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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 07-01-2017 , and ending 06-30-2018

Name of foundation
CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

A Employer identification number
13-1623924

Number and street (or P O box number if mail is not delivered to street address)
51 VISTA LANE

Room/suite

B Telephone number (see instructions)
(650) 566-5100

City or town, state or province, country, and ZIP or foreign postal code
STANFORD, CA 94305

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 123,672,228

J Accounting method ☐ Cash ☑ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	5,887,033			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	7,313	7,313	7,313	
	4	Dividends and interest from securities	2,421,630	2,421,630	2,421,630	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	4,202,836			
	b	Gross sales price for all assets on line 6a 14,430,593				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	3,278,616	27,301	3,278,616	
	12	**Total.** Add lines 1 through 11	15,797,428	2,456,244	5,707,559	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	1,391,917			1,391,917
	14	Other employee salaries and wages	5,235,860			5,235,860
	15	Pension plans, employee benefits	1,805,275			1,805,275
	16a	Legal fees (attach schedule)	65,357			65,357
	b	Accounting fees (attach schedule)	80,720			80,720
	c	Other professional fees (attach schedule)	2,548,083	322,305		2,225,778
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	467,036			467,036
	19	Depreciation (attach schedule) and depletion	439,520			
	20	Occupancy	400,175			400,175
	21	Travel, conferences, and meetings	2,231,651			2,231,651
	22	Printing and publications	34,005			34,005
	23	Other expenses (attach schedule)	555,422			555,422
	24	**Total operating and administrative expenses.** Add lines 13 through 23	15,255,021	322,305		14,493,196
	25	Contributions, gifts, grants paid	454,345			454,345
	26	**Total expenses and disbursements.** Add lines 24 and 25	15,709,366	322,305		14,947,541
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	88,062			
	b	**Net investment income** (if negative, enter -0-)		2,133,939		
	c	**Adjusted net income**(if negative, enter -0-)			5,707,559	

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash—non-interest-bearing	1,772,864	4,367,790	4,367,790
2	Savings and temporary cash investments	626,003	120,011	120,011
3	Accounts receivable ▶ 327,214			
	Less allowance for doubtful accounts ▶	513,007	327,214	327,214
4	Pledges receivable ▶ 1,750,000			
	Less allowance for doubtful accounts ▶	3,834,908	1,750,000	1,750,000
5	Grants receivable	518,538	176,263	176,263
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges	168,014	158,569	158,569
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)			
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	103,435,616	105,308,045	105,308,045
14	Land, buildings, and equipment basis ▶ 15,642,626			
	Less accumulated depreciation (attach schedule) ▶ 4,535,592	11,171,255	11,107,034	11,107,034
15	Other assets (describe ▶)	337,900	357,302	357,302
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	122,378,105	123,672,228	123,672,228
Liabilities 17	Accounts payable and accrued expenses	1,466,214	1,656,326	
18	Grants payable			
19	Deferred revenue	105,150	64,907	
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)	1,676,560	1,814,893	
23	**Total liabilities**(add lines 17 through 22)	3,247,924	3,536,126	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted	56,935,797	60,284,954	
25	Temporarily restricted	50,387,750	48,044,514	
26	Permanently restricted	11,806,634	11,806,634	
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	119,130,181	120,136,102	
31	**Total liabilities and net assets/fund balances** (see instructions)	122,378,105	123,672,228	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	119,130,181
2	Enter amount from Part I, line 27a	2	88,062
3	Other increases not included in line 2 (itemize) ▶	3	917,859
4	Add lines 1, 2, and 3	4	120,136,102
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	120,136,102

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016			
2015			
2014			
2013			
2012			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☑ and enter "N/A" on line 1 Date of ruling or determination letter 1986-02-26 **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	N/A
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	Yes	
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW CARNEGIEFOUNDATION ORG

