

Return of Private Foundation

2018

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

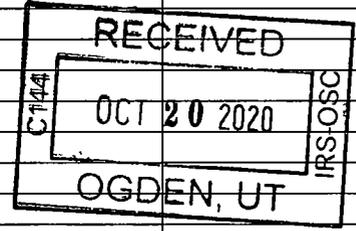
Open to Public Inspection

For calendar year 2018 or tax year beginning 12/01, 2018, and ending 11/30, 2019

Name of foundation: MICHAEL A. PETERSON FOUNDATION. Employer identification number: 26-1607103. Telephone number: (212) 542-9247. City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10022.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27).



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DEC 17 2020

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	1,069,808.	207,100.	207,100.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 5	6,563,571.	6,939,941.	8,019,825.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans				
13	Investments - other (attach schedule)				
14	Land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	7,633,379.	7,147,041.	8,226,925.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>				
	and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 27 through 31				
	27	Capital stock, trust principal, or current funds	7,633,379.	7,147,041.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	7,633,379.	7,147,041.		
31	Total liabilities and net assets/fund balances (see instructions)	7,633,379.	7,147,041.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 7,633,379.
2	Enter amount from Part I, line 27a	2 -486,338.
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3	4 7,147,041.
5	Decreases not included in line 2 (itemize) ▶	5
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 7,147,041.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	SEE PART IV SCHEDULE					
b						
c						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))			
a						
b						
c						
d						
e						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))			
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any				
a						
b						
c						
d						
e						
2	Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$			2	274,978.	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	785,707.	8,629,820.	0.091046
2016	462,010.	8,766,860.	0.052700
2015	391,699.	8,378,173.	0.046752
2014	334,204.	8,621,588.	0.038764
2013	382,753.	8,616,034.	0.044423
2	Total of line 1, column (d)		2 0.273685
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years		3 0.054737
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5		4 8,226,265.
5	Multiply line 4 by line 3.		5 450,281.
6	Enter 1% of net investment income (1% of Part I, line 27b).		6 4,701.
7	Add lines 5 and 6.		7 454,982.
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions		8 956,426.

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for credits and payments. Total tax due is 7,029.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political influence, tax on political expenditures, and substantial contributors. Includes handwritten '2' next to row 9.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 regarding controlled entities, distributions, public inspection requirements, Form 990-PF filing, and foreign accounts.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions, including disqualifying acts, taxes on undistributed income, and business enterprise holdings.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to			Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here		<input type="checkbox"/>	5b	N/A
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)		<input type="checkbox"/> Yes	<input type="checkbox"/> No	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b	N/A
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. 0.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0.

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
3 All other program-related investments See instructions NONE	

Total. Add lines 1 through 3 0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	7,643,381.
b	Average of monthly cash balances	1b	708,157.
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	8,351,538.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	8,351,538.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	125,273.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,226,265.
6	Minimum investment return. Enter 5% of line 5	6	411,313.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	411,313.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	4,701.
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	4,701.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	406,612.
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4	5	406,612.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	406,612.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	956,426.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	956,426.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	4,701.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	951,725.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				406,612.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.				
b Total for prior years 20 16 , 20 15 , 20 14				
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017 85,873.				
f Total of lines 3a through e	85,873.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 956,426				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2018 distributable amount.				406,612.
e Remaining amount distributed out of corpus.	549,814.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	635,687.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	635,687.			
10 Analysis of line 9				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017 85,873.				
e Excess from 2018 549,814.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with columns for Tax year (2018) and Prior 3 years (2016, 2015) and rows for 2a-e (Qualifying distributions) and 3a-d (Alternative tests).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SCHEDULE ATTACHED - EXHIBIT 2				945,000.
Total ▶ 3a				945,000.
b <i>Approved for future payment</i>				
Total ▶ 3b				NONE

Part XVI-A Analysis of Income-Producing Activities

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include: 1 Program service revenue, 2 Membership dues and assessments, 3 Interest on savings and temporary cash investments, 4 Dividends and interest from securities, 5 Net rental income or (loss) from real estate, 6 Net rental income or (loss) from personal property, 7 Other investment income, 8 Gain or (loss) from sales of assets other than inventory, 9 Net income or (loss) from special events, 10 Gross profit or (loss) from sales of inventory, 11 Other revenue, 12 Subtotal, 13 Total.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No, Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions). Row 1 contains 'N/A'.

