoal, a program for low-income high school students aimed at preparing students to enroll and succeed in college	50,000
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To help the Cook County Adult Probation Department identify and respond effectively to individuals with serious mental illness	180,000
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To provide technical assistance to policy labs	10,000
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To fund a randomized controlled trial of a program that provides wrap-around financial, academic, and personal supports for low-income community college students in Chicago, Illinois	62,947
University of Chicago	5235 S Harper Court, 4th Floor Chicago, Illinois 60615	PC	To support a randomized controlled trial of Youth Advocate Programs, a year-long program for at-risk youth in Chicago	38,643
University of Cincinnati	P O Box 210641 Cincinnati, Ohio 45221	PC	To examine monetary sanctions in community corrections in seven states	650,000
University of Connecticut	2390 Alumni Drive, Unit U3206 Storrs Mansfield, Connecticut 06269	GOV	To study how pretrial detention affects a variety of defendant outcomes	5,205
University of Connecticut	2390 Alumni Drive, Unit U3206 Storrs Mansfield, Connecticut 06269	GOV	To study how expungement affects recidivism and employment	19,009
University of Florida Board of Trustees	P O Box 113201 Gainesville, Florida 32611	GOV	To study state higher education performance-based funding policies on college access, success, and labor market outcomes	192,588
University of Houston	5000 Gulf Freeway, Room 109 Houston, Texas 77204	GOV	To conduct a multi-methods process evaluation of a tele-health pilot program being implemented by the Harris County Sheriff's Office	205,000
University of Kentucky	109 Kinhead Hall Lexington, Kentucky 40506	GOV	To evaluate the effects of the State of Tennessee's Achievement School District and local Innovation Zones on student achievement	126,066
University of Maryland	7809 Regents Drive 3112 Lee Building College Park, Maryland 20742	GOV	To help establish the Maryland Data Analysis Center	430,000
University of Maryland	7809 Regents Drive 3112 Lee Building College Park, Maryland 20742	GOV	To improve transparency in clinical trials	500,000
University of Maryland	7809 Regents Drive 3112 Lee Building College Park, Maryland 20742	GOV	To publicize the value of in-depth analysis of criminal justice record data	4,100
University of Massachusetts, Amherst	134 Hicks Way, Memorial Hall Amherst, Massachusetts 01003	PC	To support the evaluation of antidiscrimination and affirmative action enforcement audits conducted by the Department of Labor's Office of Federal Contract Compliance Programs on federal contractor workplace diversity	134,913
University of Miami	P O Box 248106 Coral Gables, Florida 33124-2912	PC	To develop and study a multi-dimensional family therapy program in the Miami-Dade criminal drug court	38,719
University of North Carolina at Greensboro	1111 Spring Garden Street, Suite 2601 Room 2702, MHRA Building Greensboro, North Carolina 27412	PC	To extend an ongoing randomized controlled trial to examine the impact of North Carolina's Early College High Schools	140,752
University of Notre Dame	724 Grace Hall, Controllers Office Notre Dame, Indiana 46556	PC	To fund a low-cost randomized controlled trial of Stay the Course, a program designed to increase community college graduation rates for low-income students	12,953

LAURA AND JOHN ARNOLD FOUNDATION
 2019 FORM 990-PF
 PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
University of Rochester	P O Box 270032 300 East River Road Rochester, New York 14627	PC	To conduct a randomized controlled trial to evaluate the Rochester Forensic Assertive Community Treatment model in Minnesota	80,000
University of Southern California	3551 Trousdale Parkway, Suite 160 Los Angeles, California 90089	PC	To support a low-cost randomized controlled trial of the Neighborhood Resource Network program	37,332
University of Southern California	3551 Trousdale Parkway, Suite 160 Los Angeles, California 90089	PC	To develop a predictive risk model that improved the way Child Protective Services triages cases	8,215
University of Utah	332 South 1400 East, Suite 150 Salt Lake City, Utah 84112	PC	To provide technical support, capacity building resources, and training to reproductive health providers in Utah	750,000
University of Utah	332 South 1400 East, Suite 150 Salt Lake City, Utah 84112	PC	To support the University of Utah's Policy Innovation Lab in order to facilitate and implement high quality Pay for Success projects	82,040
University of Utah	332 South 1400 East, Suite 150 Salt Lake City, Utah 84112	PC	To obtain a comprehensive understanding of the dynamics of Utah's community supervision system	40,000
University of Vermont and State Agricultural College Foundation	411 Main Street Burlington, Vermont 05401	PC	To evaluate an interim buprenorphine treatment for reducing the risk of opioid misuse and overdose	320,871
University of Washington	P O Box 359505 Seattle, Washington 98195	GOV	To research how courts across the United States impose fines and fees	1,143,158
University of Washington	P O Box 359505 Seattle, Washington 98195	GOV	To fund a randomized controlled trial to evaluate a joint hospital/community-based violence intervention program in Seattle, Washington	15,862
University of Washington	P O Box 359505 Seattle, Washington 98195	GOV	To develop an implementation plan for the Applied Public Policy Lab	206,303
University of Washington	P O Box 359505 Seattle, Washington 98195	GOV	To evaluate the impact of higher minimum wage ordinances in Chicago, Illinois and Seattle, Washington	236,335
University of Washington	P O Box 359505 Seattle, Washington 98195	GOV	To study a program that pairs post-release offenders in Washington state with medical professionals	12,210
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To assess the impact of three community supervision policy reforms	355,000
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To build on the work of the Charles Colson Task Force on Federal Corrections	100,000
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To launch a prison research and innovation initiative to infuse transparency, accountability, and innovation into the prison sector	896,396
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To help governments implement and evaluate economic development initiatives	10,000
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To develop an evidence-based policymaking collaborative	46,305
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To advance Pay for Success Financing	271,229
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To establish the Federal Evidence Forum	60,524