14 The books are in care of ▶DOUGLAS MIHOK Telephone no ▶(650) 566-5100

Located at ▶51 VISTA LANE STANFORD CA ZIP+4 ▶94305

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ASH VASUDEVA 51 VISTA LANE STANFORD, CA 94305	VC PRESIDENT 40 00	262,226	54,546	
OUAJDI MANAI 51 VISTA LANE STANFORD, CA 94305	SENIOR ASSOC 40 00	173,333	45,401	
STEVE GIUSTI 51 VISTA LANE STANFORD, CA 94305	DIR INFORMAT 40 00	154,692	49,192	
HIROYUKI YAMADA 51 VISTA LANE STANFORD, CA 94305	SENIOR ASSOC 40 00	133,552	40,828	
CHRISTINA DIXON 51 VISTA LANE STANFORD, CA 94305	SENIOR ASSOC 40 00	133,555	39,880	
Total number of other employees paid over $50,000. . . . . ▶				40

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
WESTED 730 HARRISON STREET SAN FRANCISCO, CA 94107	CONSULTING	445,095
AMPLIFY EDUCATION PO BOX 9178 UNIONDALE, NY 11555	CONSULTING	316,887
CARVER AND COMPANY 43 COMMERCIAL WHARF 9 BOSTON, MA 02110	CONSULTING	285,000
IMPROVEMENT COLLECTIVE LLC 544 59TH STREET OAKLAND, CA 94609	CONSULTING	283,764
SHARON GREENBERG INC 1451 BELMONT ST NW 104 WASHINGTON, DC 20009	CONSULTING	186,000
Total number of others receiving over $50,000 for professional services. . . . . ▶		5