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
273,973.							273,973.	
6,450.						P	1,005.	
TOTAL GAIN (LOSS)							<u>274,978.</u>	

ATTACHMENT 1

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
KPMG LLP	9,607.			9,607.
TOTALS	<u>9,607.</u>			<u>9,607.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MGT FEES - GRG	2,828.	2,828.
JP MORGAN CHASE CUSTODIAL SVCS	609.	609.
TOTALS	<u>3,437.</u>	<u>3,437.</u>

ATTACHMENT 3

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAX WITHHELD	3,056.	3,056.
TOTALS	<u>3,056.</u>	<u>3,056.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
NYS DEPT OF LAW - FILING FEE	250.
DE SECRETARY OF STATE - FILING	300.
CT CORPORATION	1,236.
BANK DEPOSITORY FEES	8.
ECORP REGISTRATION FEES	25.
TOTALS	<u><u>1,819.</u></u>

<u>CHARITABLE PURPOSES</u>
250.
300.
1,236.
8.
25.
<u><u>1,819.</u></u>

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 5

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE EXHIBIT 1	6,939,941.	8,019,825.
TOTALS	<u>6,939,941.</u>	<u>8,019,825.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
MICHAEL A PETERSON 399 PARK AVENUE, 14TH FLOOR NEW YORK, NY 10022	DIRECTOR, CHAIRMAN, PRES, SECY 1.00	0.	0.	0.
MICHAEL SHANKMAN 399 PARK AVENUE, 14TH FLOOR NEW YORK, NY 10022	TREASURER 1.00	0.	0.	0.
GRAND TOTALS		0.	0.	0.

ATTACHMENT 1

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
KPMG LLP	9,607.			9,607.
TOTALS	<u>9,607.</u>			<u>9,607.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MGT FEES - GRG	2,828.	2,828.
JP MORGAN CHASE CUSTODIAL SVCS	609.	609.
TOTALS	<u>3,437.</u>	<u>3,437.</u>

ATTACHMENT 3

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAX WITHHELD	3,056.	3,056.
TOTALS	<u>3,056.</u>	<u>3,056.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
NYS DEPT OF LAW - FILING FEE	250.
DE SECRETARY OF STATE - FILING	300.
CT CORPORATION	1,236.
BANK DEPOSITORY FEES	8.
ECORP REGISTRATION FEES	25.
TOTALS	<u>1,819.</u>

CHARITABLE PURPOSES	
	250.
	300.
	1,236.
	8.
	25.
	<u>1,819.</u>

ATTACHMENT 5

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE EXHIBIT 1	6,939,941.	8,019,825.
TOTALS	<u>6,939,941.</u>	<u>8,019,825.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS

EXPENSE ACCT AND OTHER ALLOWANCES

TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION

NAME AND ADDRESS

0. 0. 0.

DIRECTOR, CHAIRMAN, PRES, SECY
1.00

MICHAEL A PETERSON
399 PARK AVENUE, 14TH FLOOR
NEW YORK, NY 10022

0. 0. 0.

TREASURER
1.00

MICHAEL SHANKMAN
399 PARK AVENUE, 14TH FLOOR
NEW YORK, NY 10022

GRAND TOTALS

0. 0. 0.

Michael A Peterson Foundation
 EIN 26-1607103
 11/30/19

Exhibit 1 - Part II, Line 10b - Corporate Stocks

	Quantity	Book Value	Fair Market Value
BBH GLOBAL CORE SELECT-N	70,725 978	785,113	918,730
DOUBLELINE TOTL RET BND-I	76,191 490	828,961	814,487
EDGEWOOD GROWTH FD-INS	42,137 986	918,627	1,574,697
ETRACS ALERIAN INFRASTRUCTUR	14,205 000	418,542	254,554
FPA CRESCENT FD	25,460 707	823,213	866,937
GOLUB CAPITAL BDC INC	40,836 000	709,244	749,749
JANUS GL UNCONSTRAINED BD-T	83,133 540	791,646	748,202
MATTHEWS ASIA DIVIDEND-INV	14,693 435	232,409	249,201
MATTHEWS EMERGING ASIA-INV	17,528 218	199,873	214,370
VANGUARD DIVIDEND GROWTH-INV	42,719 036	1,000,489	1,323,009
GARDNER RUSSO & GARDNER		231,824	305,889
Total		6,939,941	8,019,825
<u>GARDNER RUSSO & GARDNER HOLDINGS</u>			
BERKSHIRE HATHAWAY INC-CL B	180 000	25,981	39,654
MASTERCARD INC-CLASS A	160 000	14,554	46,757
NESTLE SA-SPONS ADR	305 000	23,667	31,702
PERNOD-RICARD SA ISIN FR0000120693 SEDOL 4682329	127 000	14,768	23,343
HEINEKEN HOLDING N V ISIN NL0000008977 SEDOL BOCCH46	215 000	15,069	20,624
PHILIP MORRIS INTERNATIONAL	210 000	17,274	17,415
UNILEVER N V -NY SHARES	305 000	13,407	18,163
CIE FINANCIERE RICHEMON-REG - CHF ISIN CH0210483332 SEDOL BCRWZ18	250 000	20,758	19,052
WELLS FARGO & CO	296 000	16,229	16,120
ANHEUSER-BUSCH INBEV-SPN ADR	180 000	20,925	14,264
SWATCH GROUP AG/THE-BR ISIN CH0012255151 SEDOL 7184725	29 000	10,857	8,125
BROWN-FORMAN CORP-CLASS B	162 000	5,897	10,987
MARTIN MARIETTA MATERIALS	38 000	6,137	10,199
ALTRIA GROUP INC	63 000	3,563	3,131
DIAGEO PLC ISIN GB0002374006 SEDOL 0237400	150 000	4,405	6,141
COMCAST CORP-CLASS A	160 000	4,760	7,064
JC DECAUX SA ISIN FR0000077919 SEDOL 7136663	140 000	5,472	4,013
ALPHABET INC/CA-CL C	7 000	8,101	9,135
Total		231,824	305,889