LAURA AND JOHN ARNOLD FOUNDATION
 2019 FORM 990-PF
 PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To collaborate with EdBuild, Inc to support policy research, education, and dissemination efforts related to school funding policy	115,823
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To improve the value of higher education	275,883
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To build publicly accessible data infrastructure on higher education finance	224,711
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To provide technical assistance to two states seeking to reform grant aid	268,846
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To update the Urban Institute's 2016 state survey of Medicaid physician fees	70,000
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To analyze the potential cost and coverage implications of introducing a healthcare public option and/or a capped payment rate program for private insurers	500,000
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To fund the Tax Policy Center	512,500
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To examine the New Markets Tax Credit and provide recommendations for how it can be improved	100,000
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To support research on Social Security retirement and disability policy	723,858
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To provide the Bureau of Justice Assistance with the staff capacity to adequately administer a competitive grant program designed to assist populations at risk from the opioid epidemic	400,000
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To evaluate the effectiveness of a supportive housing model for people with serious mental illness	65,000
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To support research to advance and elevate tax and spending policy	1,625,000
Urban Institute	500 L'Enfant Plaza SW Washington, DC 20024	PC	To support modeling the distributional impact of the revenue and benefit changes proposed in Social Security solvency proposals from candidates for the 2020 presidential election	100,425
US Right to Know	4096 Piedmont Avenue, Unit 963 Oakland, California 94611	PC	To conduct Freedom of Information Act requests and develop a series of research papers in nutrition and health research	10,000
Vera Institute of Justice, Inc	34 35th Street, 4-2A Brooklyn, New York 11232	PC	To pilot a Rural Jails Research and Policy Network through the creation of Rural Jail Research and Policy Hubs in two states	1,110,000
Vera Institute of Justice, Inc	34 35th Street, 4-2A Brooklyn, New York 11232	PC	To conduct research in five states to determine the amount collected from fines and fees, how this revenue is used, and alternate revenue sources	645,000
Vera Institute of Justice, Inc	34 35th Street, 4-2A Brooklyn, New York 11232	PC	To help reimagine the American prison system through strategic communications and expanding and evaluating the Restoring Promise initiative	1,335,627
Vera Institute of Justice, Inc	34 35th Street, 4-2A Brooklyn, New York 11232	PC	To conduct a rigorous evaluation of criteria-based dispatching in a 911 call center	220,000
Vera Institute of Justice, Inc	34 35th Street, 4-2A Brooklyn, New York 11232	PC	To reform the user-funded justice system in New Orleans, Louisiana	268,625

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Vera Institute of Justice, Inc	34 35th Street, 4-2A Brooklyn, New York 11232	PC	To study the statewide impact of the New York State bail reform legislation	235,000
Vera Institute of Justice, Inc	34 35th Street, 4-2A Brooklyn, New York 11232	PC	To support video creation and livestream	15,000
Vera Institute of Justice, Inc	34 35th Street, 4-2A Brooklyn, New York 11232	PC	To analyze the connections between jail and prison populations and create a publicly available dataset with corrections metrics	100,000
Veterans Education Success Inc	1250 H Street NW, Suite 700 Washington, DC 20005	PC	To support research and the use of administrative data to help improve education and employment among veterans	200,000
Veterans Education Success Inc	1250 H Street NW, Suite 700 Washington, DC 20005	PC	To conduct research and educational outreach about policies related to protections for student veterans	194,050
Veterans Education Success Inc	1250 H Street NW, Suite 700 Washington, DC 20005	PC	To provide Grantee with general operating support	500,000
Virginia Center for Health Innovation	3831 Westerre Parkway, Suite 5 Henrico, Virginia 23233	PC	To evaluate interventions to reduce the use of low-value healthcare in Virginia	500,000
Vvwi	14 Story Street, Suite 4 Cambridge, Massachusetts 02138	PC	To promote data sharing in clinical trials	250,000
Volcker Alliance, Inc	560 Lexington Avenue, Suite 16B New York, New York 10022	PC	To host a criminal justice convening in the fall of 2019 that defines the criminal justice policy and research agenda over the next year	465,000
Vote.org	4096 Piedmont Avenue, Unit 368 Oakland, California 94611	PC	To fund a randomized controlled trial of a voter turnout tool housed on a non-partisan website that will provide information about 2018 electoral candidates	18,520
Waxman Consulting, Inc	1150 Connecticut Avenue NW, Suite 800 Washington, DC 20036	NC	To (i) research and analyze anticompetitive behaviors impacting prescription drug pricing, and (ii) provide nonpartisan information and technical support to key stakeholders and policymakers	207,774
Waxman Consulting, Inc	1150 Connecticut Avenue NW, Suite 800 Washington, DC 20036	NC	To create an evidence-base to support the policy debate related to high prescription drug pricing	65,000
Waxman Consulting, Inc	1150 Connecticut Avenue NW, Suite 800 Washington, DC 20036	NC	To provide nonpartisan information and technical support to key stakeholders regarding prescription drug policy and publicly disseminate educational resources	353,352
Waxman Consulting, Inc	1150 Connecticut Avenue NW, Suite 800 Washington, DC 20036	NC	To provide information and technical support to help policymakers lower health care costs	300,000
Wayne State University	5057 Woodward Avenue, 13th Floor Detroit, Michigan 48202	GOV	To support a randomized controlled trial of the Mobile Crisis Assistance Team Behavioral Health Unit	223,520
Westat, Inc	1600 Research Boulevard Rockville, Maryland 20850	NC	To support a randomized controlled trial of the Independence Project, an intervention for disabled young veterans	410,000
Westchester Community College Foundation	75 Grasslands Road Valhalla, New York 10595	PC	To support implementation of the City University of New York's Accelerated Study in Associate Programs at Westchester Community College	250,000
WestEd	730 Harrison Street San Francisco, California 94107	GOV	To support the expansion and extension of a large-scale randomized controlled trial to evaluate ASSISTments, an online math study tool for seventh graders designed to improve math achievement	200,000