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 CARNEGIE MATH PATHWAYS THE CARNEGIE MATH PATHWAYS PROGRAM TRANSITIONED OUT OF THE FOUNDATION AND INTO WESTED IN THE FALL OF 2017 DESPITE THE ADMINISTRATIVE COMPLEXITIES OF THE TRANSITION, THE PATHWAYS IS LOOKING TO EXPAND FURTHER INTO NEW YORK AND CALIFORNIA, WITH ASPIRATIONS TO GROW IN OTHER PARTS OF THE COUNTRY THERE ARE OPPORTUNITIES ON THE HORIZON TO WORK WITH TRIBAL COLLEGES AND UNIVERSITIES (TCUS), TO CREATE ONLINE STATWAY AND QUANTWAY COURSES, AND TO DEVELOP TEACHING MATERIALS THAT EXPLICITLY ADDRESS ISSUES OF SOCIAL JUSTICE AND EQUITY	2,582,290
2 CALIFORNIA NETWORKS (CALNET) IN SPRING 2016, THE GATES FOUNDATION AWARDED GRANTS TO TWO COUNTY OFFICES OF EDUCATION (COE) IN CALIFORNIA TO LAUNCH NETWORKED IMPROVEMENT COMMUNITIES (NICS) IN THEIR REGIONS WITH CARNEGIE'S SUPPORT THE TULARE COE STARTED THE CENTRAL VALLEY NIC WITH THE GOAL OF IMPROVING FIFTH-GRADE MATH PROFICIENCY FROM 17 PERCENT TO 51 PERCENT BY 2019, THE NETWORK CURRENTLY INCLUDES 41 FIFTH-GRADE TEACHERS IN 18 SCHOOLS IN EIGHT SCHOOL DISTRICTS THE SHASTA COE FORMED THE REACH HIGHER SHASTA NIC WITH THE GOAL OF INCREASING THE NUMBER OF FIRST GRADERS MEETING THE END- OF-YEAR BENCHMARK IN READING FROM 51 PERCENT TO 80 PERCENT BY 2019, THE NETWORK WILL EXPAND NEXT YEAR TO APPROXIMATELY 30 KINDERGARTEN AND FIRST-GRADE TEACHERS IN 15 SCHOOLS IN SEVEN SCHOOL DISTRICTS IN ADDITION TO PROVIDING INTENSIVE COACHING SUPPORT FOR THE HUBS, THE FOUNDATION ALSO TRAINED 20 SCHOOL IMPROVEMENT LEADS ACROSS BOTH COUNTIES THROUGH A 10-MONTH IMPROVEMENT SCIENCE TRAINING COURSE THIS YEAR I	7,806,380
3 K-12 STUDENT AGENCY IMPROVEMENT COMMUNITY (SAIC) AND STUDENT AGENCY META-NETWORK (SAMN) THIS YEAR, SAIC WILL BE FINISHING OUT ITS FUNDED ACTIVITIES MEMBERS WILL BE COLLECTING THE KNOWLEDGE THROUGHOUT THE NETWORK, CURATING IT, AND DISSEMINATING A VARIETY OF KNOWLEDGE PRODUCTS THE CHANGE MENU AND ASSOCIATED MEASURES, A CORE CONCEPTS WHITE PAPER, THE SURVEY TECHNICAL REPORT, AND A TRANSLATIONAL RESEARCH PIECE WITH CASE STUDIES FROM THE NETWORK ADDITIONALLY, THE FOUNDATION WILL CONTINUE TO EXPLORE POSSIBLE FUTURES FOR SAIC, WHICH MAY INCLUDE THE LAUNCH OF A META-NETWORK DEDICATED TO STUDENT AGENCY THIS IS CONDITIONAL ON RECEIPT OF ADDITIONAL EXTERNAL FUNDING	324,782
4 CENTER FOR NETWORKED IMPROVEMENT NETWORKED IMPROVEMENT LEARNING AND SUPPORT (NILS) PLATFORM A SIGNIFICANT BARRIER TO THE EXECUTION OF RAPID SHORT CYCLE TESTS OF POTENTIAL IMPROVEMENTS, AS WELL AS THE SPREAD AND USE OF THE KNOWLEDGE GAINED FROM THEM, HAS BEEN THE LACK OF TOOLS TO SUPPORT THE GATHERING, ORGANIZING, AND SHARING OF THE RESULTS OF PLAN-DO-STUDY-ACT (PDSA) CYCLES WE HAVE BECOME INCREASINGLY AWARE OVER THE PAST FEW YEARS OF THE NEED FOR A TECHNOLOGY TOOL THAT WOULD ALLOW THE DOCUMENTATION, RETENTION, AND SPREAD OF THIS SIGNIFICANT PRODUCT OF IMPROVEMENT WORK IDEALLY THIS TOOL SET WOULD ALSO INCLUDE ACCESS TO RELEVANT RESOURCES (BOTH CONTENT AND IMPROVEMENT RELATED) AS WELL AS A PLATFORM ON WHICH COLLABORATIVE COLLECTIVE ACTION AT IMPROVEMENT WORK (SUCH AS NIC CHARTERING) COULD BE CARRIED OUT IN SPRING 2016, THE FOUNDATION BEGAN DEVELOPMENT OF NILS (NETWORKED IMPROVEMENT LEARNING AND SUPPORT), AN ONLINE PLATFORM TO ASSIST NETWORKS IN TRACKING IMPROVEMENT PROGRESS AND SHARIN	1,231,103

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	104,371,831
b	Average of monthly cash balances	1b	3,443,334
c	Fair market value of all other assets (see instructions)	1c	515,871
d	**Total** (add lines 1a, b, and c)	1d	108,331,036
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	108,331,036
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,624,966
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	106,706,070
6	**Minimum investment return.** Enter 5% of line 5	6	5,335,304

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☑ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2017 from Part VI, line 5 — 2a		
b	Income tax for 2017 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	14,947,541
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	14,947,541
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	14,947,541