MICHAEL A. PETERSON FOUNDATION
 EIN 26-1607103
 NOVEMBER 30, 2019

FORM 990FF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

<u>Recipient Name and Address</u>	<u>Foundation Status of Recipient</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
American Cancer Society 1626 Locust Street Philadelphia, PA 19103	PC - 509 (a) (1)	To support the charity's core activities	\$15,000
The American Fund for LSE 450 Seventh Avenue Suite 1109 New York, NY 10123	PC - 509 (a) (1)	To support the charity's core activities	\$50,000
Anti-Defamation League West Tower 1500 Market Street Suite 2415 Philadelphia, PA	PC - 509 (a) (1)	To support the charity's Americanism Award	\$10,000
Apne Aap International F.D.R. Station P.O. Box 1376 New York, NY 10150	PC - 509 (a) (1)	To support the charity's core activities	\$10,000
Brown University P.O. Box 1893 110 Elm Street Providence, RI 02912	PC - 509 (a) (1)	To support the charity's Annual Fund	\$50,000
The Cedars of Marin P.O. Box 947 Ross, CA 94957	PC - 509 (a) (1)	To support the charity's Endowment Fund	\$25,000
The Cedars of Marin P.O. Box 947 Ross, CA 94957	PC - 509 (a) (1)	To support the charity's 100th Anniversary	\$25,000
Child Mind Institute 162 West 56th Street, Suite 405 New York, NY 10019	PC - 509 (a) (1)	To support the charity's core activities	\$25,000
Council on Foreign Relations 58 East 68th Street New York, NY 10065	PC - 509 (a) (1)	To support the charity's Annual Fund	\$25,000

<u>Recipient Name and Address</u>	<u>Foundation Status of Recipient</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
Dwight Englewood School 315 East Palisades Avenue Englewood, NJ 07631	PC - 509 (a) (1)	To support the charity's Annual Fund	\$25,000
Dwight Englewood School 315 East Palisades Avenue Englewood, NJ 07631	PC - 509 (a) (1)	To support the charity's Capital Campaign	\$100,000
Fortune Society 1325 Sixth Ave, Floor 27 New York, NY 10019	PC - 509 (a) (1)	To support the charity's core activities	\$25,000
Inner-City Scholarship Fund 1011 First Avenue, Suite 1800 New York, NY 10022	PC - 509 (a) (1)	To support the charity's scholarship fund	\$5,000
Lung Cancer Research Foundation 155 East 55th Street, Suite 6H New York, NY 10022	PC - 509 (a) (1)	To support the charity's lung cancer research and educational programs	\$1,000
New York Community Trust 909 Third Avenue, 22 nd Floor New York, NY 10022	PC - 509 (a) (1)	To support the charity's core activities	\$350,000
Northwestern University 120 Davis Street Evanston, IL 60208	PC - 509 (a) (1)	To support the charity's Annual Fund	\$25,000
Nuclear Threat Initiative 1776 Eye Street, NW Suite 600 Washington, DC 20006	PC - 509 (a) (1)	To support the Sam Nunn Distinguished Fellow Program	\$33,000
Phillipps Academy P.O. Box 55557 Boston, MA 02205-8166	PC - 509 (a) (1)	To support the charity's scholarship fund	\$100,000
The Resolution Project, Inc. 1120 Avenue of the Americas. 4 th Floor New York, NY 10036	PC - 509 (a) (1)	To sponsor two Resolution Fellowships	\$6,000
The Wellness Foundation P.O. Box 1141 Sag Harbor, NY 11963	PC - 509 (a) (1)	To support the charity's core activities	\$15,000

<u>Recipient Name and Address</u>	<u>Foundation Status of Recipient</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
WNET 825 Eighth Avenue, 14 th Floor New York, NY 10019	PC - 509(a) (1)	To support the charity's Annual Fund	\$25,000
	Total		<u>\$945,000</u>

Michael A. Peterson Foundation.

EIN: 26-1607103

11/30/19

EXHIBIT 3

Exhibit 3 – Part VII-A, Question 12

The Foundation made grants in the total amount of \$350,000 to New York Community Trust (NYCT). NYCT fully disbursed this amount in public charity grants during the Foundation's fiscal year. The Foundation treated the grants to NYCT as qualifying distributions.

EXHIBIT 3