Laura and John Arnold Foundation
 2019 Form 990-PF
 Part XV, Line 3A - Grants Paid

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Western Center on Law & Poverty	3701 Wilshire Boulevard, Suite 208 Los Angeles, California 90010	PC	To help reform driver's license suspensions for failure to pay fines and fees and eliminate fines and fees in juvenile justice systems	185,000
West Virginia Council for Community and Technical College Education	1018 Kanawha Boulevard East, Suite 700 Charleston, West Virginia 25301	GOV	To support the expansion of the City University of New York's Accelerated Study in Associate Programs program in two West Virginia community colleges	213,565
William J Brennan, Jr. Center for Justice, Inc	120 Broadway, Suite 1750 New York, New York 10271	PC	To fund a fiscal-impact study of court-imposed fines and fees	80,000
William Marsh Rice University	P O Box 1892 Houston, Texas 77251	PC	To provide operational support to launch the Texas Policy Lab	2,294,613
Yale University	P O Box 2038 New Haven, Connecticut 06521	PC	To support the 22nd Annual Luman Center Colloquium	10,000
				211,178,508

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	D		
b PUBLICLY TRADED SECURITIES	P		
c FOREIGN CAPITAL GAIN DISTRIBUTIONS	P	VARIOUS	VARIOUS
d ALTERNATIVE INVESTMENTS	P	VARIOUS	VARIOUS
e DISTRIBUTION IN EXCESS OF BASIS	P	VARIOUS	VARIOUS
f DISPOSAL OF CHARITABLE USE ASSETS	P	VARIOUS	VARIOUS
g LIQUIDATION OF LIBERTY M&R SPV, LP	P	VARIOUS	VARIOUS
h LIQUIDATION OF MERCED PARTNERS III (CAYMAN), LP	P	VARIOUS	VARIOUS
i LIQUIDATION OF ILS PARTNERS, LP	P	VARIOUS	VARIOUS
j LIQUIDATION OF CHENIERE PARTNERS, LP	P	VARIOUS	VARIOUS
k LIQUIDATION OF GT EMERGING MARKETS	P	VARIOUS	VARIOUS
l LIQUIDATION OF GT INTERNATIONAL EQUITY	P	VARIOUS	VARIOUS
m LIQUIDATION OF DB CREDIT OPPORTUNITIES ACCESS FUND	P	VARIOUS	VARIOUS
n LIQUIDATION OF SOCIAL FINANCE DEBT PRI	P	VARIOUS	VARIOUS
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,282,000.		1,944,409.	337,591.
b 392,926,403.		372,077,938.	20,848,465.
c 21,057,087.			21,057,087.
d 32,510,835.			32,510,835.
e 684,988.			684,988.
f 25,533.			25,533.
g 1,928,718.		2,274,028.	-345,310.
h 770,781.		770,781.	0.
i 856,815.		442,049.	414,766.
j 8,179,820.		5,440,315.	2,739,505.
k 21,098,926.		19,992,458.	1,106,468.
l 26,181,568.		24,465,255.	1,716,313.
m 1,533,817.			1,533,817.
n 3,575,021.		4,000,000.	-424,979.
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			337,591.
b			20,848,465.
c			21,057,087.
d			32,510,835.
e			684,988.
f			25,533.
g			-345,310.
h			0.
i			414,766.
j			2,739,505.
k			1,106,468.
l			1,716,313.
m			1,533,817.
n			-424,979.
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	82,205,079.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A