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 14,947,541				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2017 distributable amount.				
e Remaining amount distributed out of corpus	14,947,541			
5 Excess distributions carryover applied to 2017 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	14,947,541			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				
d Excess from 2016.				
e Excess from 2017.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶ 1986-02-26

b Check box to indicate whether the organization is a private operating foundation described in section ☑ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2017	**(b)** 2016	**(c)** 2015	**(d)** 2014	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	5,335,304	5,053,069	4,990,094	5,266,491	20,644,958
b 85% of line 2a	4,535,008	4,295,109	4,241,580	4,476,517	17,548,214
c Qualifying distributions from Part XII, line 4 for each year listed	14,947,541	15,188,438	12,493,504	14,629,917	57,259,400
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	14,947,541	15,188,438	12,493,504	14,629,917	57,259,400
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	3,556,869	3,368,713	3,326,729	3,510,994	13,763,305
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INDIANA UNIVERSITY RESEARCH PO BOX 78000 DETROIT, MI 48278	NONE	GOVERNMENT	CLASSIFICATIONS SUPPORT	10,000
WEST ED 730 HARRISON STREET SAN FRANCISCO, CA 94107	NONE	501 (C) (3)	CARNEGIE MATH PATHWAYS	444,345
Total . . . . ▶ **3a**				454,345
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a CONTRACTS AND CONSULTING					1,675,250
b REGISTRATION & WORKSHOP FEE					1,576,065
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	7,313	
4 Dividends and interest from securities. . . .			14	2,421,630	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			15	27,301	
8 Gain or (loss) from sales of assets other than inventory			1	4,202,836	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				6,659,080	3,251,315

13 Total. Add line 12, columns (b), (d), and (e). **13** 9,910,395

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
1A	CONTRACTS PROVIDE RESOURCES TO RESEARCH AND SUPPORT EDUCATION TRANSFORMATION
1B	REGISTRATION FEES ARE RECEIVED TO CONVENE MEETINGS FOR EDUCATORS ACROSS AMERICA TO EXCHANGE IDEAS RELATED TO VARIOUS EDUCATION TOPICS

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2019-03-30	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
RICHARD M JONES CPA		2019-03-30		P00621721

Firm's name ▶ KENDALL PREBOLA AND JONES LLC

Firm's EIN ▶46-2108854

Firm's address ▶ PO BOX 259

BEDFORD, PA 155220259

Phone no (814) 623-1880

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LILLIAN LOWERY 51 VISTA LANE STANFORD, CA 94305	CHAIR 1 00	0	0	0
ANDRES ANTONIO ALONSO 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
ODILE DISCH-BHADKAMKAR 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
PAM GROSSMAN 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
ANDREW HO 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
TIMOTHY KNOWLES 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
TED QUINN 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
HAYAGREEVA RAO 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
SHARON ROBINSON 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
SONJA SANTELISES 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
MARCELO SUAREZ-OROZCO 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
DIANE TAVENNER 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
RANDI WEINGARTEN 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
ROBERT HUGHES - OUT GOING 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
RICHARD RHODES - OUT GOING 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANTHONY S BRYK 51 VISTA LANE STANFORD, CA 94305	PRESIDENT 40 00	690,242	169,414	0
PAUL LEMAHIEU 51 VISTA LANE STANFORD, CA 94305	SR VICE PRES 40 00	295,305	76,641	0
DOUGLAS MIHOK 51 VISTA LANE STANFORD, CA 94305	TREASURER 40 00	154,343	50,226	0
KEICY TOLBERT 51 VISTA LANE STANFORD, CA 94305	CHIEF STAFF 40 00	105,340	37,412	0
JEAN RIVERS 51 VISTA LANE STANFORD, CA 94305	COO 40 00	146,687	50,621	0

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TY 2017 Accounting Fees Schedule

Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

EIN: 13-1623924

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FINANCIAL AUDIT AND TAXES	80,720			80,720

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TY 2017 Depreciation Schedule

Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

EIN: 13-1623924

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
			908,042			439,520			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2017 Gain/Loss from Sale of Other Assets Schedule

Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

EIN: 13-1623924

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
AUDIO VISUAL SCREEN	2014-05	PURCHASE	2018-06	SCRAPPED		16,382			-2,730	13,652
PROJECTION SYSTEM	2017-05	PURCHASE	2018-06	SCRAPPED		12,040			-9,343	2,697
AI - SIGULER GUFF		PURCHASE	2018-06		102,973				102,973	
AI - GUGGENHEIM		PURCHASE	2018-06		19,798				19,798	
CHARLES SCHWAB - LONG TERM		PURCHASE	2018-06		2,194,434				2,194,434	
CHARLES SCHWAB - SHORT TERM	2017-07	PURCHASE	2018-06		131,716				131,716	
MUTUAL FUNDS		PURCHASE	2018-06		11,981,672	10,215,684			1,765,988	

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TY 2017 Investments - Other Schedule

Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

EIN: 13-1623924

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DOMESTIC EQUITY MUTUAL FUNDS	FMV	38,165,560	38,165,560
INTERNATIONAL EQUITY MUTUAL FUNDS	FMV	22,111,008	22,111,008
LIMITED PARTNERSHIPS	FMV	509,599	509,599
BOND MUTUAL FUNDS	FMV	30,512,299	30,512,299
PUBLIC REAL ASSETS	FMV	14,009,579	14,009,579

TY 2017 Land, Etc. Schedule

Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

EIN: 13-1623924

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
BUILDING AND IMPROVEMENTS	14,623,070	3,897,384	10,725,686	10,725,686
COMPUTER EQUIPMENT	1,019,556	638,208	381,348	381,348

TY 2017 Legal Fees Schedule

Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

EIN: 13-1623924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING - LEGAL	65,357			65,357

TY 2017 Other Assets Schedule

Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

EIN: 13-1623924

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DEPOSITS	60,870	28,950	28,950
INVESTMENT IN RETIREMENT ANNUITY	277,030	328,352	328,352

TY 2017 Other Expenses Schedule

Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

EIN: 13-1623924

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
TELEPHONE/COMMUNICATIONS	103,867			103,867
INSURANCE	80,281			80,281
OFFICE SUPPLIES	190,223			190,223
BANK AND PAYROLL FEES	42,588			42,588
POSTAGE AND SHIPPING	3,117			3,117
TRAINING	26,097			26,097
MEMBERSHIP FEES	92,579			92,579
BENEFIT ADMINISTRATION FEES	5,429			5,429
EQUIPMENT LEASING AND RENTAL	6,056			6,056

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVERTISING	4,800			4,800
LICENSES, PERMITS AND FEES	385			385

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TY 2017 Other Income Schedule

Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

EIN: 13-1623924

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CONTRACTS AND CONSULTING	1,675,250		1,675,250
REGISTRATION & WORKSHOP FEES	1,576,065		1,576,065
PUBLICATION ROYALTIES	27,301	27,301	27,301

TY 2017 Other Increases Schedule

Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

EIN: 13-1623924

Description	Amount
PENSION-RELATED CHANGES OTHER THAN NET PERIODIC	29,044
UNREALIZED GAIN ON INVESTMENTS	888,815

TY 2017 Other Liabilities Schedule

Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

EIN: 13-1623924

Description	Beginning of Year - Book Value	End of Year - Book Value
POST EMPLOYMENT BENEFIT OBLIGATION	1,399,530	1,486,541
RETIREMENT ANNUITY CONTACTS	277,030	328,352

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TY 2017 Other Professional Fees Schedule

Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

EIN: 13-1623924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TEMPORARY HELP	36,192			36,192
BROKER INVESTMENT FEES	322,305	322,305		
CONSULTANTS - OPERATION	196,346			196,346
STIPENDS AND HONORARIA	77,849			77,849
PROGRAM CONSULTANTS	1,915,391			1,915,391

TY 2017 Taxes Schedule

Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

EIN: 13-1623924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	461,486			461,486
PROPERTY TAXES	4,815			4,815
CORPORATE INCOME TAX	735			735

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs gov/form990*

OMB No 1545-0047

2017

Name of the organization	Employer identification number
CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	13-1623924

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

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Part I **Contributors** (See Instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	See Additional Data Table	$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
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		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

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Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

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Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $** ____________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Software ID:
Software Version:
EIN: 13-1623924
Name: CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CARNEGIE CORPORATION OF NEW YORK 437 MADISON AVENUE NEW YORK, NY10022	$ 1,420,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
7	WILLIAM T GRANT FOUNDATION 60 EAST 42ND ST 43RD FLOOR NEW YORK, NY10165	$ 5,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
13	AMERICAN FEDERATION OF TEACHERS 555 NEW JERSEY AVE NW WASHINGTON, DC20001	$ 6,500	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
19	NATIONAL BOARD FOR PROFESSIONAL 1525 WILSON BLVD STE 700 ARLINGTON, VA22209	$ 5,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
25	THE WALLACE FOUNDATION 5 PENN PLAZA 7TH FLOOR NEW YORK, NY10001	$ 6,500	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
2	GREAT LAKES HIGHER EDUCATION 2401 INTERNATIONAL LANE PO BOX 7894 MADISON, WI53707	$ 250,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
8	WESTED 730 HARRISON STREET SAN FRANCISCO, CA94107	$ 6,500	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
14	WK KELLOGG FOUNDATION 1 EAST MICHIGAN AVENUE BATTLE CREEK, MI49017	$ 12,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
20	THE SPENCER FOUNDATION 625 NORTH MICHIGAN AVE SUITE 1600 CHICAGO, IL60611	$ 12,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
26	NATIONAL SCIENCE FOUNDATION 2415 EISENHOWER AVE ALEXANDRIA, VA22314	$ 417,533	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
3	BILL AND MELINDA GATES FOUNDATION PO BOX 23350 SEATTLE, WA98102	$ 3,012,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
9	OPORTUNIDAD FUNDACION EDUCACIONAL PADRE MARIANO 181 PISO 11 PROVIDENCIA, SANTIAGO CI	$ 12,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

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(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
15	EDUCATION DEVELOPMENT CENTER 1025 THOMAS JEFFERSON ST NW SUITE 700 WEST WASHINGTON, DC 20007	$ 6,500	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
21	THE NATIONAL EDUCATION ASSOCIATION 1201 16TH ST NW WASHINGTON, DC 20036	$ 12,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
27	ADVANCE ED 9115 WESTSIDE PARKWAY ALPHARETTA, GA 30009	$ 12,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
4	THE KRESGE FOUNDATION 3215 W BIG BEAVER ROAD TROY, MI 48084	$ 300,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
10	NEW VISIONS FOR PUBLIC SCHOOLS INC 205 EAST 42ND ST NEW YORK, NY 10017	$ 6,500	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
16	AMERICAN INSTITUTES FOR RESEARCH 1000 THOMAS JEFFERSON ST NW WASHINGTON, DC 20007	$ 12,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

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(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
22	AMPLIFY EDUCATION INC 55 WASHINGTON STREET SUITE 800 BROOKLYN, NY11201	$ 10,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
28	POSES FAMILY FOUNDATION 145 HUDSON STREET NEW YORK, NY10013	$ 12,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
5	GAY SU PINNELL EDUCATION FOUNDATION 8599 DUNSINANE DRIVE DUBLIN, OH43017	$ 250,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
11	OVERDECK FAMILY FOUNDATION 100 AVENUE OF THE AMERICAS 16TH FL NEW YORK, NY10013	$ 12,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
17	THE WALTON FAMILY FOUNDATION PO BOX 2030 BENTONVILLE, AR72712	$ 15,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
23	NELLIE MAE EDUCATION FOUNDATION 1250 HANCOCK STREET SUITE 701N QUINCY, MA02169	$ 6,500	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

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(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
29	ADTALEM GLOBAL EDUCATION 500 WEST MONROE STREET SUITE 28 CHICAGO, IL60661	$ 6,500	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
6	RAIKES FOUNDATION 2157 N NORTHLAKE WAY SUITE 220 SEATTLE, WA98103	$ 15,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
12	SH COWELL FOUNDATION 595 MARKET STREET SUITE 950 SAN FRANCISCO, CA94105	$ 25,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
18	PEBC 600 GRANT STREET SUITE 525 DENVER, CO80215	$ 6,500	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
24	THE CHARLES AND LYNN SCHUSTERMAN 800 8TH ST NW WASHINGTON, DC20001	$ 12,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)